

# NUCLEAR PHYSICS (39)

a) THE LIQUID DROP MODEL ✓

b) THE SHELL MODEL ✓

## RECAP!

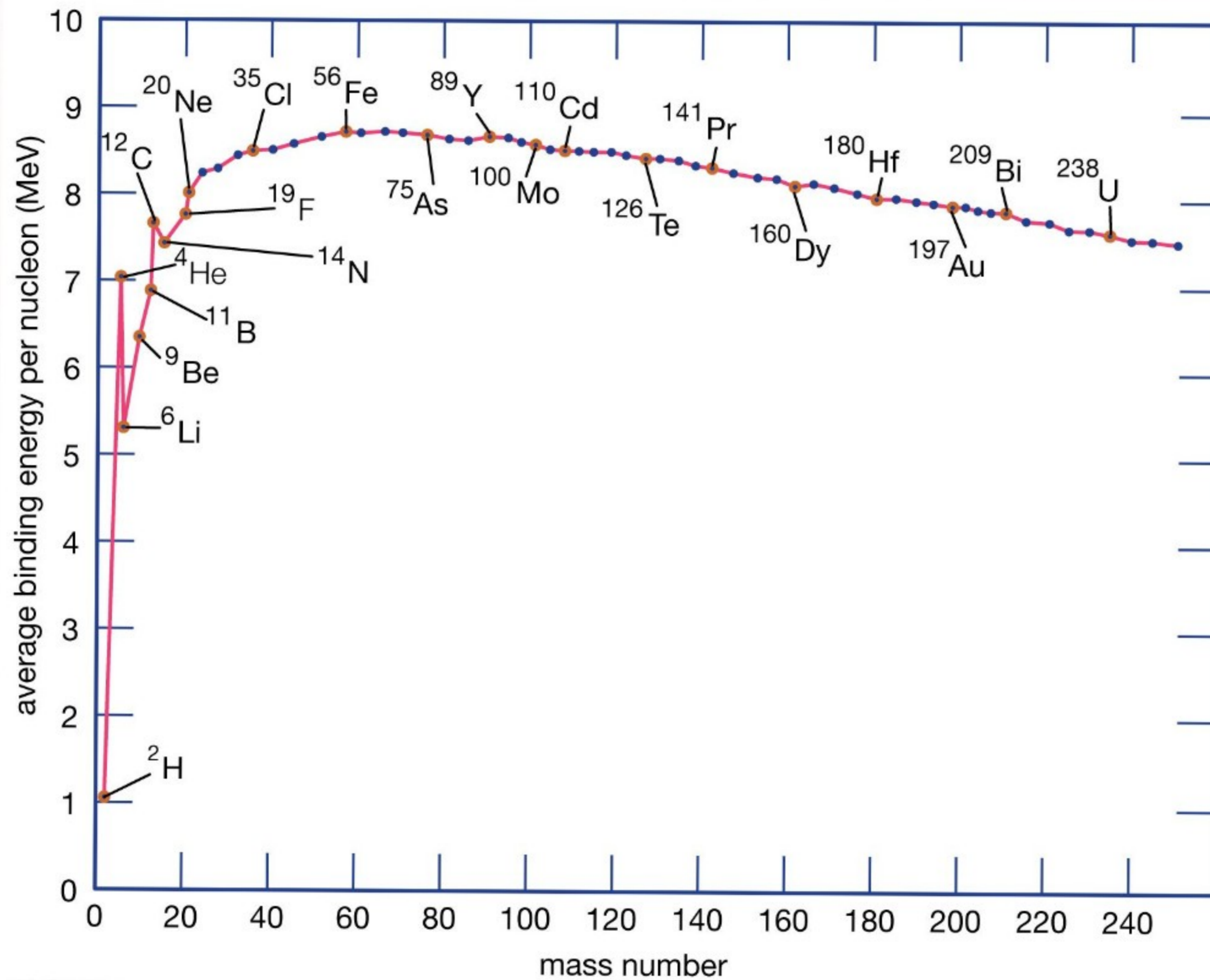
### NUCLEAR MODELS:

[ Describe the qualitative & quantitative properties of nuclei, including: ]

- 1) Nuclear binding  $\Rightarrow \frac{B}{A} \sim (8-9) \text{ MeV/nucleon}$
  - 2) Nuclear size  $=$  (saturation)
  - 3) Angular momentum & parity  $\Downarrow$
  - 4) Electromagnetic moments
  - 5) Stability & Decay
- Liquid drop model

# LIQUID DROP MODEL ①

⇒ It's main feature is that it explains binding (and saturation)



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⇒ Why do we have saturation?

- 1) Finite-range of the nuclear force
- 2) Strong medium-range attraction
- 3) Stronger short-range repulsion

A/B

A

## LIQUID DROP MODEL (2)

- 1) finite-range interaction
- 2) medium-range attraction
- 3) short-range repulsion

property of:

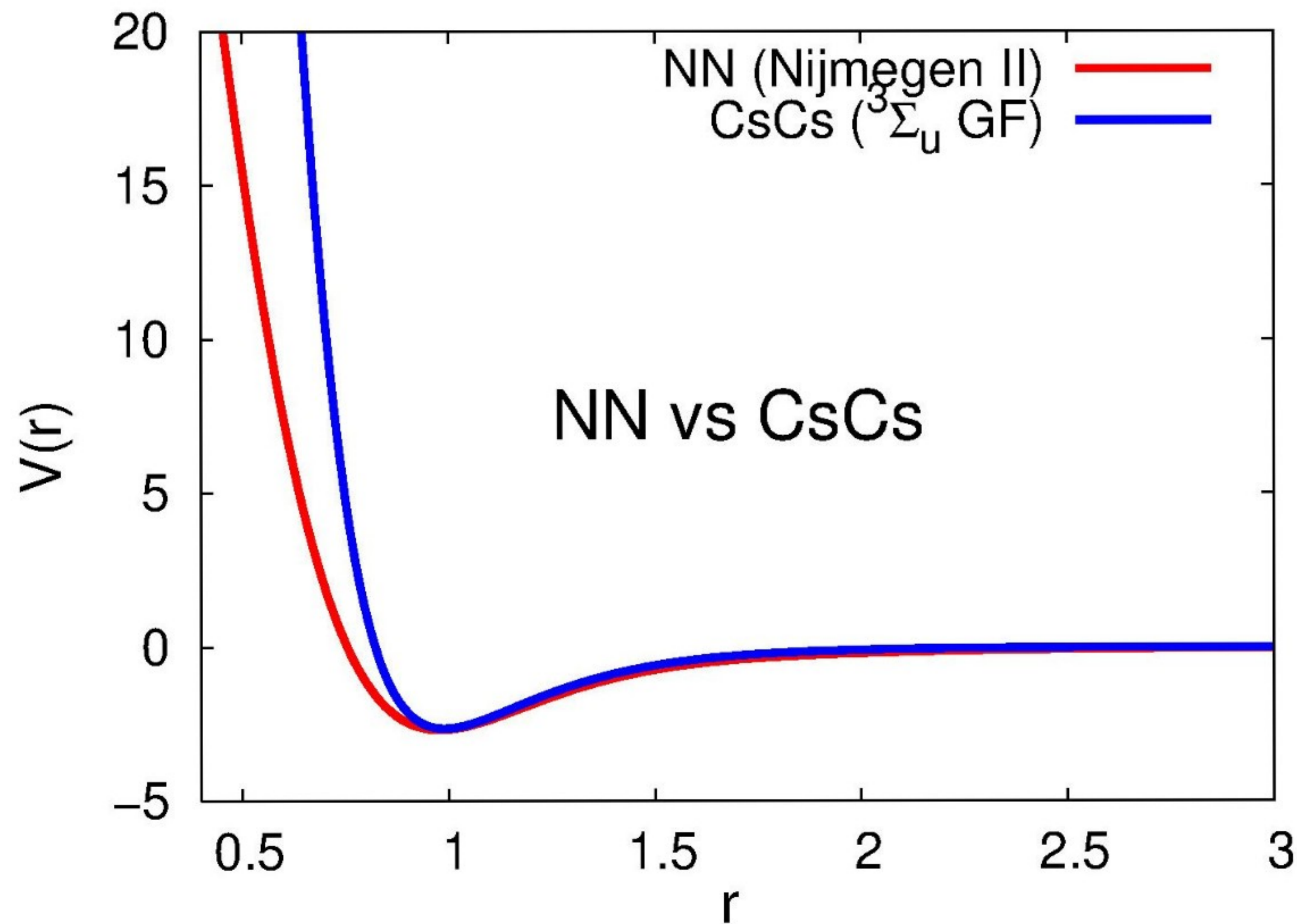
- a) nuclear force
  - b) atomic forces  
(atom-atom interactions)
- analogous

$\Rightarrow \exists$  an analogy between a) & b),

and we can exploit it to create a nuclear model

# LIQUID DROP MODEL ③

=> How good is the analogy between atomic & nuclear forces?



= Example:

nucleon-nucleon interaction  
vs Cs-Cs interaction  
(arbitrary units)

=> pretty similar

## LIQUID DROP MODEL | ④

=> How do we exploit this analogy?

Group of atoms  $\approx$



they can form  
a drop of a  
liquid

Group of nucleons



they will also form  
a droplet of some  
kind of nuclear liquid

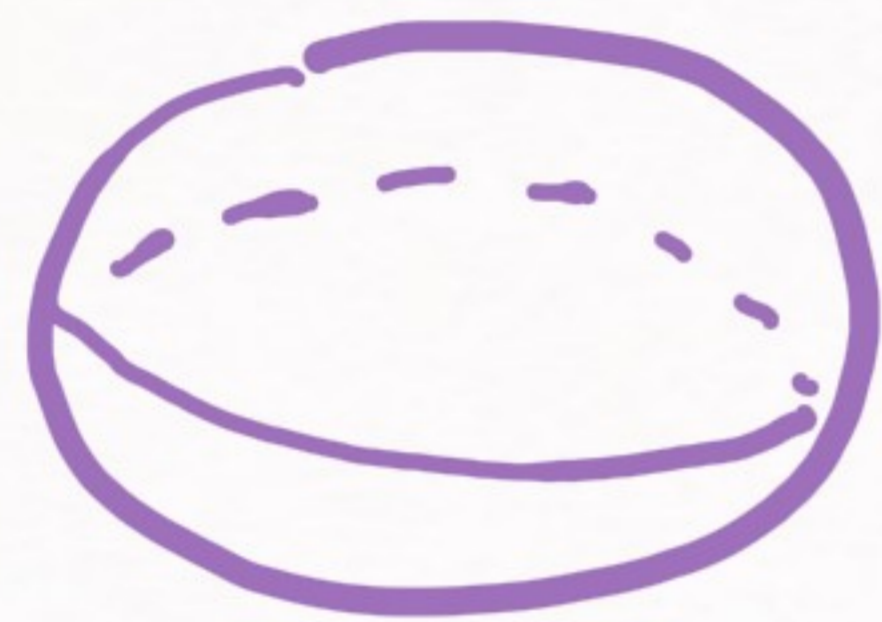


Derive properties of nuclei

# LIQUID DROP MODEL | (5)

⇒ We want to calculate  $B(Z, A)$ , how do we proceed?

1) Volume term:  $B(Z, A) = a_v A + \dots$



$V \propto A$  ( $A \rightarrow \#$  of nucleons)

2) Surface term:  $B(Z, A) = a_v A - a_s A^{2/3} + \dots$



$S \propto A^{2/3}$

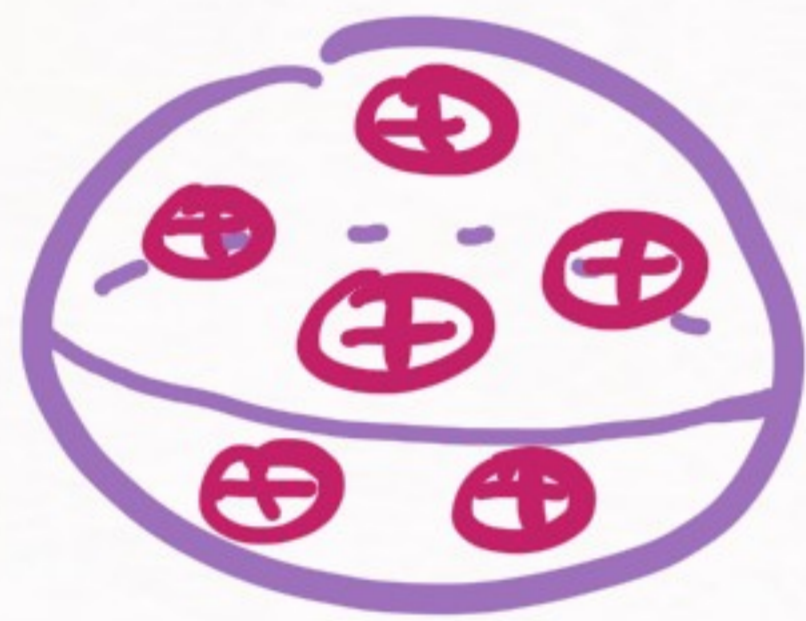
(akin to surface tension)

negative because liquids like to minimize the surface

## LIQUID DROP MODEL | ⑥

⇒ We want to calculate  $B(Z, A)$ , how do we proceed?

3) Coulomb term:  $B(Z, A) = a_v A - a_s A^{2/3} - a_c \frac{Z(Z-1)}{A^{1/3}} + \dots$



$q \propto Z$  ( $Z \rightarrow \#$  of protons)

1+2+3) → easy to understand

4+5) → they are going to be specific to nuclear physics



# LIQUID DROP MODEL (7)

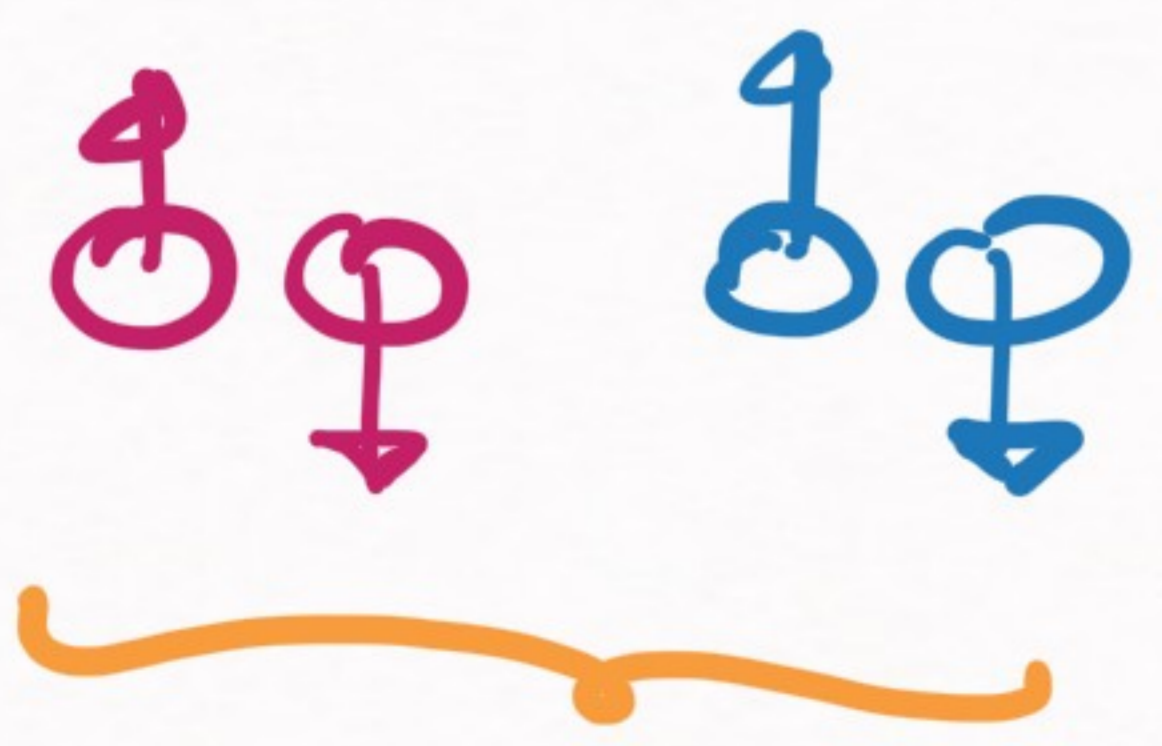
⇒ We want to calculate  $B(Z, A)$ , how do we proceed?

4) Asymmetry term: → nuclei like to have the same number of neutrons & protons

$$B(Z, A) = (\dots) - a_A \frac{(Z - A/2)^2}{A} + \dots$$

min corresponds to  $Z = N$   
 $\Rightarrow (Z - A/2)^2 = 0$

5) Pairing term:



$$B(Z, A) = (\dots) + a_p \frac{[(-1)^Z + (-1)^N]}{2A^{3/2}}$$

Remember these? (pairing)

min corresponds to  $Z, N$  even

# LIQUID DROP MODEL ②

1) + 2) + 3) + 4) + 5) ⇒ Liquid drop model

or

Semi-empirical mass formula

or

Bethe-Weizsäcker formula

Typical values:

$$a_v \approx 16 \text{ MeV}$$

$$a_s \approx 18 \text{ MeV}$$

$$a_c \approx 0.7 \text{ MeV}$$

$$a_A \approx 23 \text{ MeV}$$

$$a_p \approx 11 \text{ MeV}$$

(but  $\exists$  many fits)

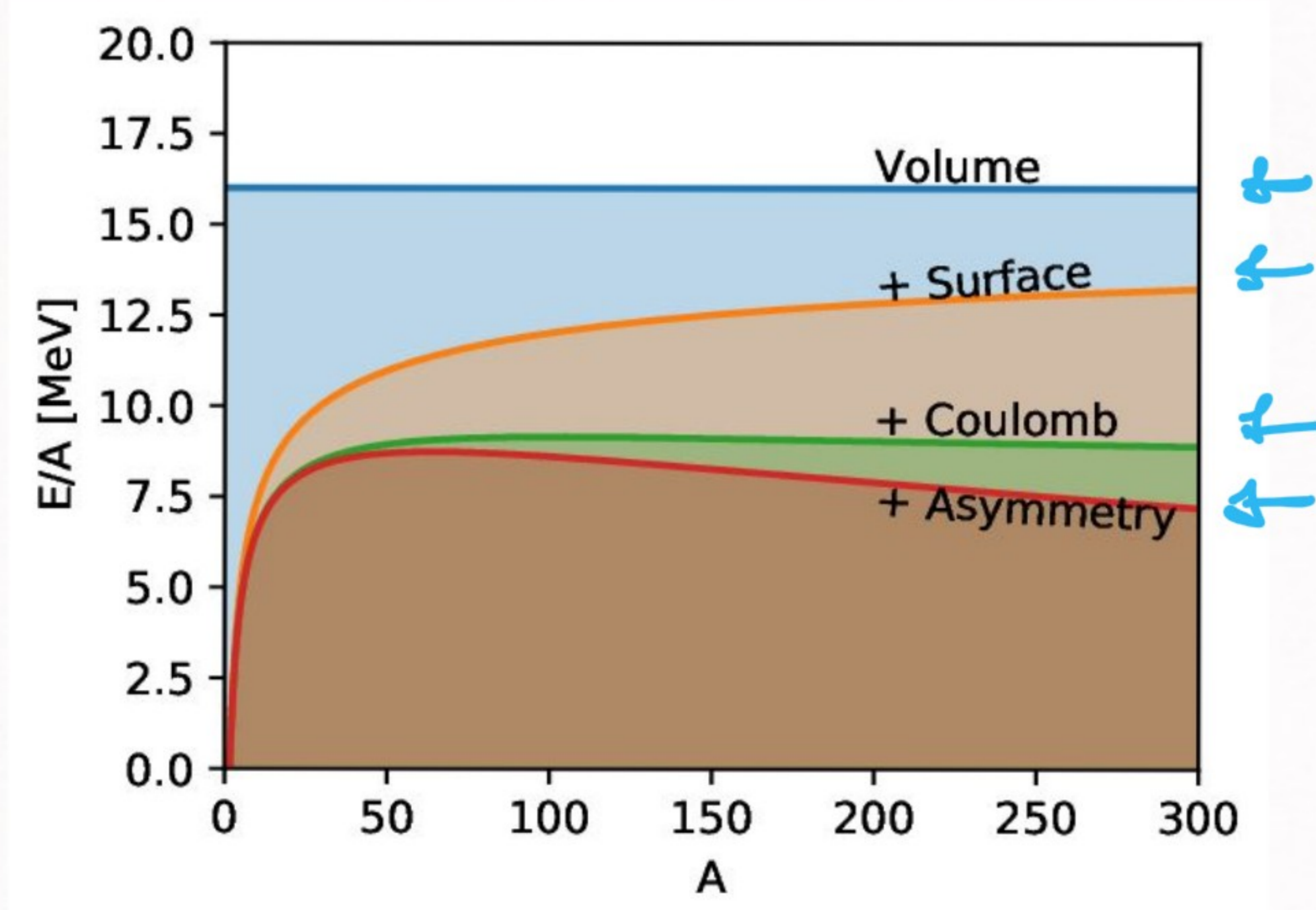
all of them are around these values

$$B(Z, A) = a_v A + a_s A^{2/3} + a_c \frac{Z(Z-1)}{A^{1/3}} - a_A \frac{(Z-N)^2}{A} + a_p \frac{[(Z-1)^2 + (N-1)^2]}{2A^{1/2}}$$

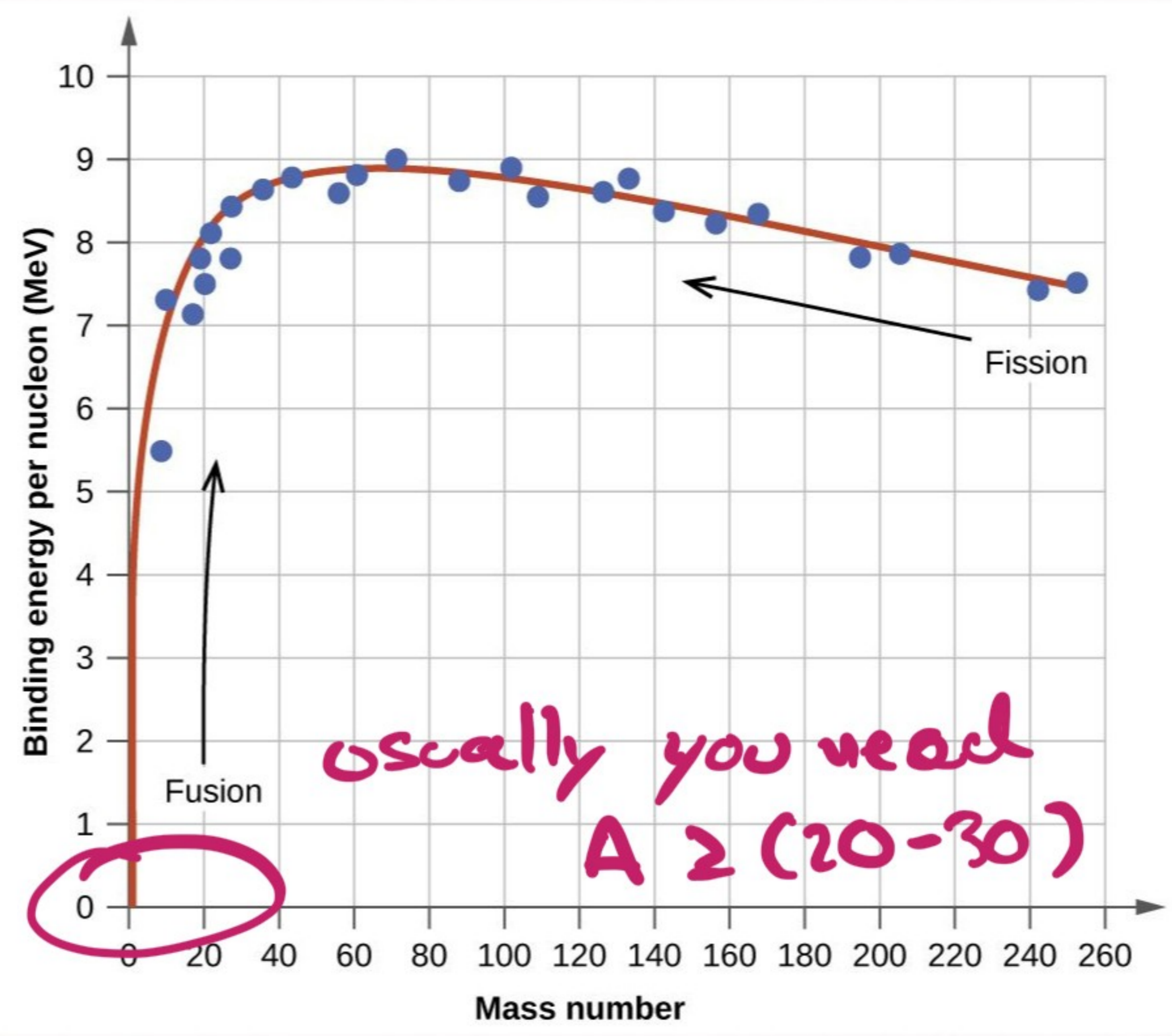
LIQUID DROP MODEL | 9

$B(Z,A)/A$  right with an error of (0.5-1.0) MeV

=> A graphical representation:



=> Also explains fission:

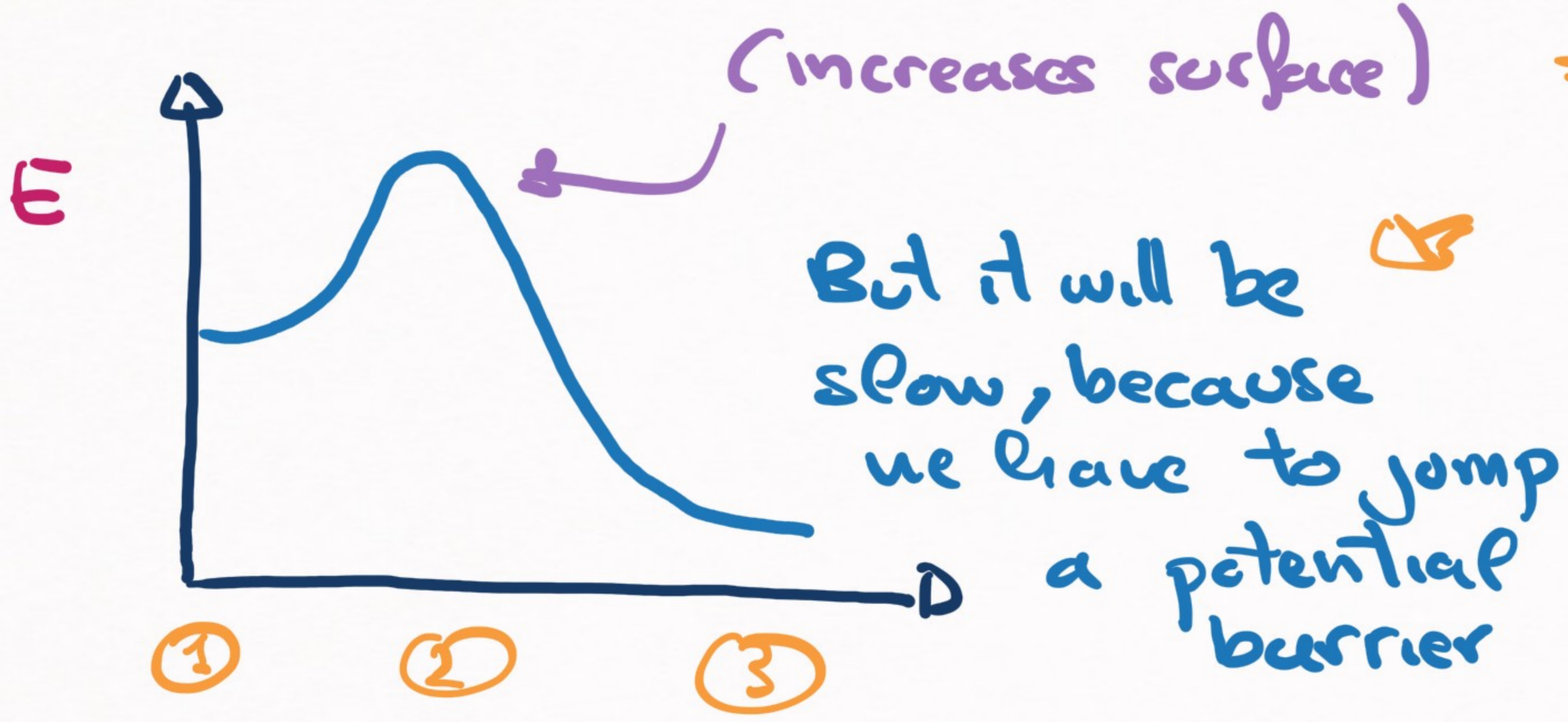
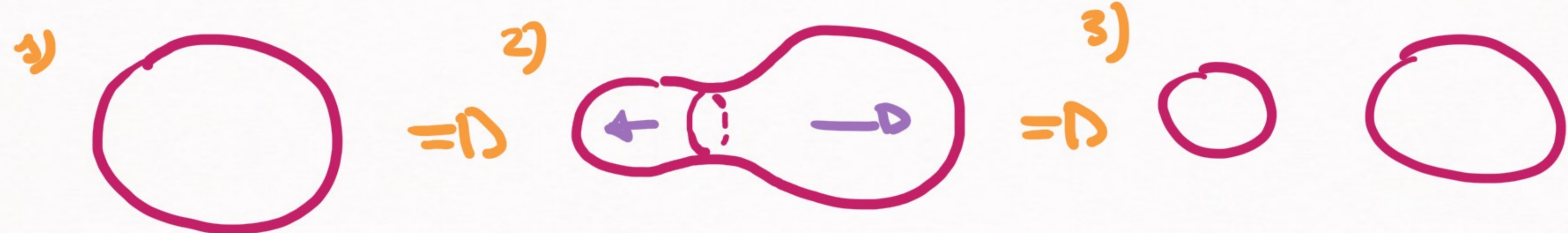


(pairing not included in this figure)

usually you need  $A \geq (20-30)$

# LIQUID DROP MODEL (30)

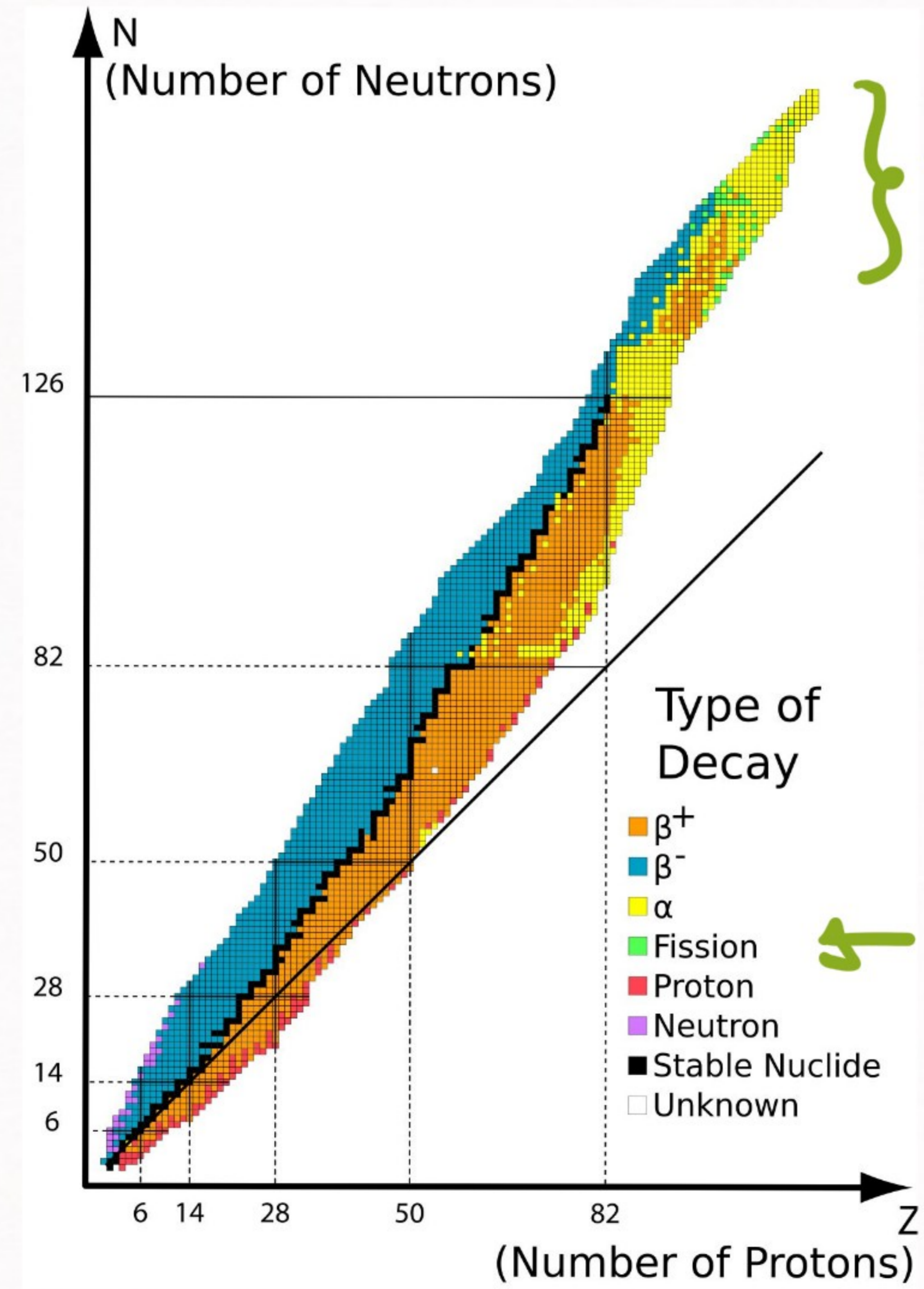
⇒ Fission in the liquid drop model:



⇒ For very large  $A$ , this is energetically possible

It takes from decades to billions of years

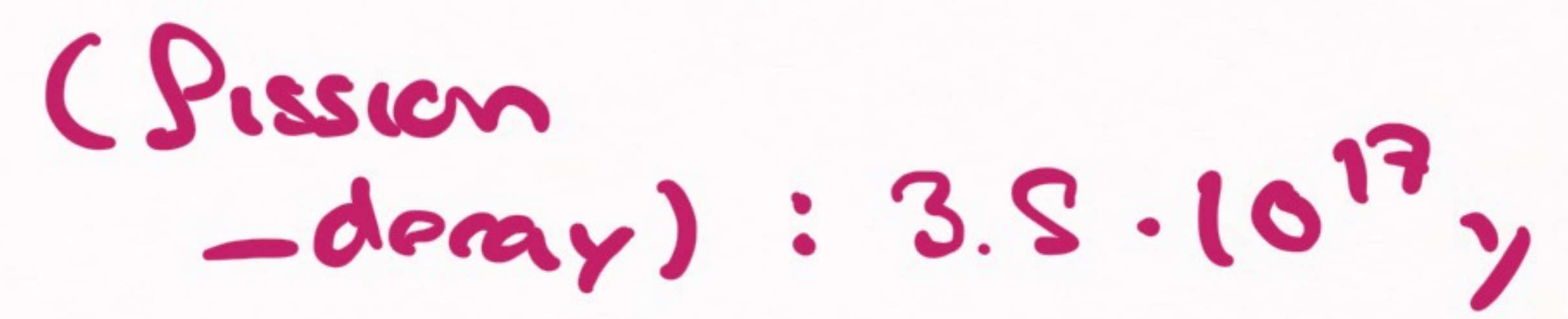
# LIQUID DROP MODEL | 11



} here we have fission



↳ fission is not the main form of decay for  $^{235}\text{U}$ :



# SHELL MODEL | ③

⇒ Relies on two assumptions:

- 1) nucleons are fermions (w.f. is antisymmetric)
- 2) nucleons generate some sort of a mean field

Let's explain the idea:

(e.g. gravitational field of the earth)

Microscopic description:  
(takes into account  
all degrees of freedom)

$$H = \sum_i \frac{p_i^2}{2m_i} + \sum_{i < j} V^{2B}(\vec{r}_i, \vec{r}_j) \\ + \sum_{i < j < k} V^{3B}(\vec{r}_i, \vec{r}_j, \vec{r}_k) + \dots$$

$$H|\Psi_A\rangle = E_A|\Psi_A\rangle$$

# SHELL MODEL (2)

1) Microscopic description:  $H = \sum_i \frac{p_i^2}{2m_i} + \sum_{i < j} V_{2B}(\vec{r}_i, \vec{r}_j)$

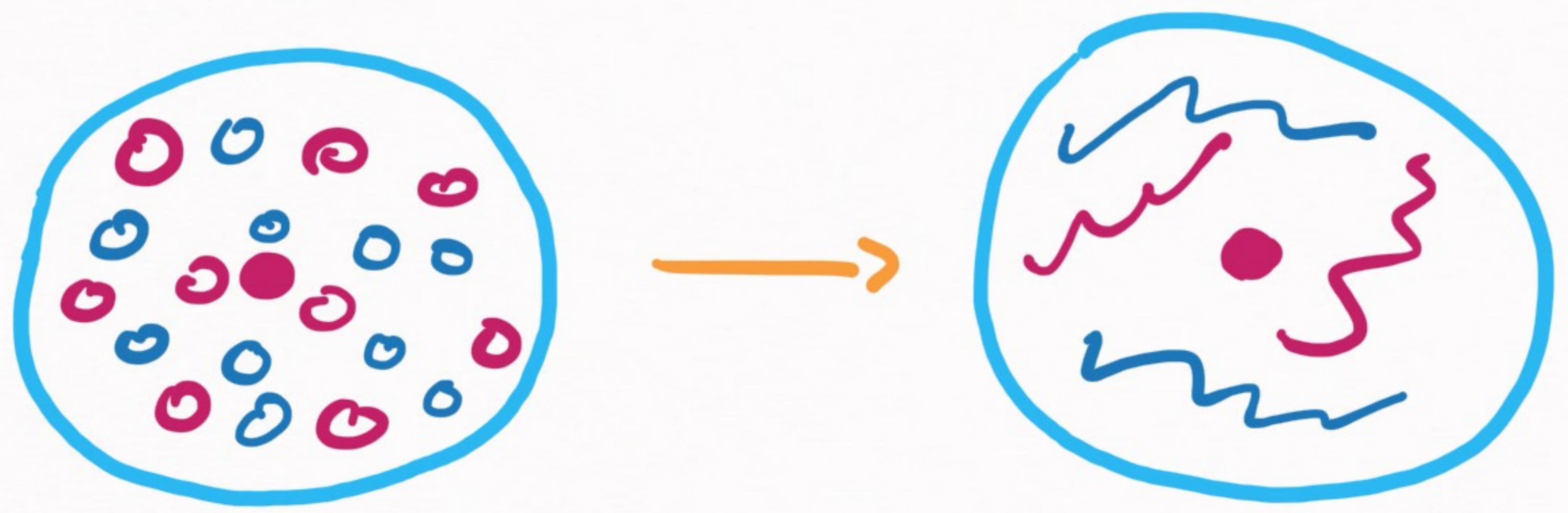


This problem is unmanageable computationally for large A

$+ \sum_{i < j < k} V_{3B}(\vec{r}_i, \vec{r}_j, \vec{r}_k) + \dots$

$+ H|\Phi_\Delta\rangle = E_\Delta|\Phi_\Delta\rangle$

2) Mean field: Need simplification Exponentially difficult as A increases



$\propto e^A$   
 Every nucleon will only feel the averaged pot of all other nucleons

# SHELL MODEL (3)

⇒ Basic idea:  $\sum_{ij} V_{ij}^{2B} + \sum_{ijk} V_{ijk}^{3B} + \dots \rightarrow \underbrace{\sum_i V_i^{MF}}_{V_i^{MF}: \text{mean-field}}$

⇒ New Hamiltonian:

$$H = \sum_i \frac{p_i^2}{2m_i} + \sum_i V_i^{MF} + \underbrace{\Delta V}_{\Delta V = \sum_{ij} V_{ij}^{2B} + \sum_{ijk} V_{ijk}^{3B} + \dots - \sum_i V_i^{MF}}$$

If  $V_i^{MF}$  is well-chosen,

$\Delta V$  will be a small perturbation

(we will ignore  $\Delta V$  in a first approximation)

Residual interaction  
(we expect it to be small)



## SHELL MODEL (4)

⇒ Let's assume a good choice of the mean-field potential

$$H = \sum_i \left( \frac{p_i^2}{2m_i} + V_i^{\text{HF}} \right) + \underbrace{\Delta V}_{\rightarrow 0}$$

this will be negligible

$$\Rightarrow H \approx \sum_i H_i \quad \Rightarrow H_i \phi_i(r_i) = E_i \phi_i(r_i)$$

$$\Rightarrow \Psi_{\Delta} = \prod_{i=1}^{\Delta} \phi_i(r_i)$$

this Hamiltonian  
factorizes

this will be a solution

## SHELL MODEL (5)

=> However, nucleons are fermions:

$\Psi_{\Delta} = \prod_{i=1}^{\Delta} \phi_i(r_i)$  → this does not take into account that fermions are antisymmetric

=> we need an antisymmetric wave function:

$$\Psi_{\Delta} = \prod_{i=1}^{\Delta} \phi_i(r_i) \rightarrow \bar{\Psi}_{\Delta} = \frac{1}{\sqrt{\Delta!}} \sum_{\sigma} \prod_{i=1}^{\Delta} (-1)^{[\sigma]} \phi_{\sigma(i)}(r_i)$$

$\sigma \rightarrow$  permutations

$[\sigma] \rightarrow$  sign of the permutation

↗ decomposing a permutation in odd/even number of transpositions

$$i \cdot \leftrightarrow \cdot j$$

# SHELL MODEL | ⑥

⇒ Notice that  $\Psi_{\Delta} = \frac{1}{\sqrt{\Delta!}} \sum_{\sigma} \frac{\Delta}{\sigma} (-1)^{[\sigma]} \phi_{\sigma(i)}(r_i)$

can also be written as:

Reminder:

$\det(\Delta) =$

$\sum_{\sigma} (-1)^{[\sigma]} a_{1\sigma(1)} \dots a_{n\sigma(n)}$

$\Psi_{\Delta} = \frac{1}{\sqrt{\Delta!}}$

$$\begin{vmatrix} \phi_1(r_1) & \phi_1(r_2) & \dots & \phi_1(r_{\Delta}) \\ \phi_2(r_1) & \phi_2(r_2) & \dots & \phi_2(r_{\Delta}) \\ \vdots & \vdots & \ddots & \vdots \\ \phi_{\Delta}(r_1) & \phi_{\Delta}(r_2) & \dots & \phi_{\Delta}(r_{\Delta}) \end{vmatrix}$$

[ SLATER DETERMINANT ]

| ... | → determinant

# SHELL MODEL (?)

⇒ Bottom-line: every nucleon is in a different state

→ Because nucleons are fermions

Example:  $V^{MF}(r) = \frac{1}{2} m_N \omega^2 r^2$  (harmonic oscillator as our mean field)

$$H_i \phi_i = \epsilon_i \phi_i \quad \epsilon_i = \epsilon(n_i, l_i)$$

$$\epsilon(n, l) = \omega \left( 2n + l + \frac{3}{2} \right) \left\{ \begin{array}{l} n \rightarrow \text{energy level } (n=0, 1, 2, \dots) \\ l \rightarrow \text{angular momentum} \\ (l=0, 1, 2, \dots) \end{array} \right.$$

# SHELL MODEL (8)

⇒ Example:  $V_{MF}(r) = \frac{1}{2} m_N \omega^2 r^2$ ,  $H_i \psi_i = \epsilon_i \psi_i$

$$\epsilon(n, l) = \omega (2n + l + \frac{3}{2})$$

0) Ground state:  $n=0, l=0 \rightarrow \epsilon = \frac{3}{2} \omega$ ,  $J^P = \frac{1}{2}^+$

↳ How many nucleons can I arrange in this energy level?

2 protons }  $p \uparrow p \downarrow$   
2 nucleons }  $n \uparrow n \downarrow$

$$|n, l\rangle = \left| \frac{1}{2} \pm \frac{1}{2} \right\rangle_1, \left| \frac{1}{2} \pm \frac{1}{2} \right\rangle_2$$

⇒ 4 nucleons in the ground state

# SHELL MODEL (9)

3) Next energy level:  $n=0, l=1 \rightarrow E = \frac{3}{2} \omega$

Pauli exclusion principle  $\rightarrow (2) \times (2l+1) = 6$   
spin                      orbital angular momentum                      for  $l=1$

6 protons  
+  
6 neutrons } 12 nucleons

# SHELL MODEL | (10)

2) Next energy level:  $\left\{ \begin{array}{l} n=1, l=0 \\ n=0, l=2 \end{array} \right. \Rightarrow E = \frac{7}{2} \omega$

We can accommodate:  $\underbrace{2}_{l=0} + \underbrace{10}_{l=2} = 12$  neutrons or protons

12 neutrons  
+  
12 protons

=> PATTERN: you just fill the energy shells (shell model →)

## SHELL MODEL | (13)

⇒ For the harmonic oscillator we have:

$$E = \frac{3}{2} \omega \rightarrow 2p + 2n$$

$$E = \frac{5}{2} \omega \rightarrow 6p + 6n$$

$$E = \frac{7}{2} \omega \rightarrow 12p + 12n$$

⇒ This implies the existence of magic numbers:

$$N = 2, 8, 20, \dots$$

$$Z = 2, 8, 20, \dots$$

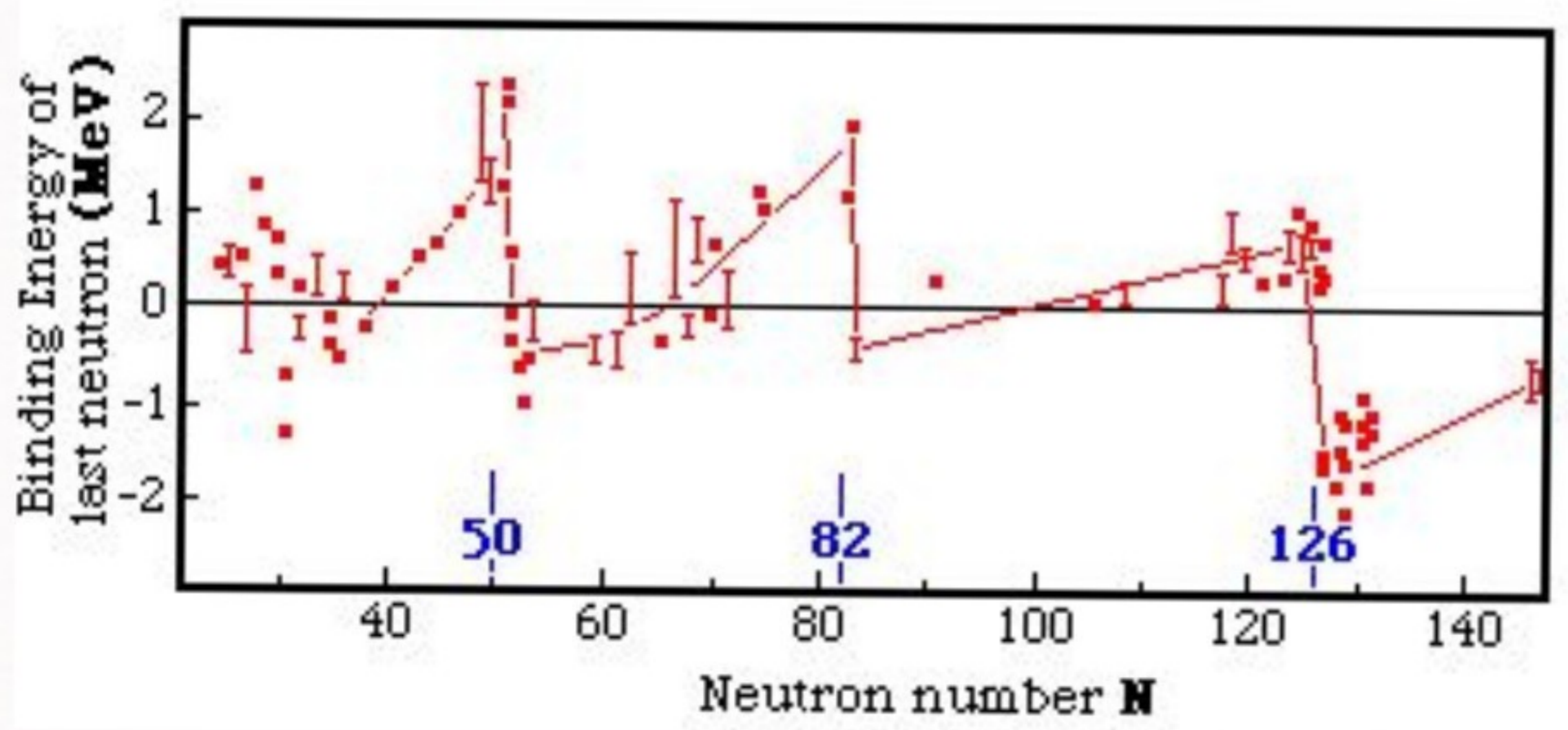
} this is indeed a feature  
of nuclei



# SHELL MODEL (32)

$\Rightarrow N = 2, 8, 20, \dots$   
 $Z = 2, 8, 20, \dots$

there is an increase of  $\omega$  in the energy required to remove the last nucleon



This is related to the separation energies  $S_p(N, Z)$  &  $S_n(N, Z)$  which defined the magic numbers

$N, Z = 2, 8, 20, 28, 50, 82, 126$

we reproduce these

## SHELL MODEL | ⑬

=> If you remember, we had the magic numbers

$$N, Z = 2, 8, 20, 28, 50, 82, 126, \dots \text{ (exp.)}$$

=> Our prediction with the shell model & a harmonic oscillator as a mean-field pot:

$$N, Z = 2, 8, 20, \underbrace{40, 70, \dots}_{\text{NOT OK}} \underbrace{\hspace{10em}}_{\text{OK}}$$

## SHELL MODEL | (34)

⇒ For the moment we have:

3) Mean-field potential

2) Full the shells I get the magic numbers

⇒ With an harmonic oscillator

$$N, Z = 2, 8, 20, 40, 70, \dots$$

we are close, but we are missing some ingredient of the mean-field potential

## SHELL MODEL | (15)

⇒ Choice of mean-field potential:

Fundamental piece of the shell model

$$V_F = \frac{1}{2} m_N \omega^2 r^2$$

→

Really simple choice,  
it gets a few things right,  
but lacks something

↓

Not good enough

(spin-orbit force)

## SHELL MODEL (16)

=> What we are missing is ... → Spin-orbit force

(Maria Goeppert Mayer)

+

=> Potential:

$$V_{MF} = \frac{1}{2} m \omega^2 r^2 - \sum \vec{e} \cdot \vec{s}$$

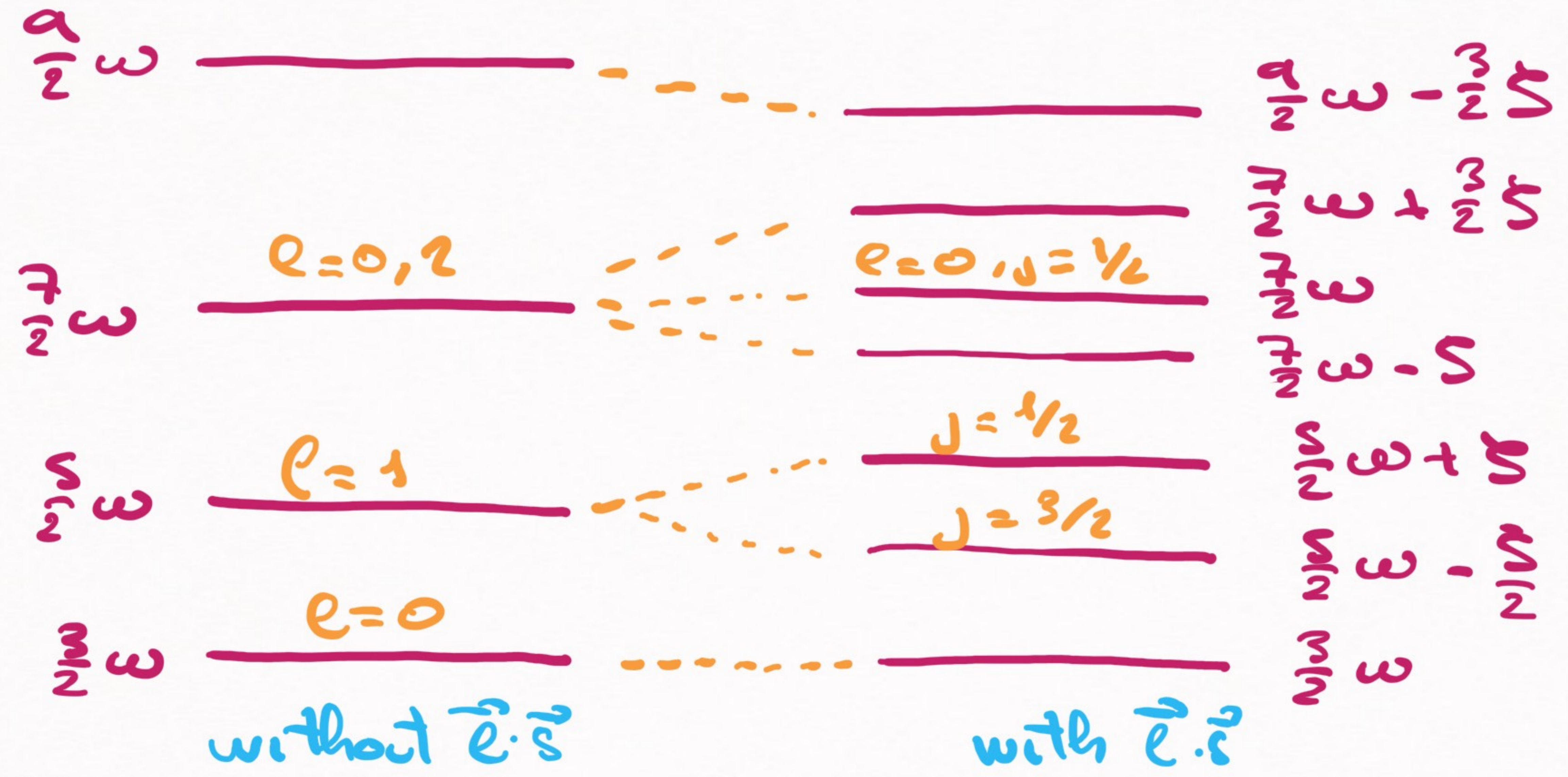
with  $\xi > 0$

with this you obtain  
the correct magic numbers  
& ordering of  
orbitals

Let's see what happens...

SHELL MODEL (17)  $l, s \rightarrow$  orbital angular momentum, spin

$\Rightarrow$  Modifications :  $\vec{l} \cdot \vec{s} = \frac{1}{2} [J(J+1) - l(l+1) - s(s+1)]$   
 $J \rightarrow$  total angular momentum



## SHELL MODEL \ (38)

1) Shell-model without  $\vec{L} \cdot \vec{S}$ :

$$N, Z = 2, 8, 20, 40, 70, \dots$$

2) Shell-model with  $\vec{L} \cdot \vec{S}$ :

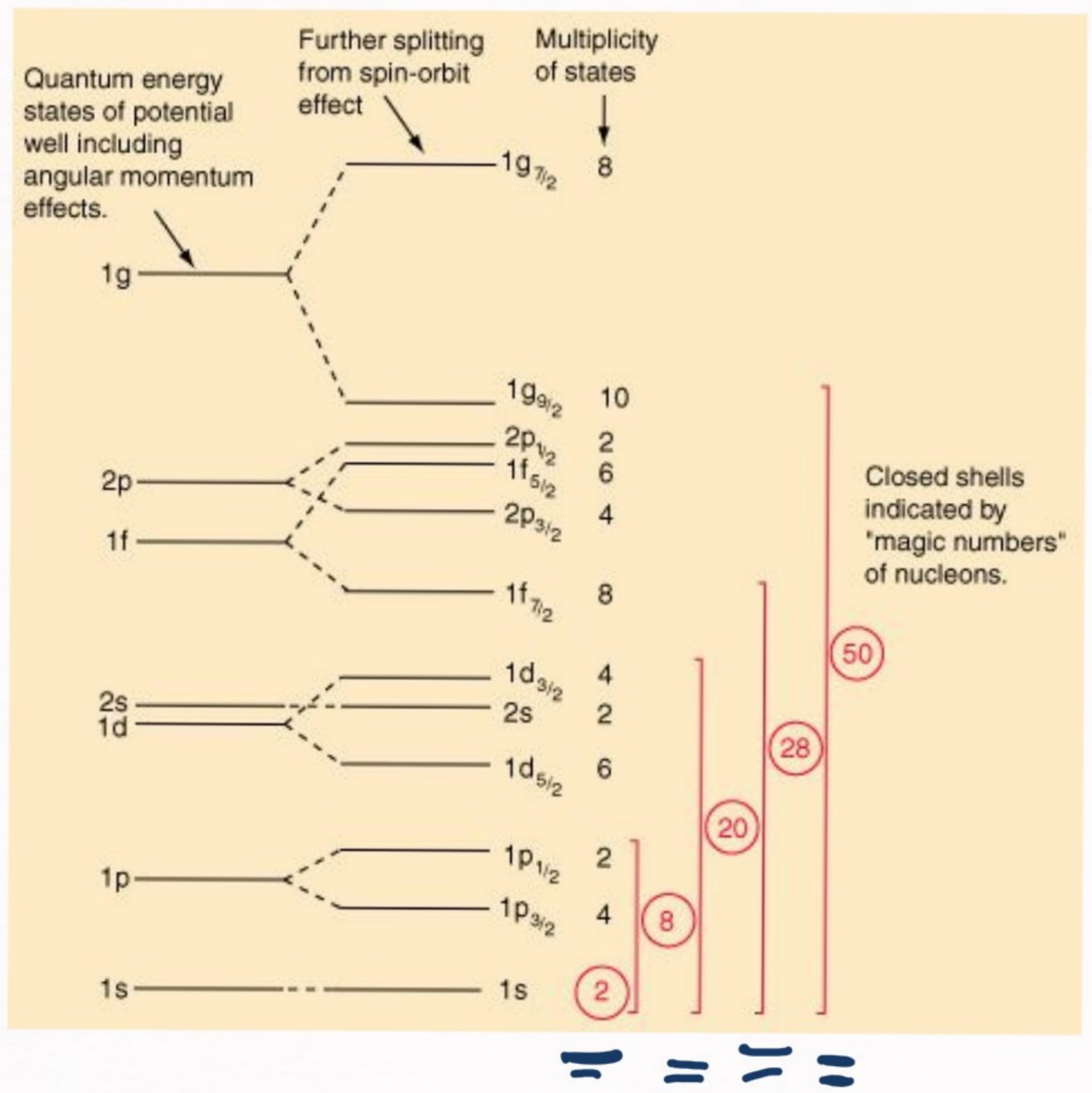
$$N, Z = 2, 8, 20, 28, 50, 82, \dots$$

$\Rightarrow$  We obtain the correct order

(spin-orbit is indeed the missing ingredient)

# SHELL MODEL (19)

=> Summary of the orbitals:



Notation:  $n\ell_j$

$n$  is the energy level

$\ell$  is the orbital angular momentum (s, p, d, f, ...)

$j$  is total angular momentum

$$N, Z = 2, 8, 20, 28, 50, 82, 126, \dots$$

≡ a really simple model:

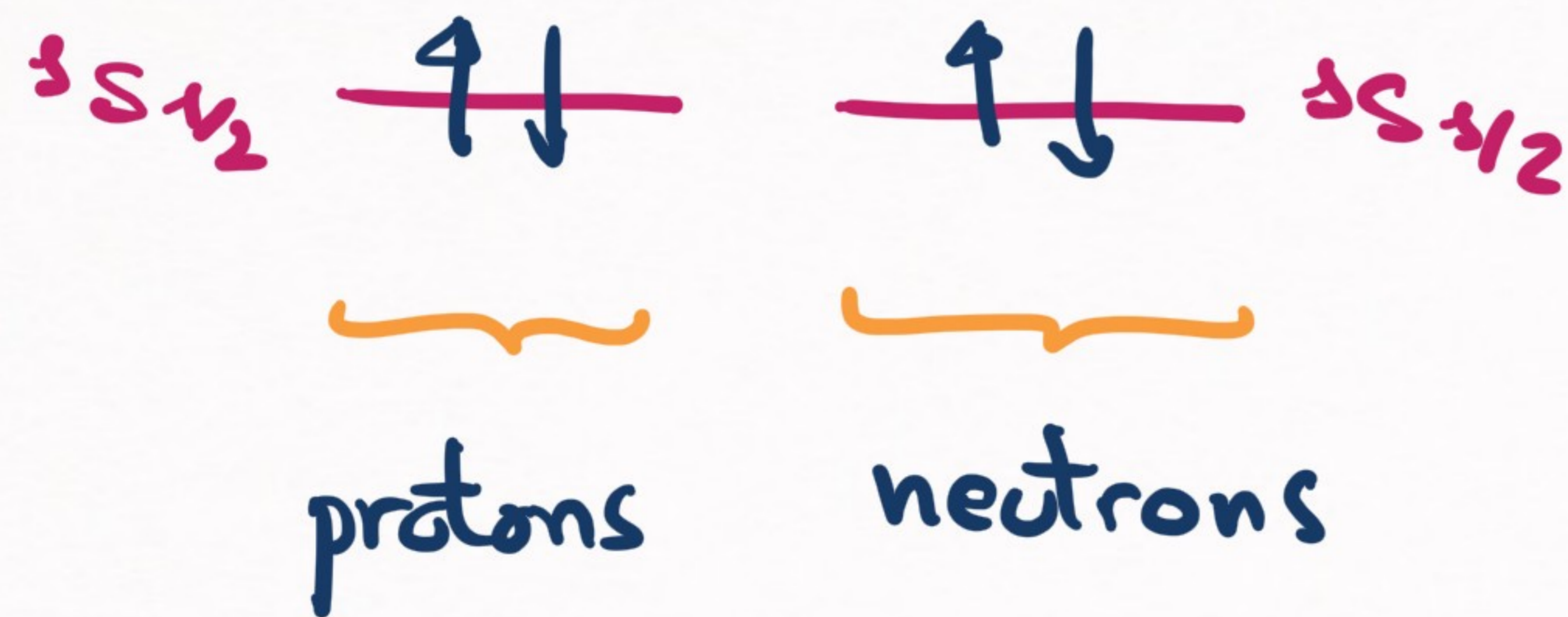
$$V_{HF}(r) = \frac{1}{2} m \omega^2 r^2 - \kappa \vec{\ell}^2 - \sum \vec{\ell} \cdot \vec{s}$$

improved even more



# [ SHELL MODEL : APPLICATIONS ] ③

=> Filling the shells:  ${}^4\text{He}$  : pretty simple (2 protons + 2 neutrons)



=> Shell-model description of  ${}^4\text{He}$



Prediction for JP:

pairing  $\rightarrow$   $(\uparrow\downarrow) \rightarrow S=0$

$[JP({}^4\text{He}) = 0^+]$  it is correct

$l=0$  we call s

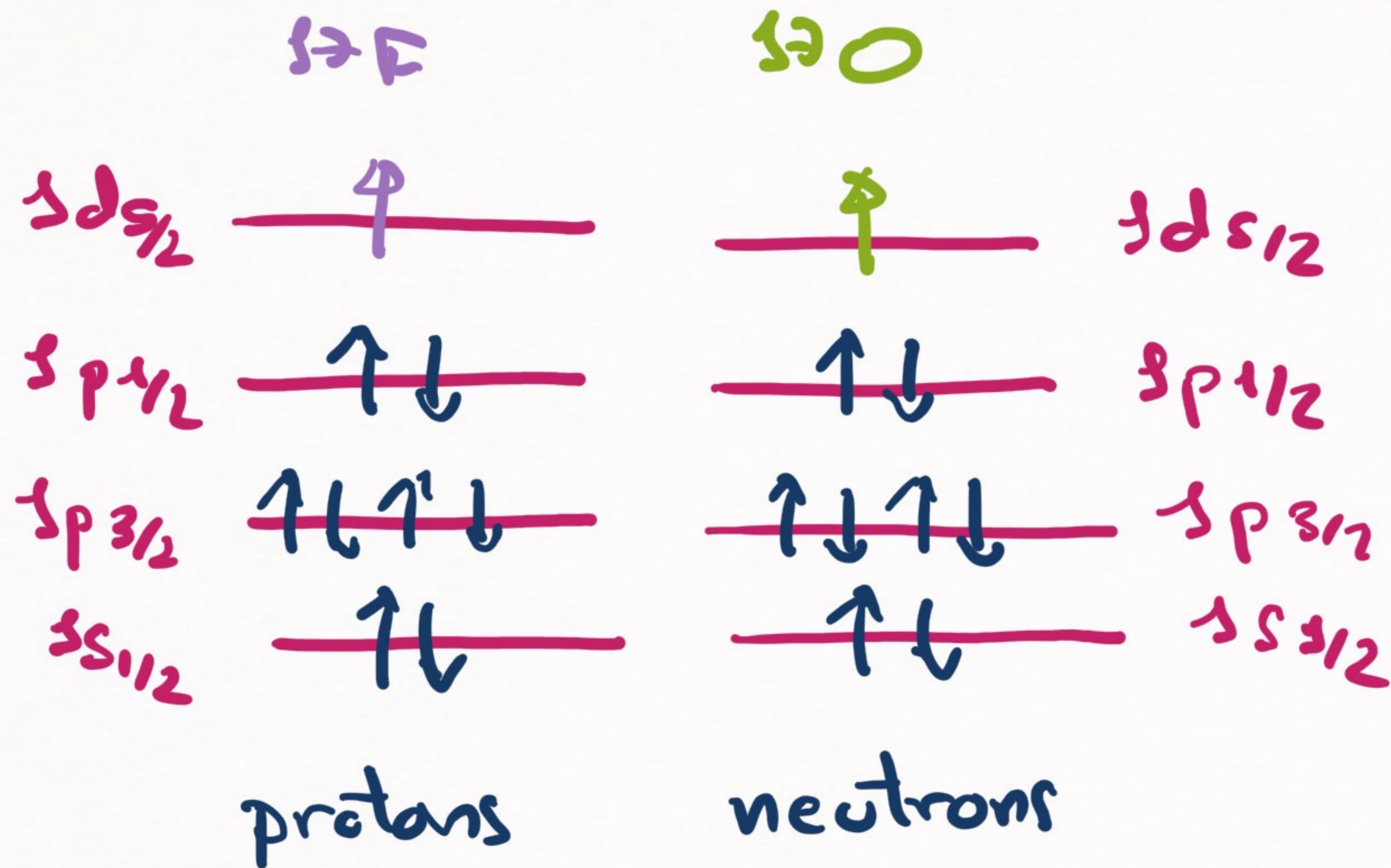
# [ SHELL MODEL : APPLICATIONS ] ②

=> Filling the shells:  $^{17}\text{O} / ^{17}\text{F} \subseteq (360 \text{ core} + n \text{ or } p)$

$J^P(^{17}\text{O}) = \left(\frac{5}{2}\right)^+ \rightarrow d\text{-wave}$   
 $\hookrightarrow J = 5/2$

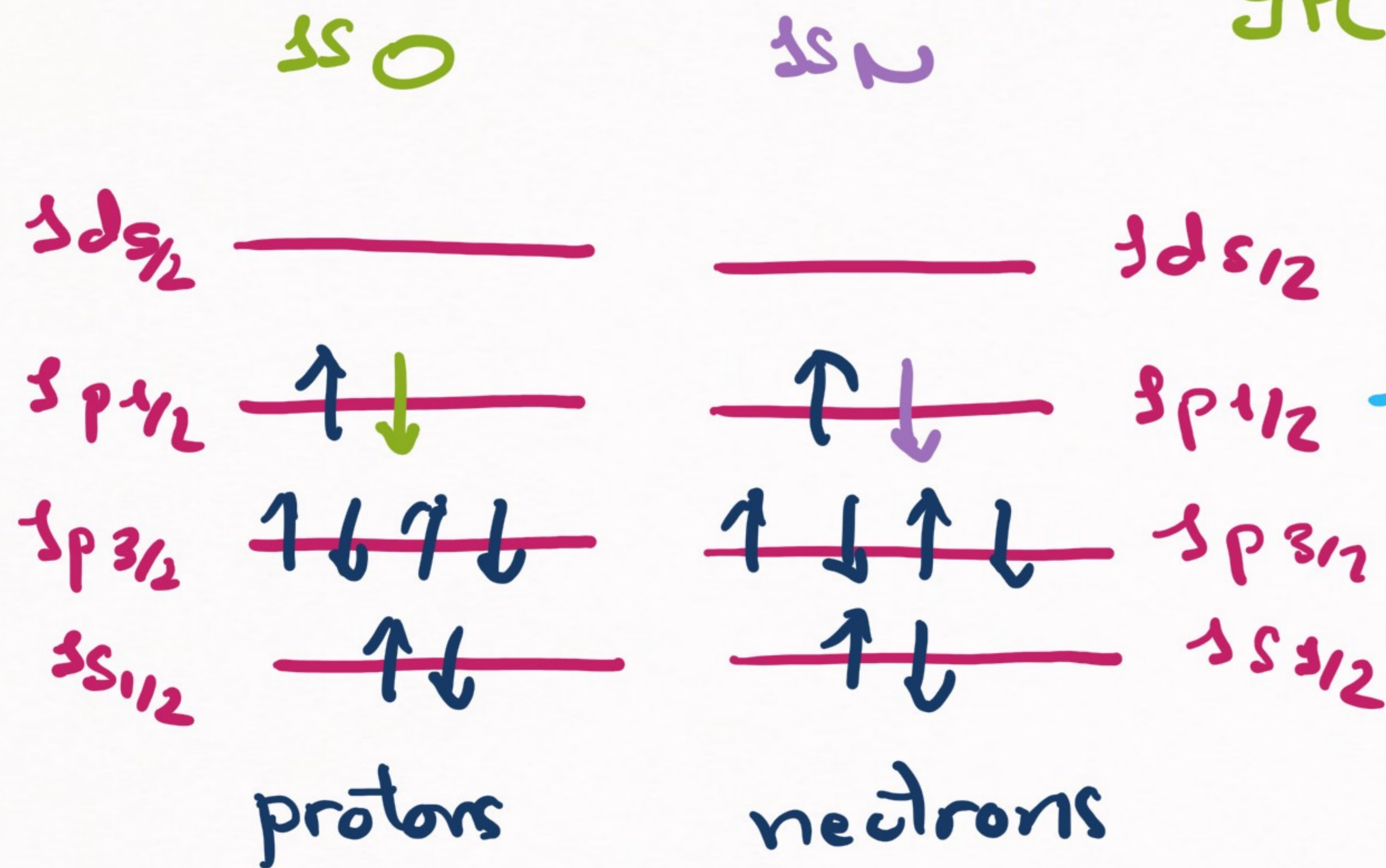
$J^P(^{17}\text{F}) = \left(\frac{5}{2}\right)^+$

360 core



# [ SHELL MODEL : APPLICATIONS ] ③

=> Leaving a shell not filled:  $^{15}\text{O} / ^{15}\text{N} \rightarrow$  (160 core - (n or p))



$$J^P(^{15}\text{O}) = J^P(\text{unpaired neutron}) = \left(\frac{1}{2}\right)^- \rightarrow p\text{-wave}$$

--->  $J = 1/2$

$$J^P(^{15}\text{N}) = \left(\frac{1}{2}\right)^- (\text{unpaired proton})$$

## [ SHELL MODEL : APPLICATIONS ] ④

⇒ The basic idea in these examples is :

- 1)  $16\text{O} \rightarrow 8\text{p}, 8\text{n}$  (doubly magical)  $\rightarrow$  just fill all shells
- 2)  $17\text{O} / 17\text{F} \rightarrow 16\text{O} + \text{neutron/proton} \rightarrow$  fill the next shell (which will be unpaired)
- 3)  $15\text{O} / 15\text{N} \rightarrow 16\text{O} - \text{neutron/proton}$



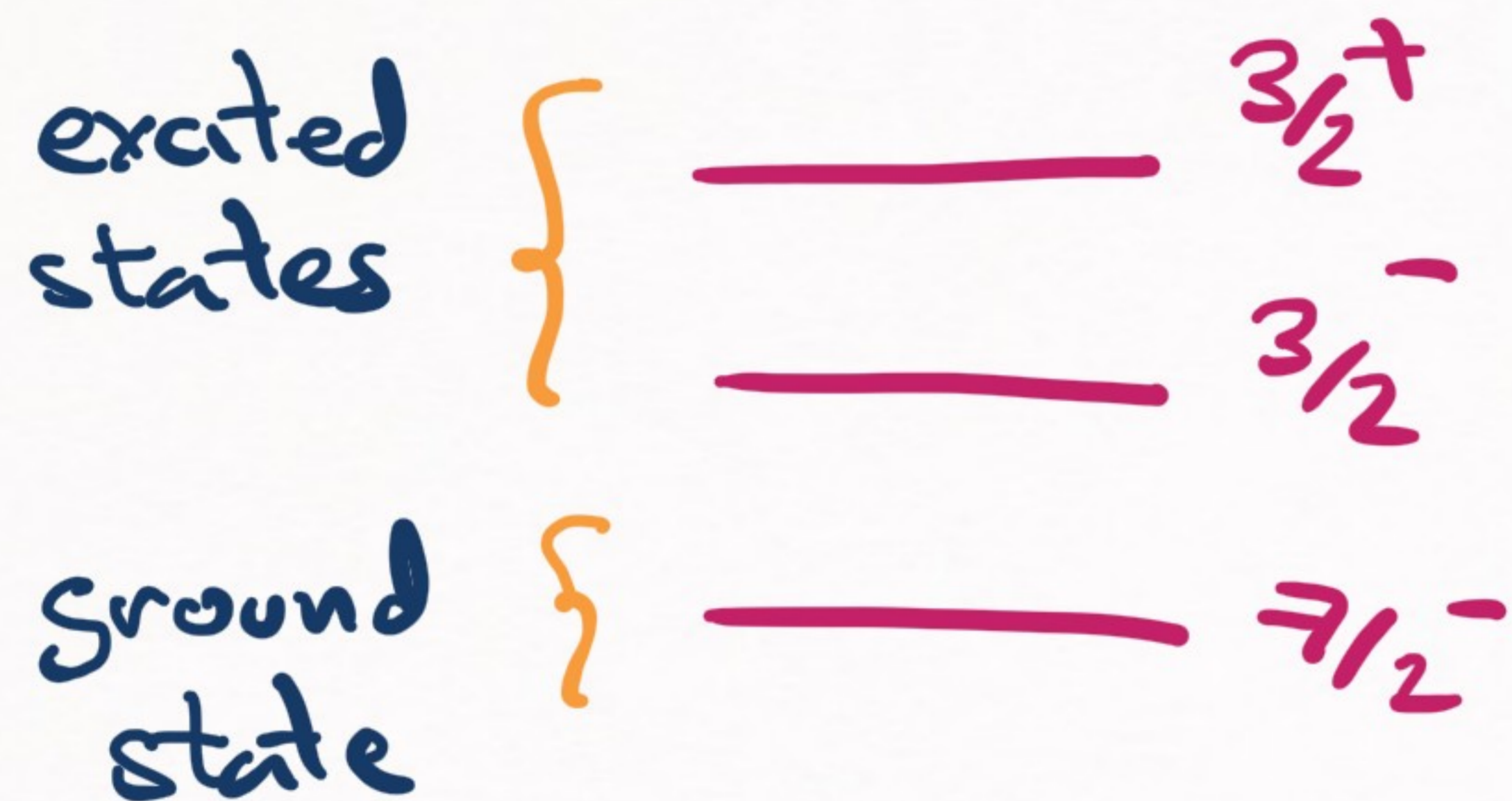
hole in one of the last shells

it's always the unpaired nucleon that determines  $J^P$

# [ SHELL MODEL : APPLICATIONS ] (5)

⇒ Next application: excited states

$43\text{Ca} \rightarrow 20\text{ protons, } 21\text{ neutrons}$



⇒ We want to reproduce this in the shell model

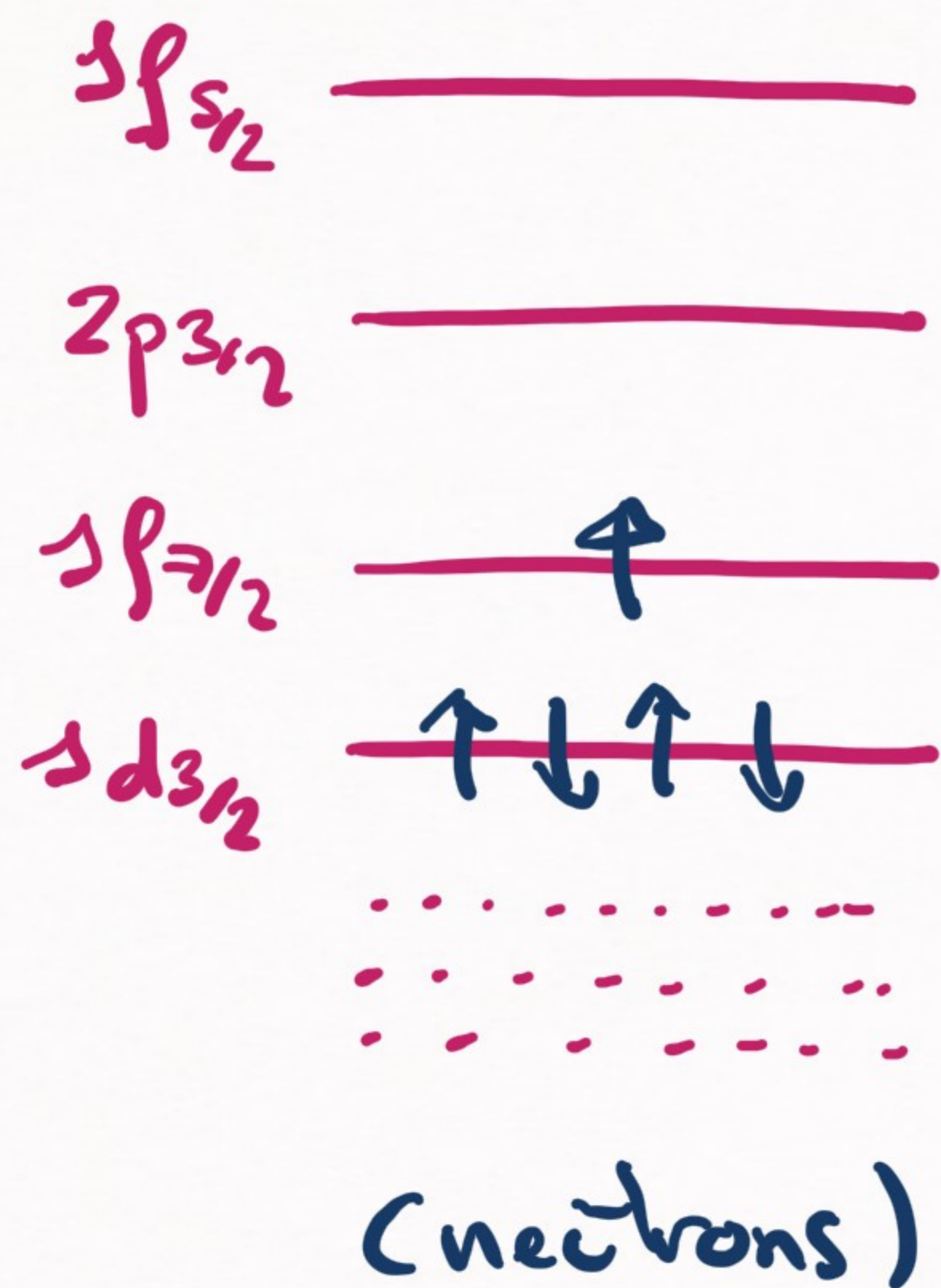
$43\text{Ca} \rightarrow (40\text{Ca core}) + (\text{a single neutron})$

$20+20$   
 $JP=0^+$

this determines JP

# [ SHELL MODEL : APPLICATIONS ] (6)

$\Rightarrow$   $41\text{Ca}$  : ground state  $\rightarrow JP = \frac{7}{2}^-$



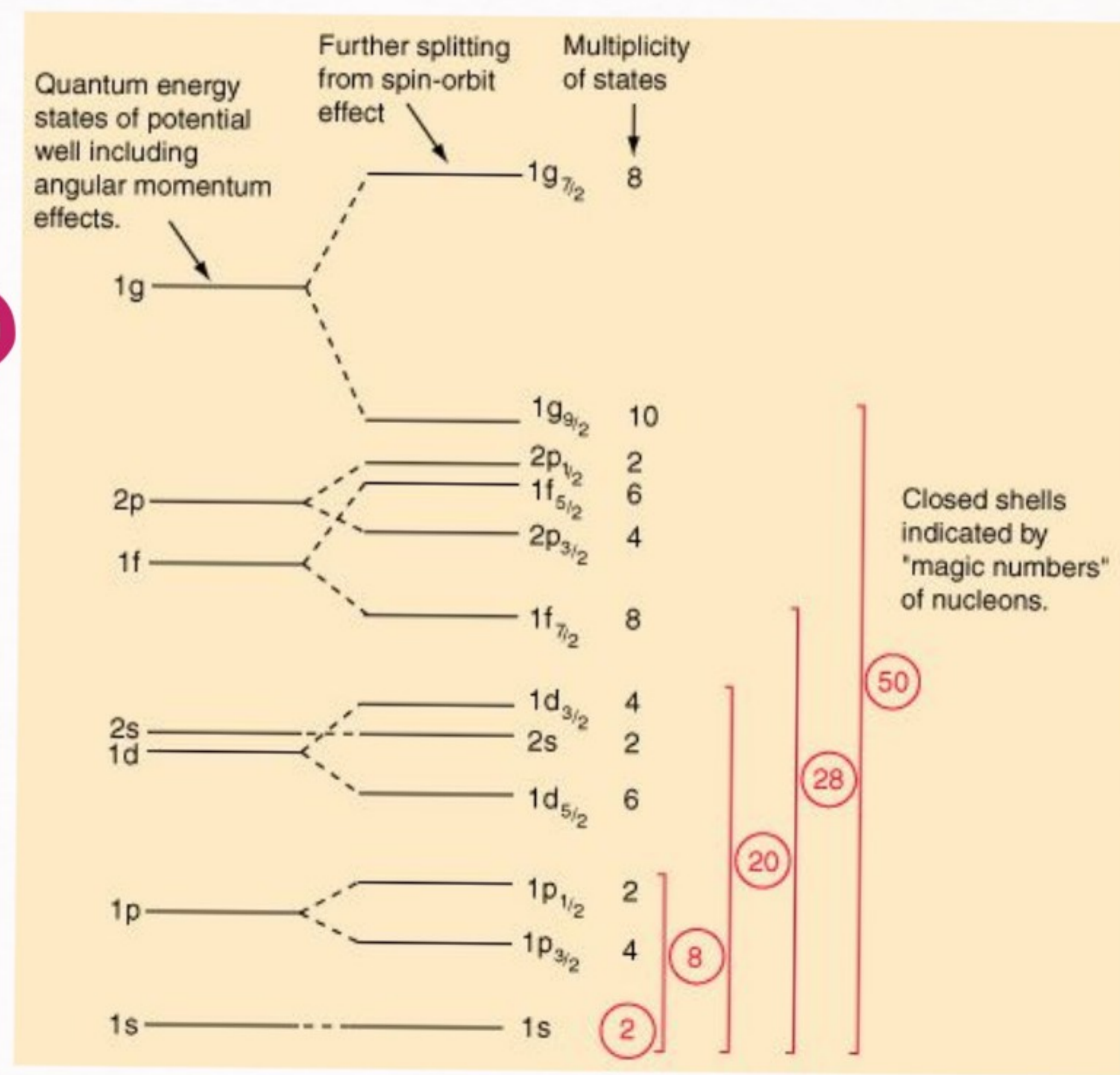
The ground state should have:

$$JP = \left( \frac{7}{2} \right)^- \rightarrow l=3 \text{ (f-wave)}$$

$$\rightarrow j=7/2$$

} Filled shells } this makes 20

Reminder  $\rightarrow$



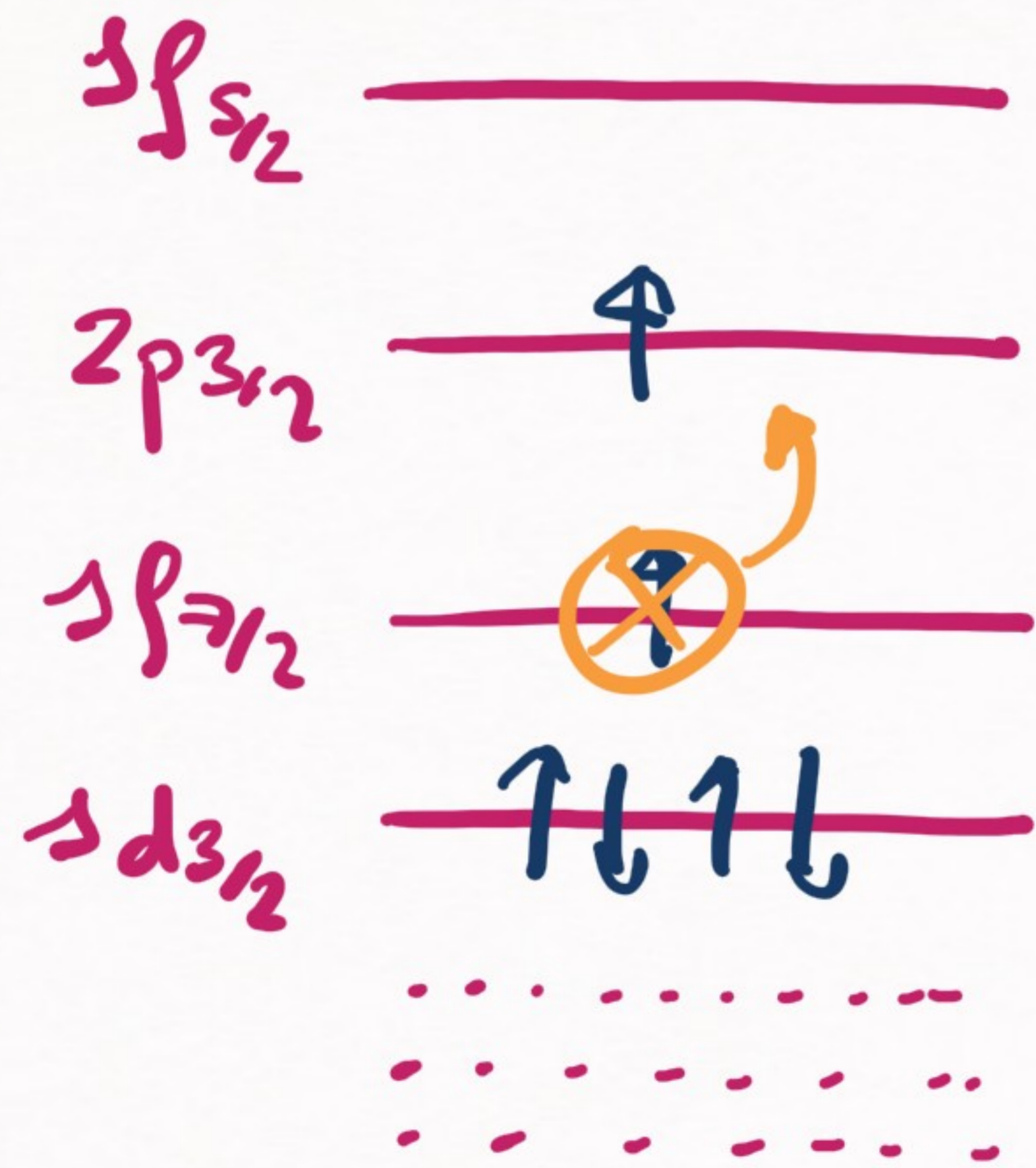
# [ SHELL MODEL : APPLICATIONS ] (6)

⇒  $4sCa$  : first excited state  $J^P = \frac{3}{2}^-$

With this choice  
the prediction is:

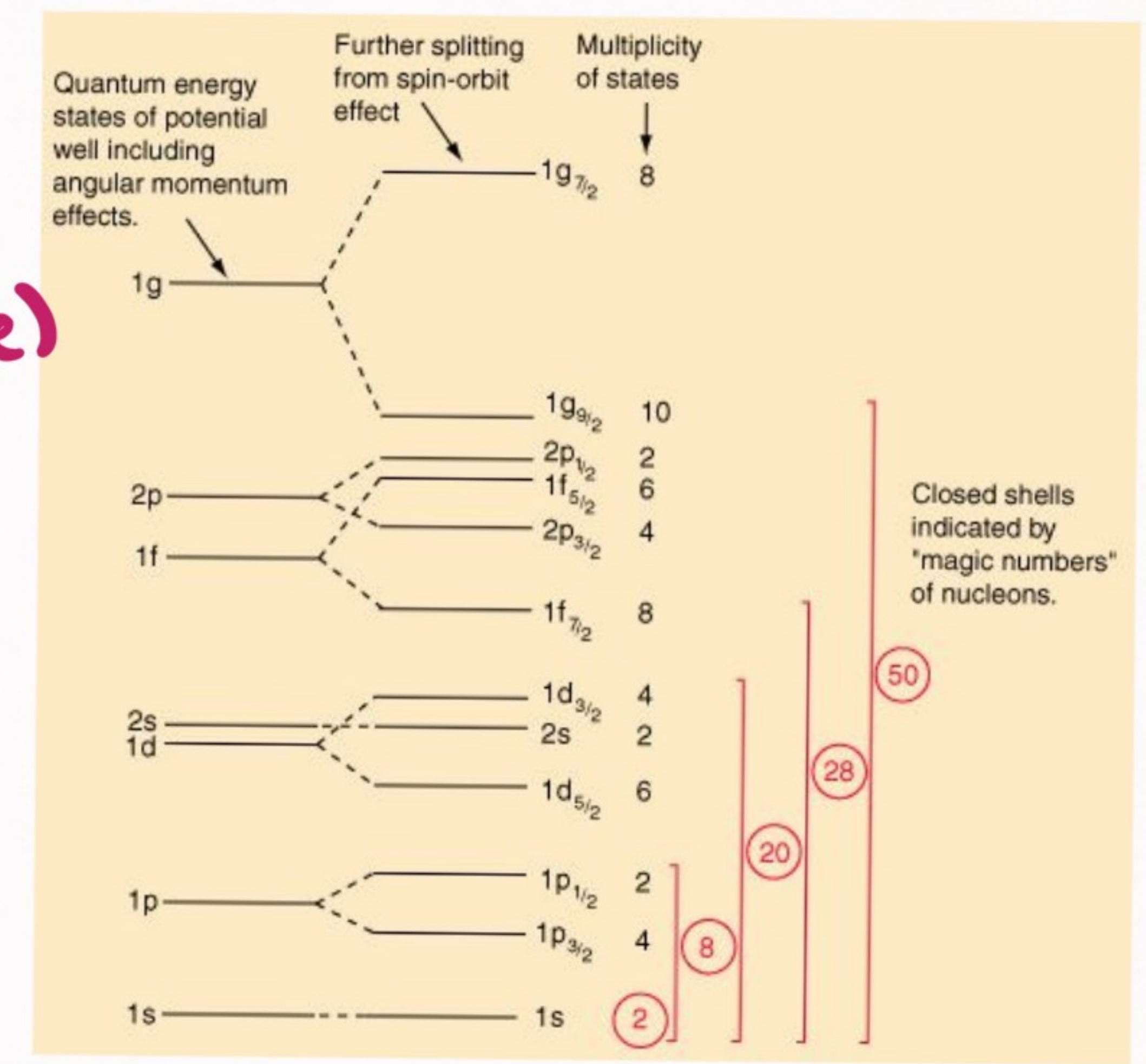
$$J^P = \left(\frac{3}{2}\right)^- \rightarrow l=1 \text{ (p-wave)}$$

$$J = \frac{3}{2}$$



} all filled shells

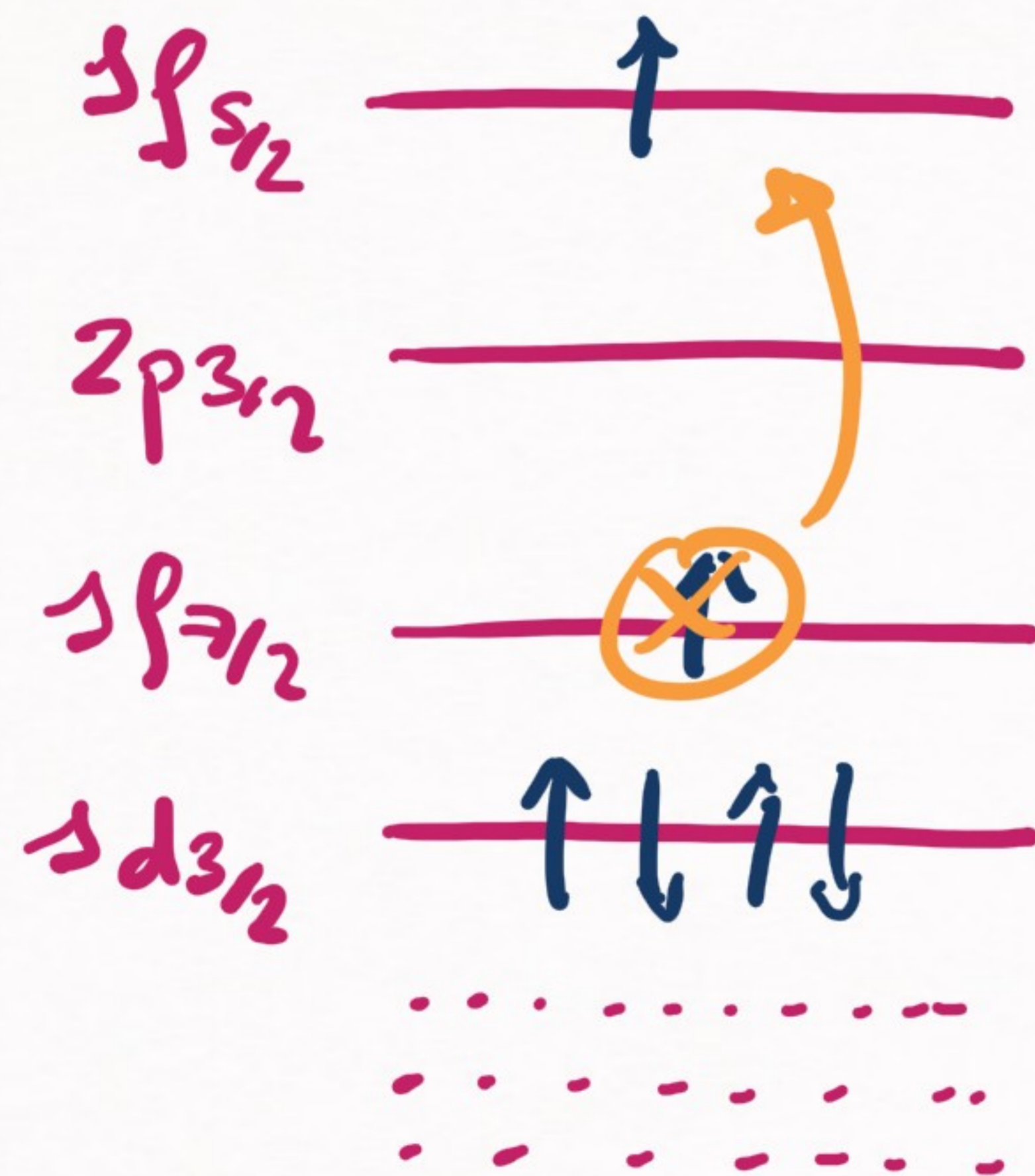
Reminder →



# [ SHELL MODEL : APPLICATIONS ] (7)

⇒  $4sCa$  : second excited state (a)

This choice predicts:



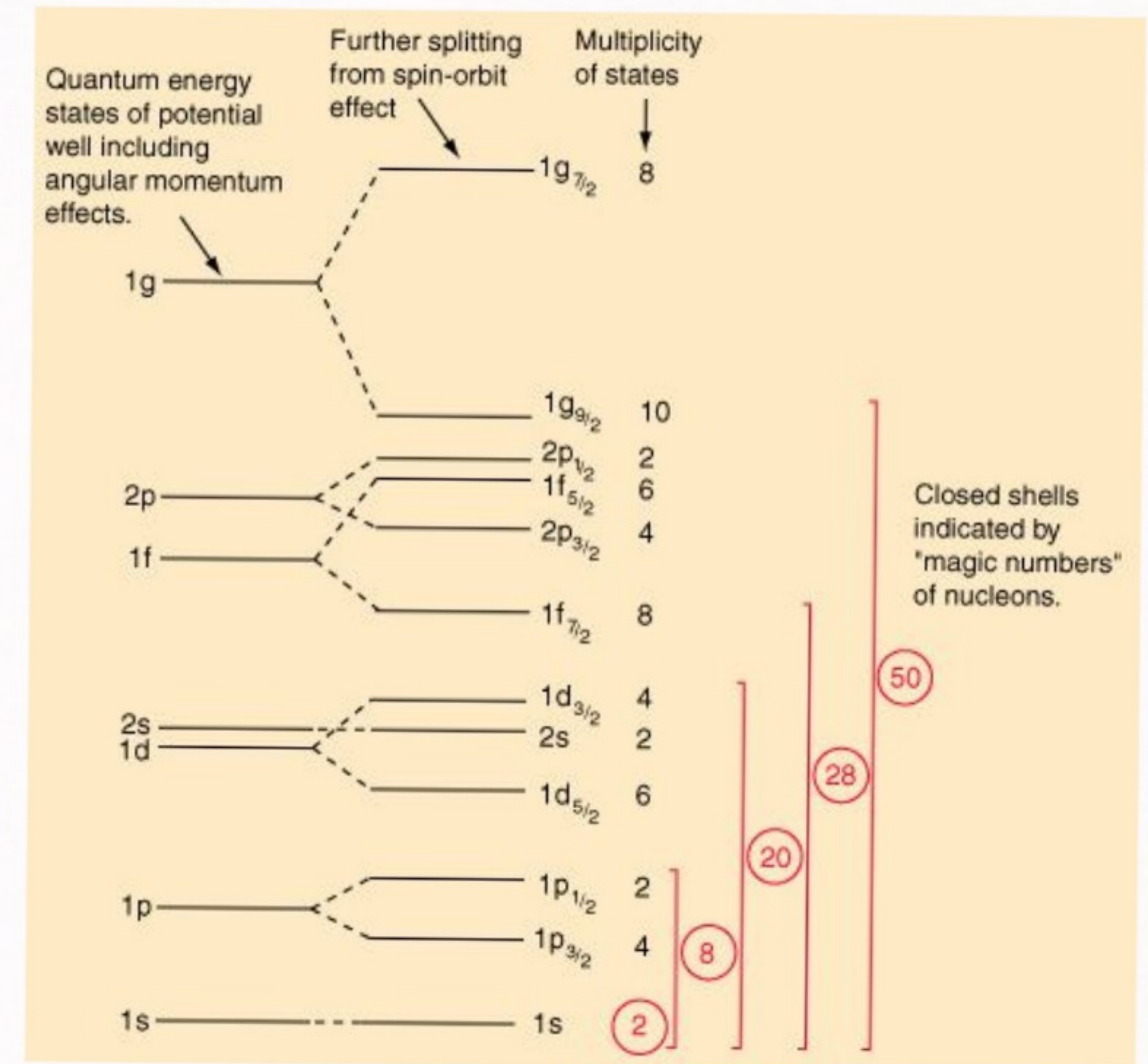
$$JP = \left(\frac{5}{2}\right)^-$$

$$\text{But } JP_{\text{exp}} = \left(\frac{3}{2}\right)^+$$

(something is not right w/ this explanation)

} all filled

Reminder →





# [ SHELL MODEL : APPLICATIONS ] (7)

⇒  $4sCa$  : second excited state (b)

$$J^P = \left(\frac{3}{2}\right)^+$$

The prediction will be:

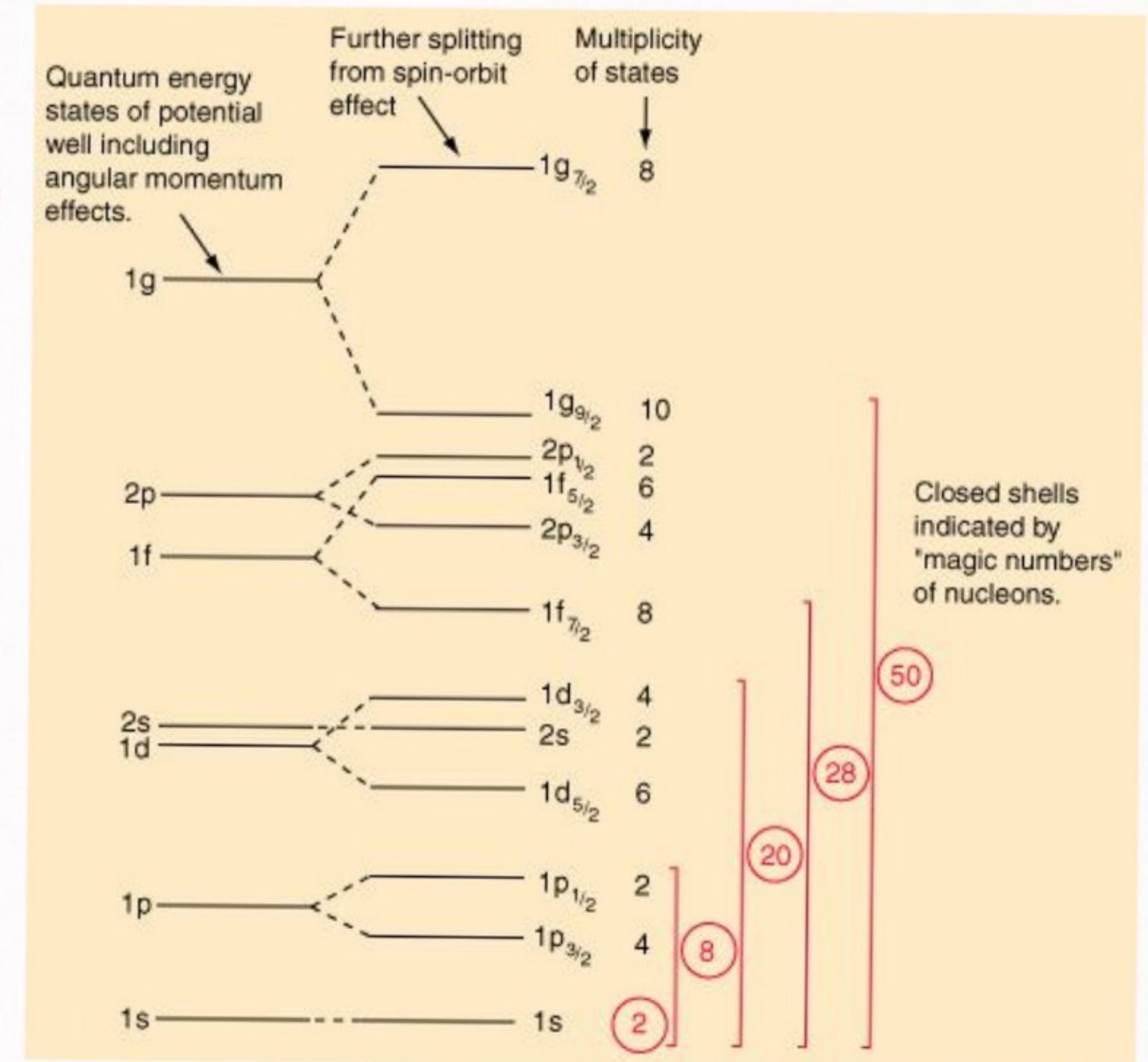
$$J^P = \left(\frac{3}{2}\right)^+ \rightarrow l = 2 \text{ (d-wave)}$$

$$J = 3/2$$



all filled shell

Reminder ↷

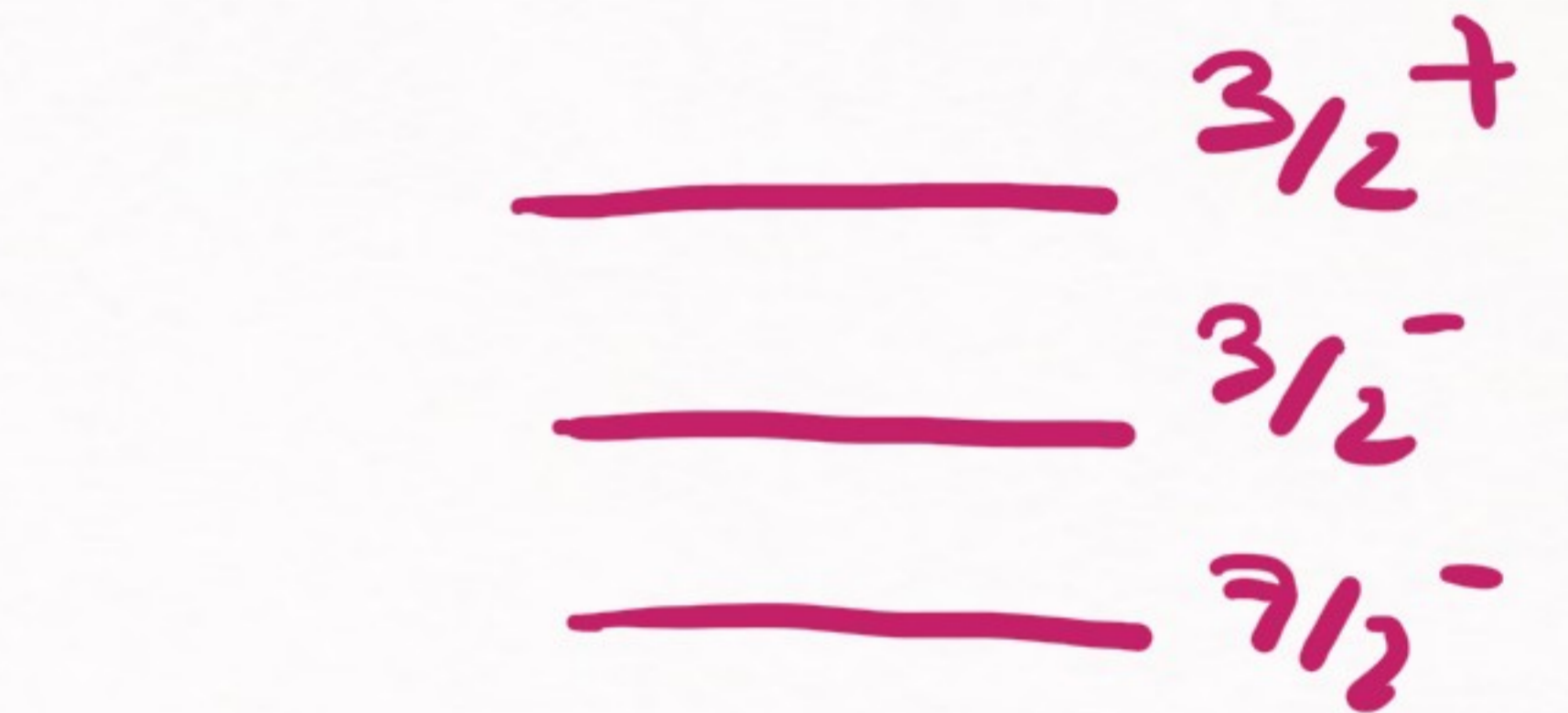
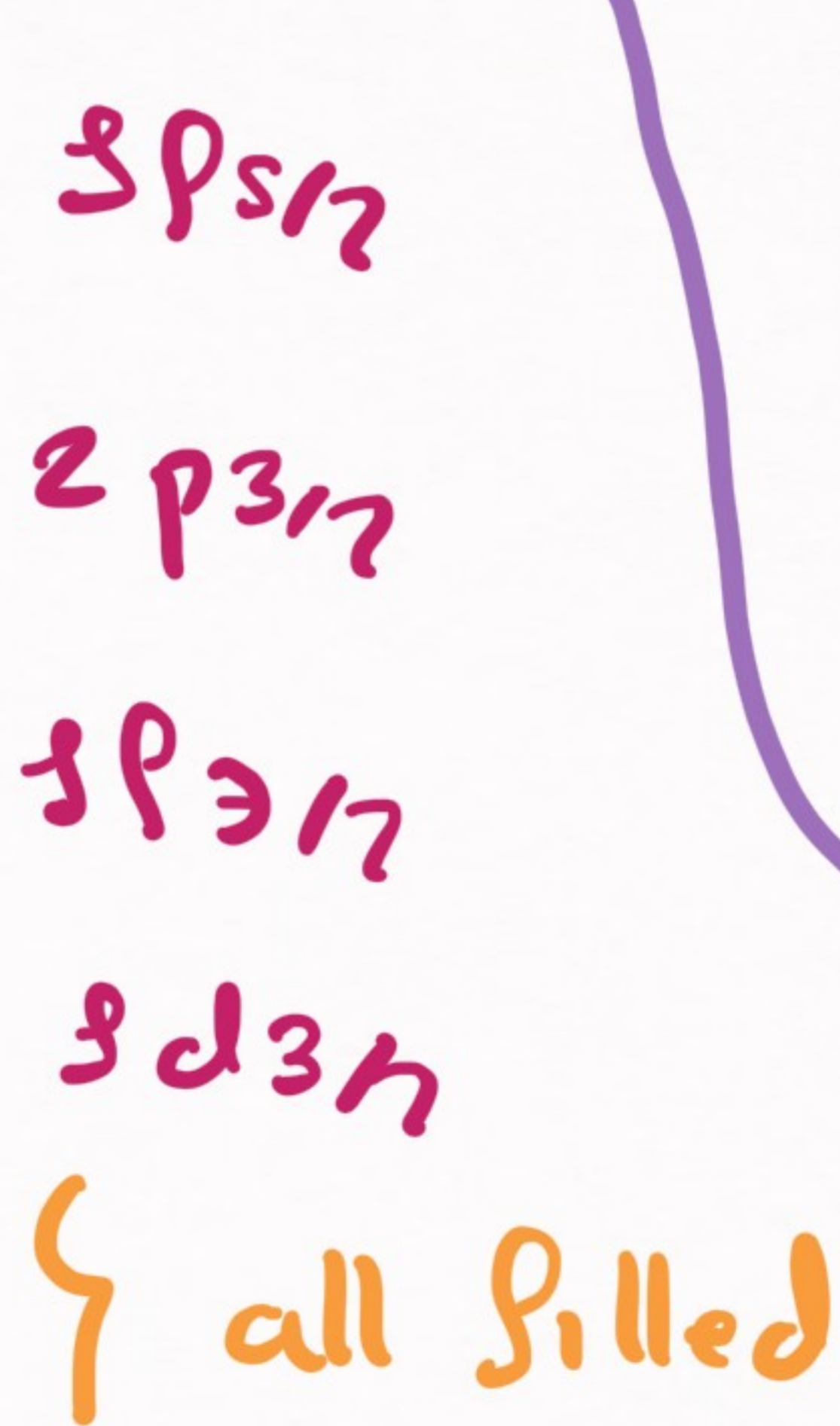
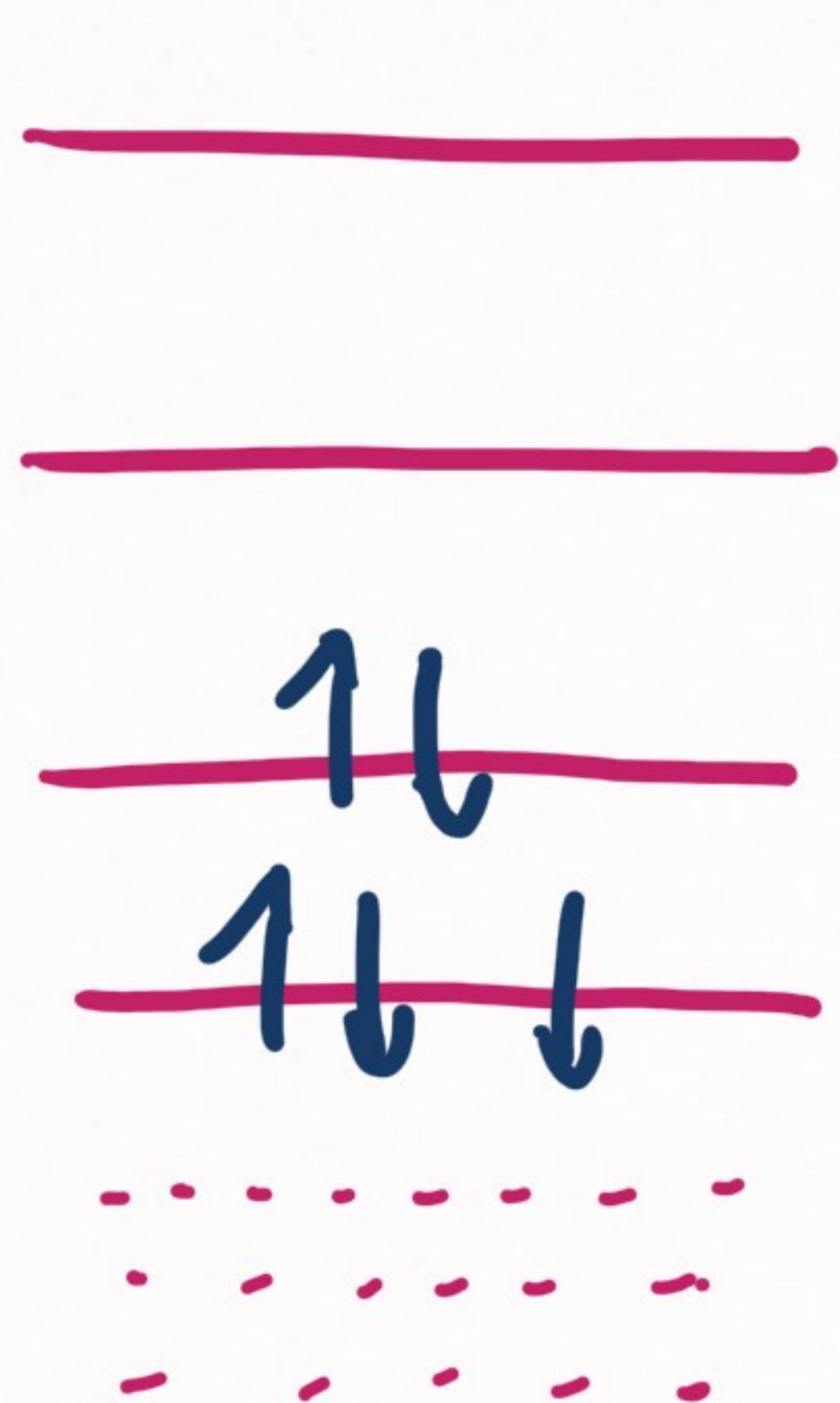
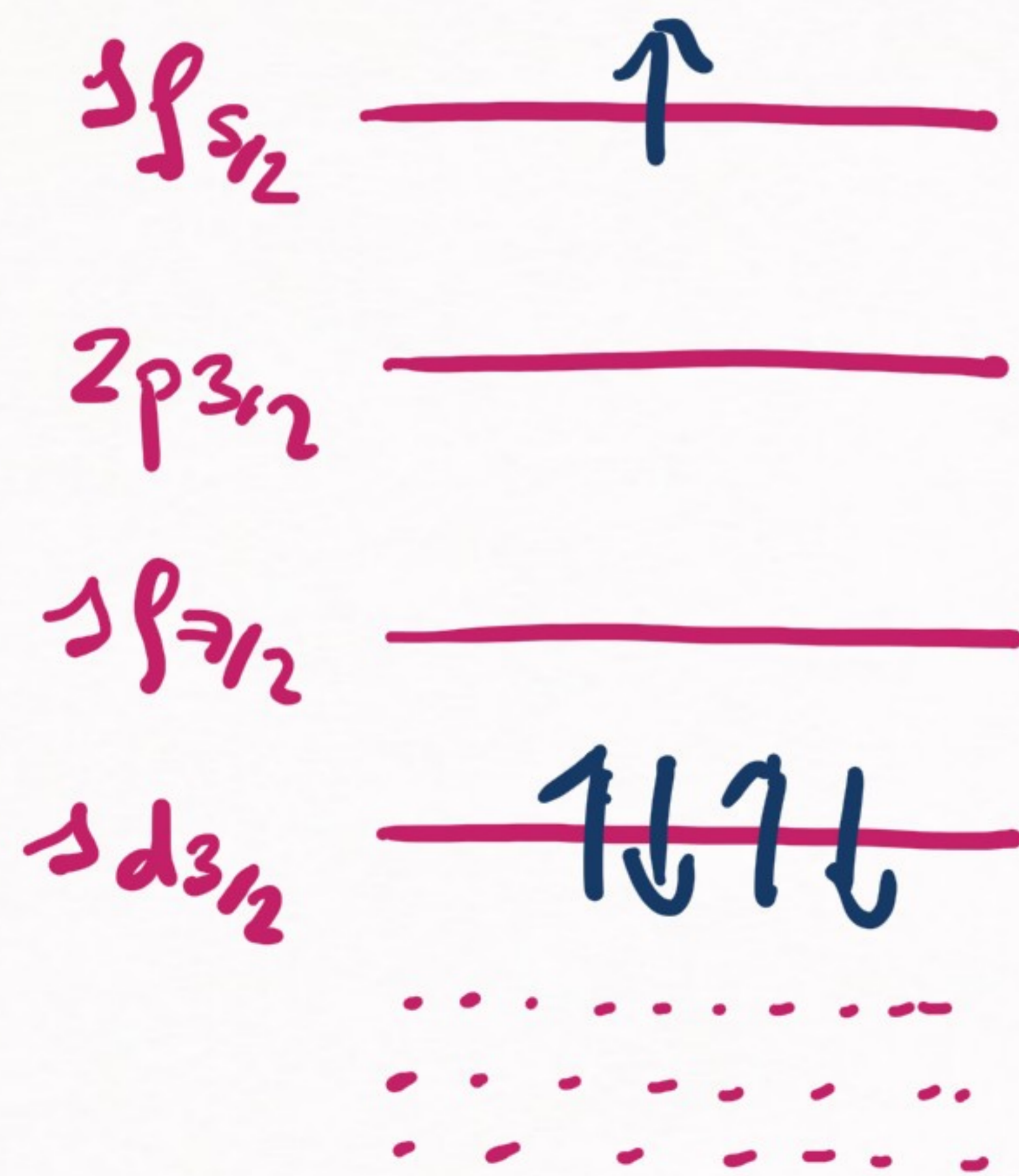


# [ SHELL MODEL : APPLICATIONS ] (7)

⇒  $4sCa$  : second excited state (c) → ∃ more than one way to explain a state

Explanation (a)

Explanation (b)



⇒ this is the choice that nature takes

# [ SHELL MODEL : APPLICATIONS ] ⑧

=> A more complicated example:  $^{38}\text{Ar} \rightarrow$  18 protons  
20 neutrons

excited  $2^+$   
ground  $0^+$  } this pattern is almost universal  
for even-even nuclei ( $0^+, 2^+$ )

=> Ground state:

$1p_{3/2}$  \_\_\_\_\_  
 $1d_{3/2}$   $\uparrow\downarrow$  \_\_\_\_\_  
 $1s_{1/2}$   $\uparrow\downarrow$  \_\_\_\_\_  
 ..... } full shells  
 (protons)

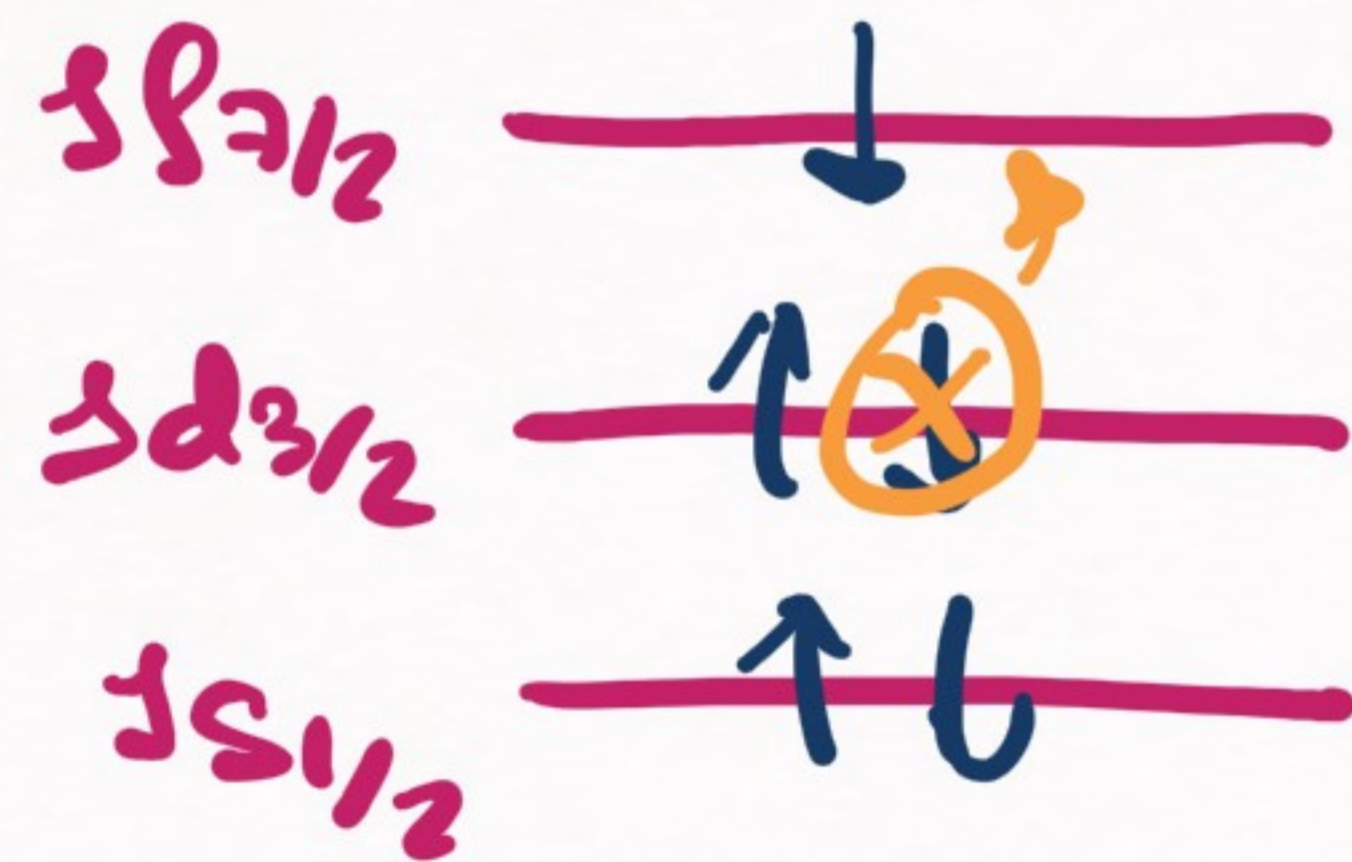
all protons are paired =>  $J^P = 0^+$

# [ SHELL MODEL : APPLICATIONS ] ⑨

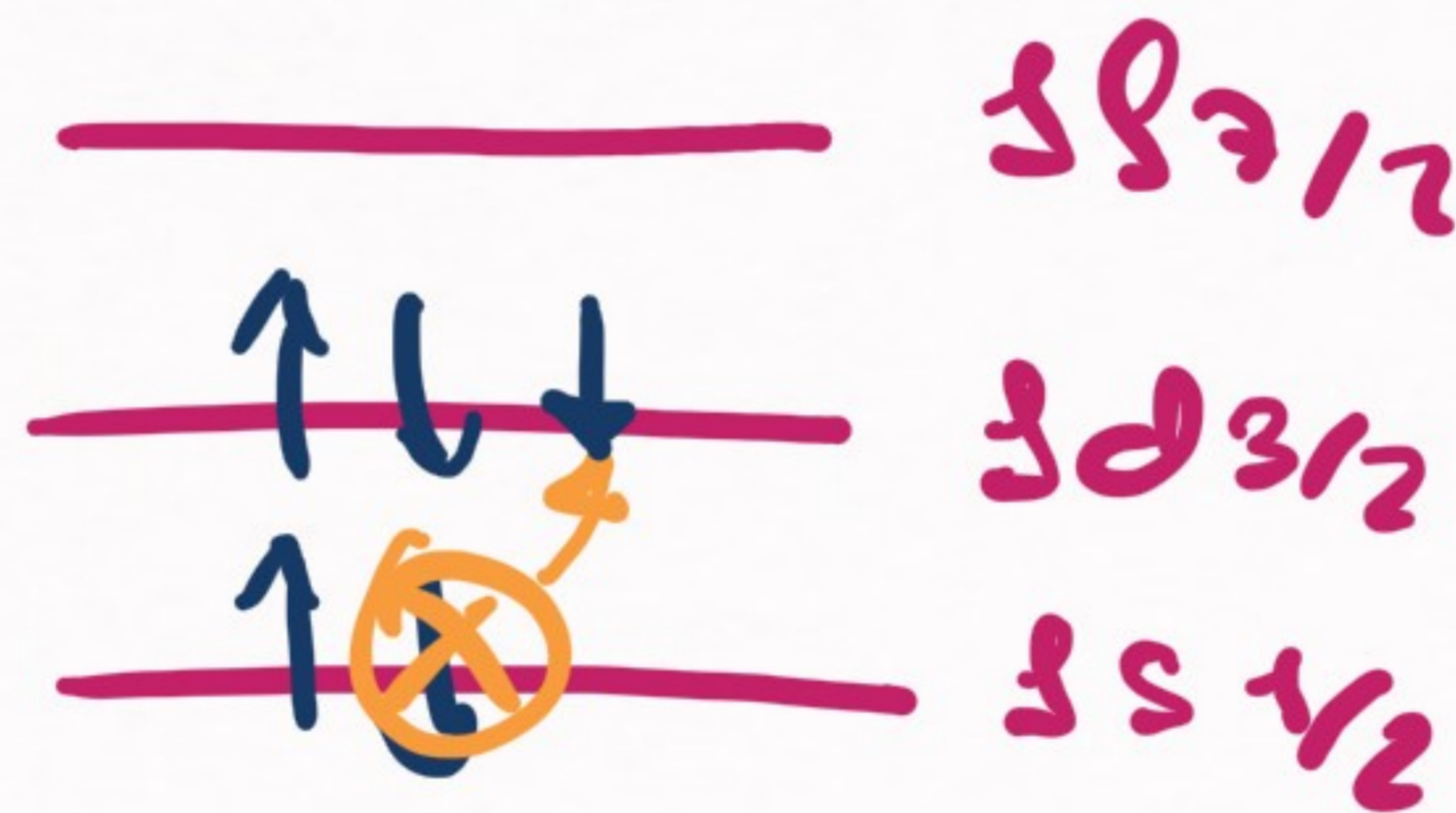
=>  $^{38}\text{Ar}$  : excited states  
 $[JP = 2^+]$

①  $JP = \left( \frac{3}{2}^+ \otimes \frac{7}{2}^- \right)$   
 $= 2^- \oplus 3^- \oplus 4^- \oplus 5^-$   
 (one of these)

②



③



(protons)

②  $JP = \left( \frac{1}{2}^+ \otimes \frac{3}{2}^+ \right)$   
 $= 1^+ \oplus 2^+$   
 (one of these)

the choice that nature makes  
 $\approx$

# [SHELL MODEL: PAIRING INTERACTION] ③

=> Reminder:  $H = \sum_i \frac{p_i^2}{2m_i} + \sum_j V_j^{2B} + \sum_{j,k} V_{jk}^{3B} + \dots$

[ (try to guess a good  $V_i^{HF}$ ) ] =>  $H = \sum_i \left( \frac{p_i^2}{2m_i} + V_i^{HF} \right) + \Delta V$

=> Easiest example: pairing interaction

we will always have a bit of residual interaction

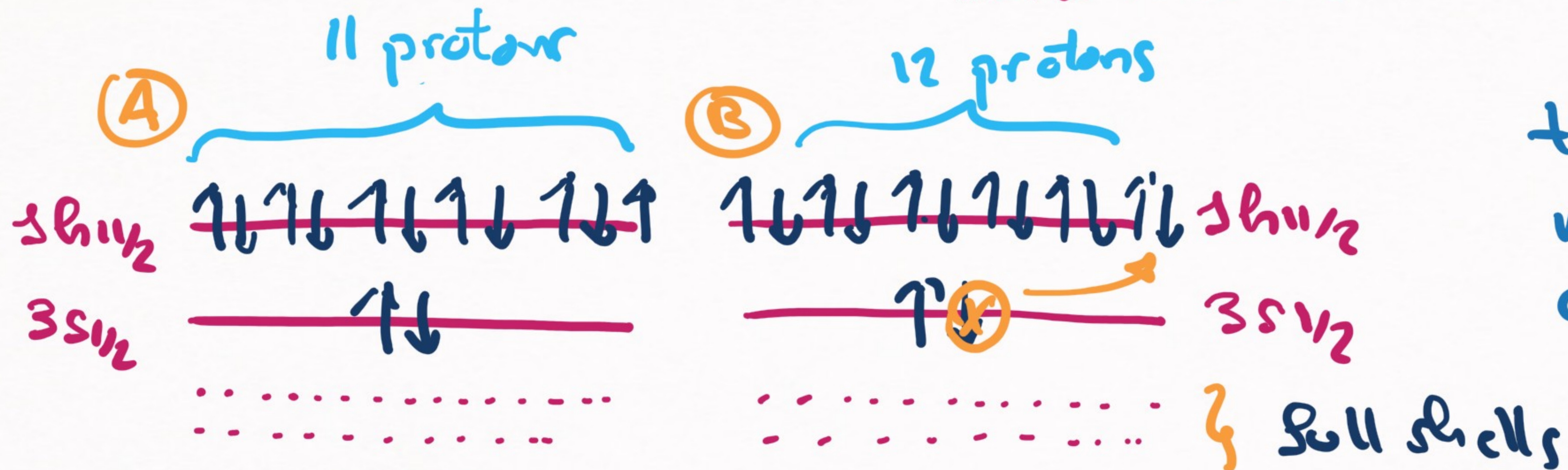
$$\langle JJ(\pi\mu) | V_{\text{pairing}} | JJ(\pi\mu) \rangle = -\frac{1}{2} g(j+1) \delta_{\pi 0} \delta_{\mu 0}$$

two protons/neutrons in an orbital with  $j$  } → they couple to  $\pi\mu$

# [SHELL MODEL: PAIRING INTERACTION] (2)

⇒ The pairing interaction is important for shells with high "j"

Example:  $^{203}\text{Tl}$  /  $^{205}\text{Tl}$     83 protons     $\langle \nu_{\text{pairing}} \rangle \propto j$  ( $j = \frac{11}{2}$ )  
 122 / 124 neutrons



$E_B < E_A$   
 pairing

⇒  $J^P(^{203}/^{205}\text{Tl}) = (\frac{1}{2})^+$

this will determine min energy configuration

- if j is high
- (A) →  $J^P = (\frac{11}{2})^+$
- (B) →  $J^P = (\frac{1}{2})^+$

# [SHELL MODEL: PAIRING INTERACTION] ③

Example 2:  $^{207}\text{Pb} \rightarrow 82 \text{ protons, } \underline{125 \text{ neutrons}}$   
 we look at the neutrons



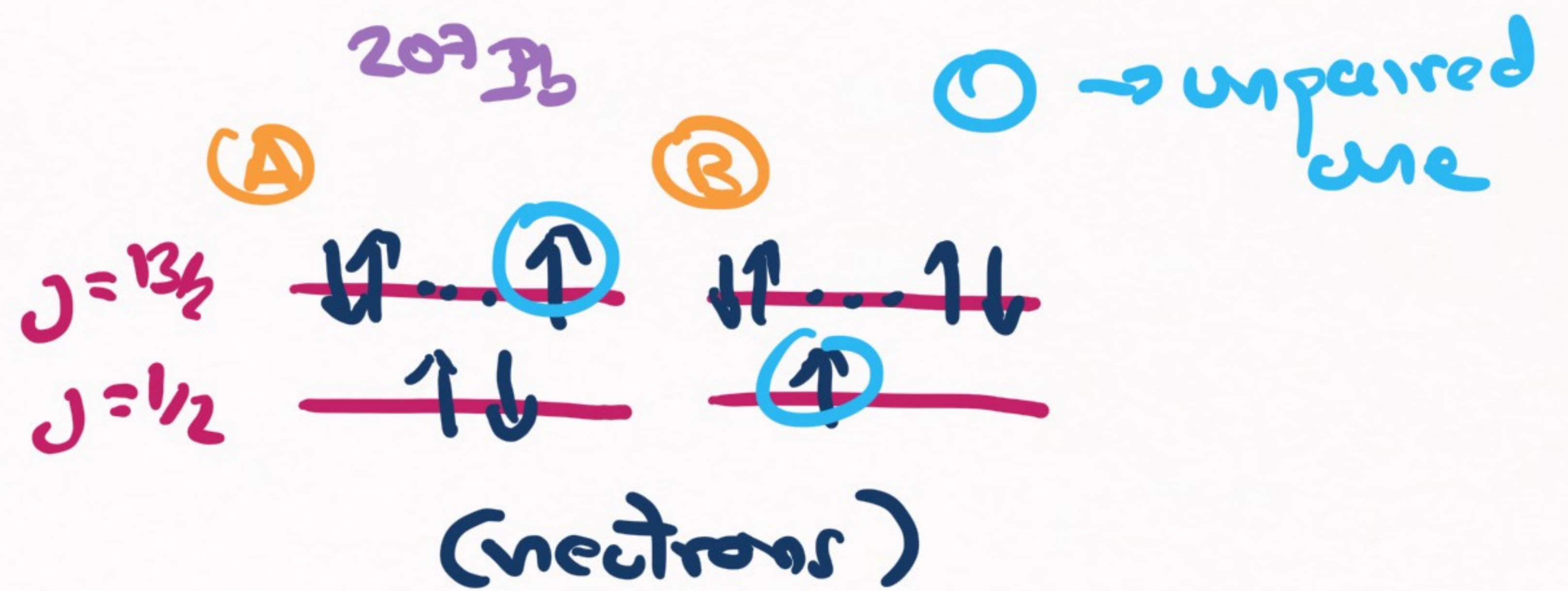
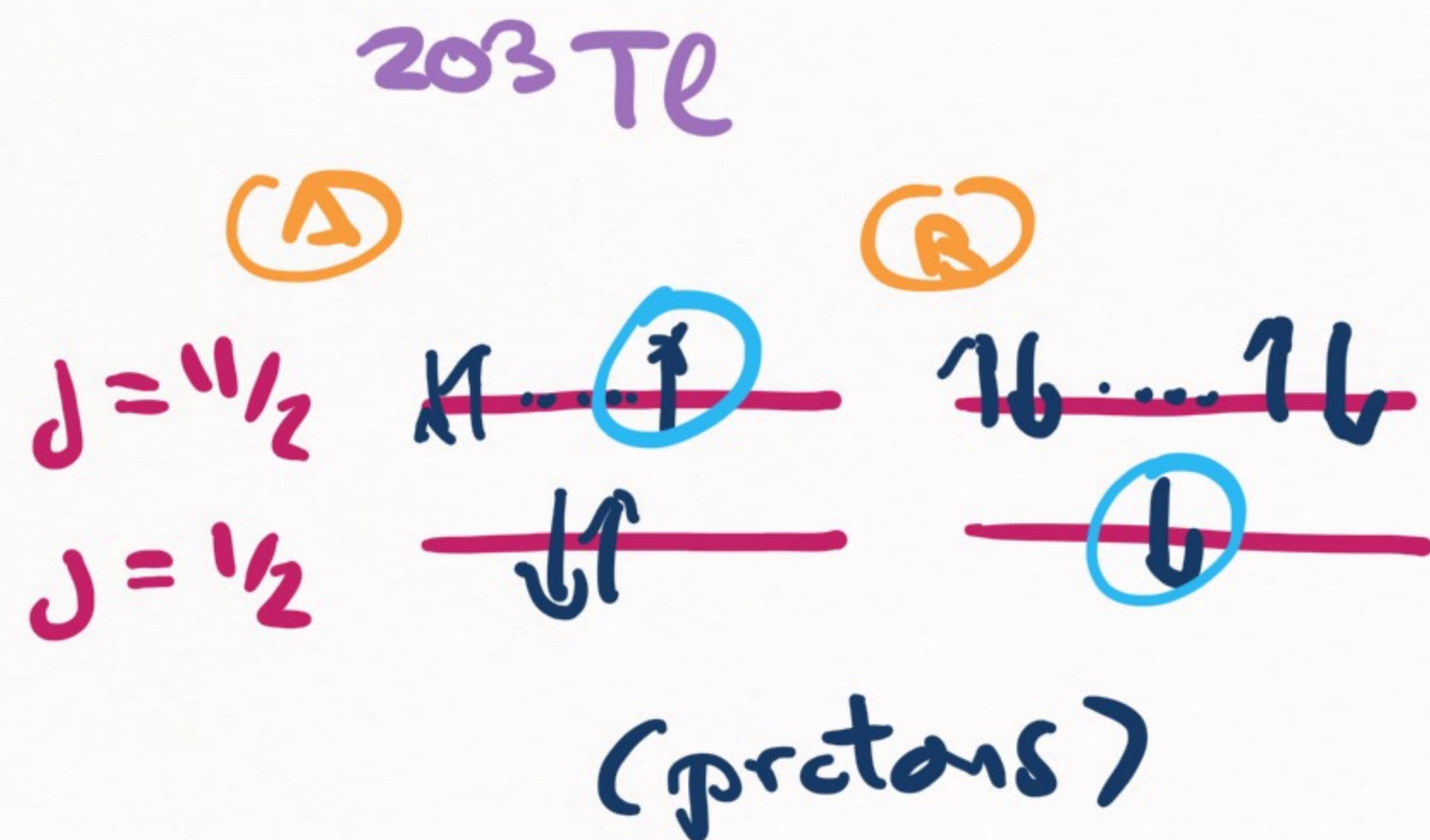
$$\boxed{E_B < E_A} \rightarrow \mathcal{J}P(^{207}\text{Pb}) = \left(\frac{1}{2}\right)^+$$

$$\downarrow \qquad \downarrow$$

$$\mathcal{J}_p = \left(\frac{1}{2}\right)^+ \qquad \mathcal{J}_p = \left(\frac{13}{2}\right)^+$$

# [SHELL MODEL: PAIRING INTERACTION] (4)

=> In both cases the principle is the same



Pairing in the high  $j$  shell implies that  $E_B < E_A$

=> Nature chooses option B



## [ SHELL MODEL : OUTLOOK ]

⇒ These have been the basic features of the shell model

3) How to define  $V_{HF}$ ?

2) How to deal with  $\Delta V$ ?

⇒ We will check this in a future lesson

## RECAP 1

1) Shell model: idea of a mean-field + Fermi statistics

$$H = \sum_i H_i + \text{corrections} \Rightarrow H_i \Phi_i = \epsilon_i \Phi_i$$

$\Rightarrow$  We fill all the possible levels of  $\epsilon_i$

2) Spin-orbit interaction is required for magic numbers

3) Really simple mean field:  $V_{MF} = \frac{1}{2} m \omega^2 r^2 - \zeta \vec{L} \cdot \vec{r}$

4) Pairing interaction: simplest example  
of residual interaction ( $\zeta > 0$ )

See you on Tuesday

15:50

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