

# NUCLEAR PHYSICS (12)

## a) THE GOLDSTONE THEOREM

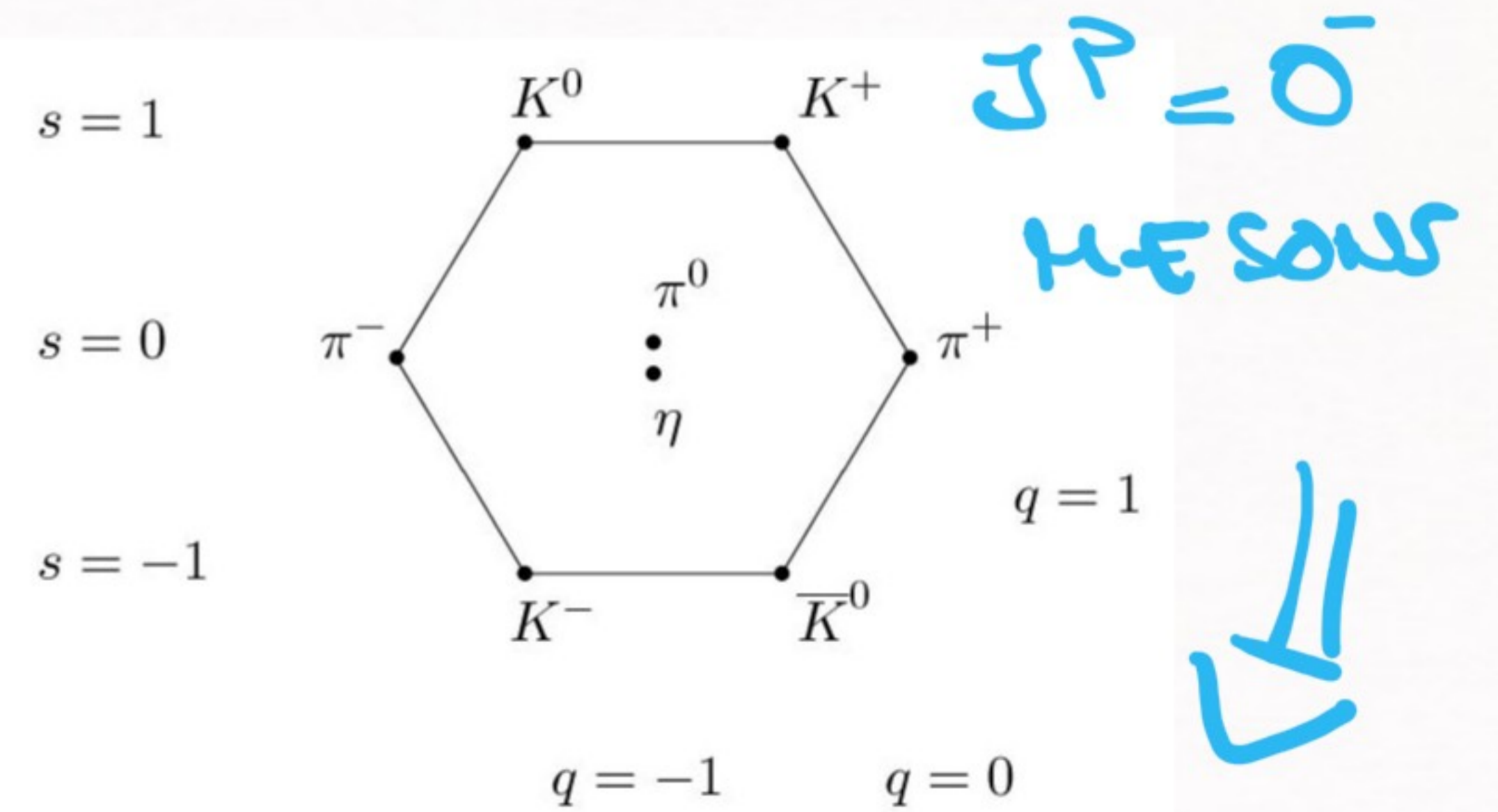
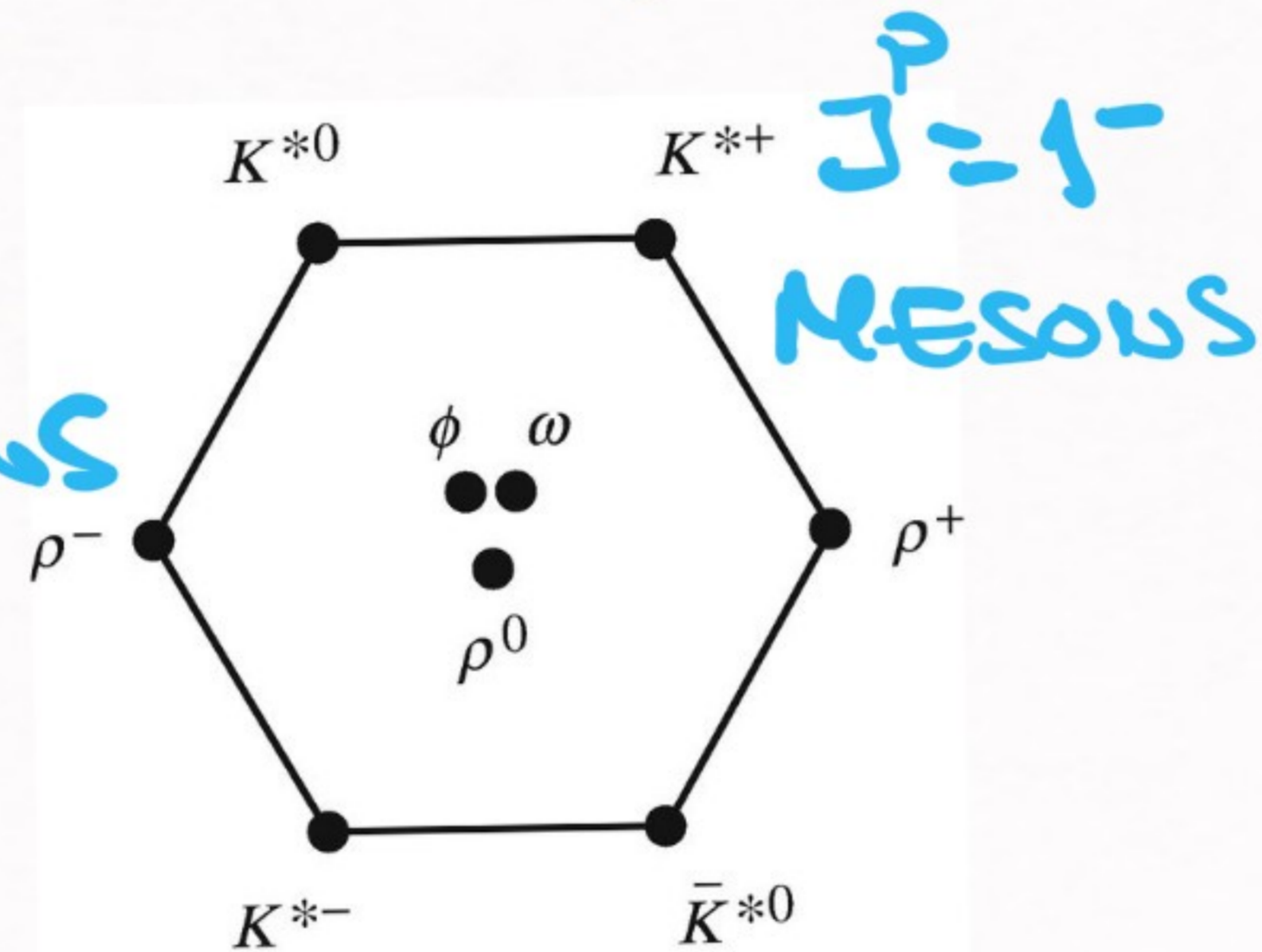
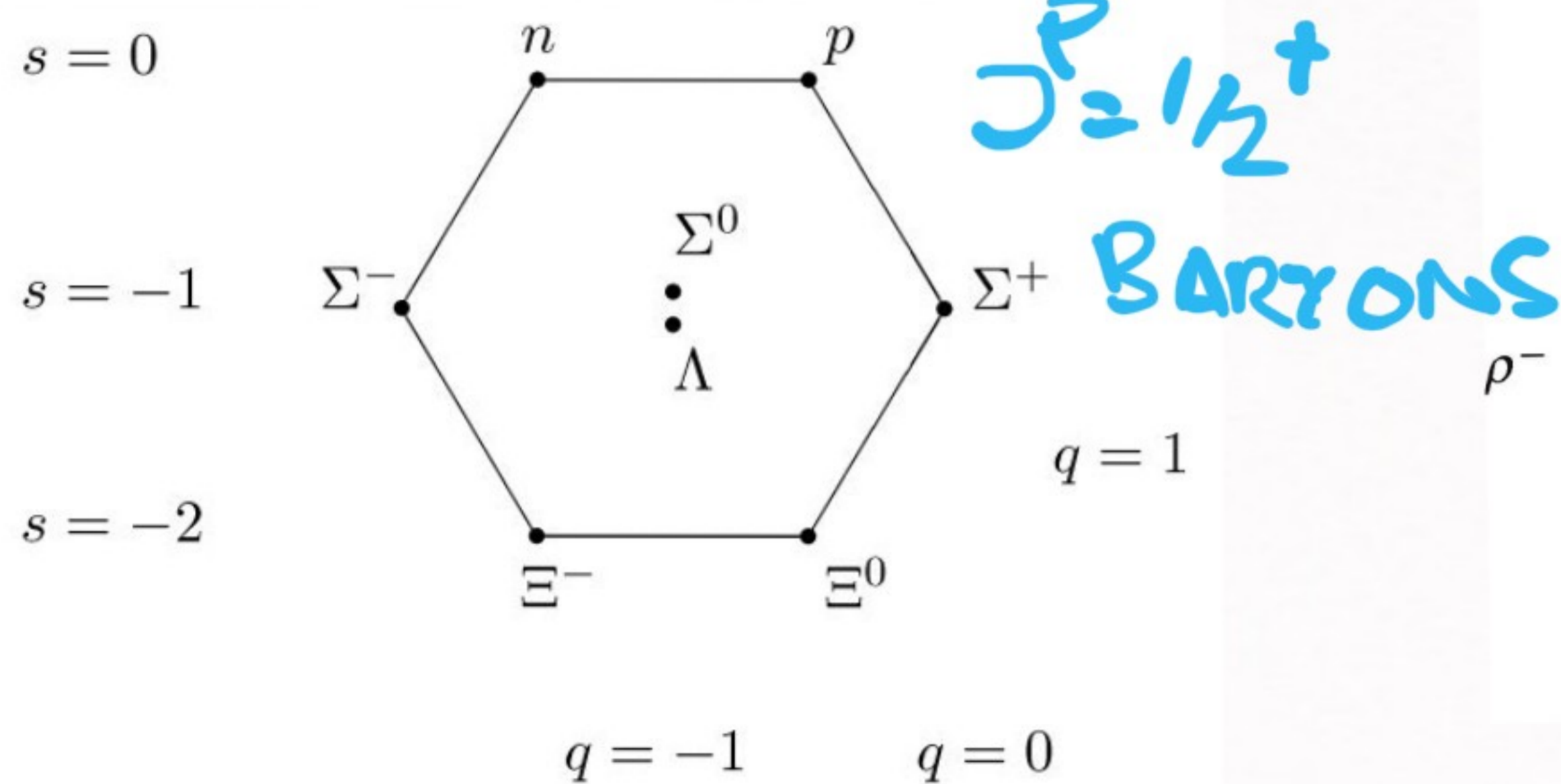
(the general explanation  
of the mexican hat trick)

## b) CHIRAL SYMMETRY

(the reason why the pion  
is the lightest hadron)

# RECAP

3) When studying the multiplets, we were confronted with a problem regarding their masses:



$m_n \approx m_p \approx 940 \text{ MeV}$   
 $m_\Lambda \approx 1115 \text{ MeV}$   
 $m_\Sigma \approx 1193 \text{ MeV}$   
 $m_\Xi \approx 1318 \text{ MeV}$

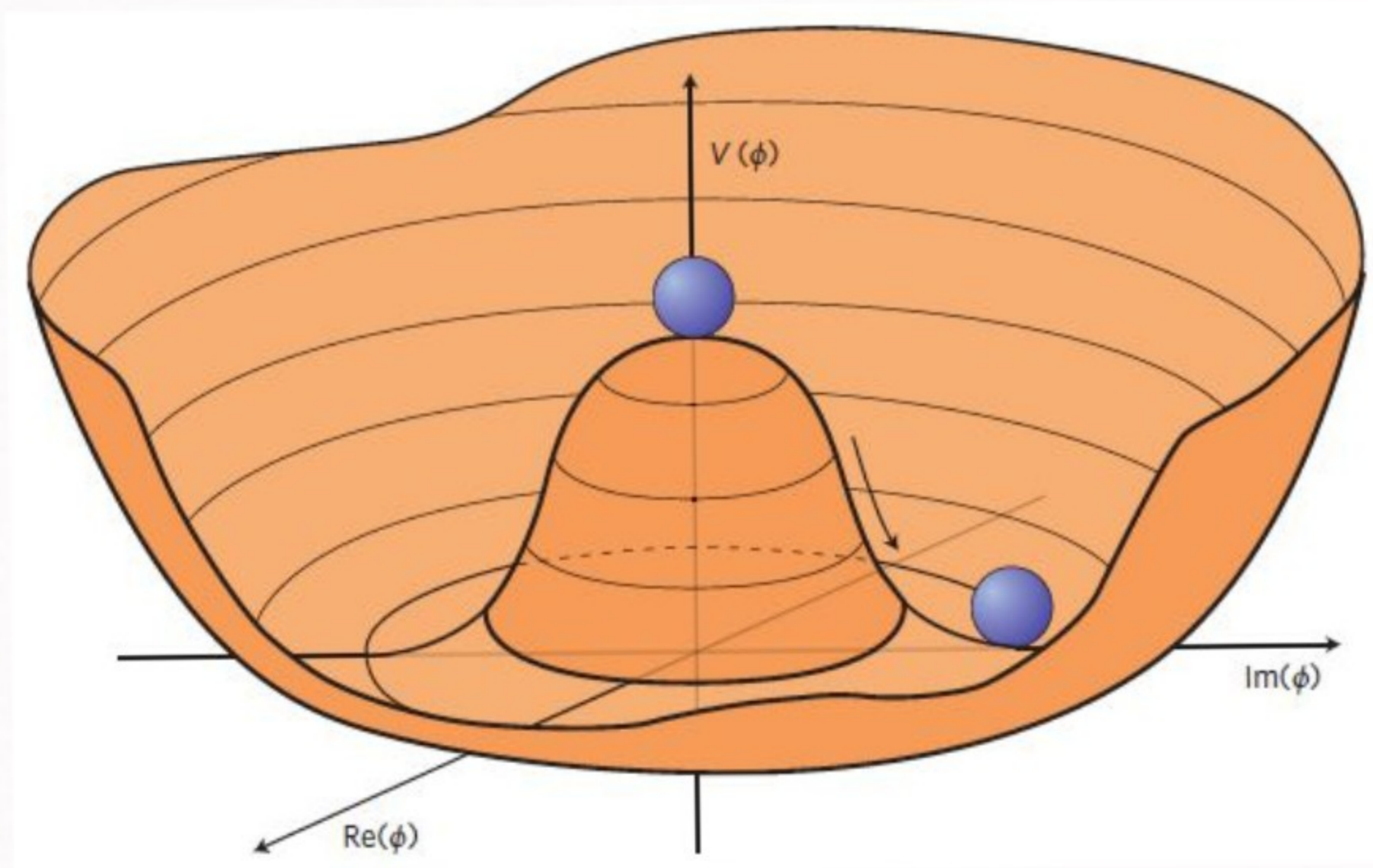
$m_\rho \approx 770 \text{ MeV}$   
 $m_\omega \approx 780 \text{ MeV}$   
 $m_{K^*} \approx 890 \text{ MeV}$   
 $m_\phi \approx 1020 \text{ MeV}$

$m_\pi \approx 138 \text{ MeV}$   
 $m_K \approx 495 \text{ MeV}$   
 $m_\eta \approx 548 \text{ MeV}$

2) The pion is extremely light for a hadron

$\Rightarrow$  This requires an explanation

3) The linear sigma model is able to explain a light pion by using a mexican hat potential



$\Rightarrow$  One is able to create massless particles

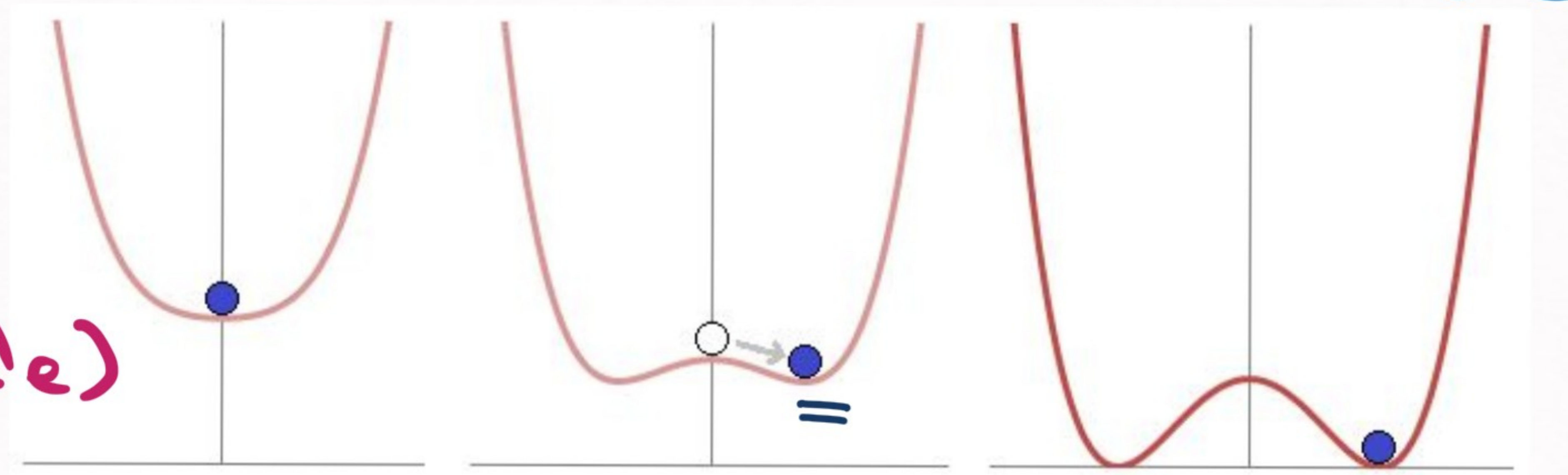
[ GENERALIZING THE IDEAS  
BEHIND THE MEXICAN HAT POTENTIAL ]

a) Let's review the Mexican hat for one dimension:

$$V(\phi) = \frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{4} \phi^4 \quad \mu \quad \lambda \geq 0$$

(Choice)

Minimum  
energy  
state  
(ground state)  
changes  
w/  $\mu^2$



→ [  $\mu^2 > 0$   
=  $\mu^2 = 0$   
→  $\mu^2 < 0$  ]

$\Rightarrow$  But you can understand this

from the point of view of symmetry:

$$a.1) V(\phi) = \frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{4} \phi^4 \quad \xrightarrow[\substack{\phi \rightarrow -\phi \\ (\text{parity})}]{\quad} \quad V(-\phi) = \frac{1}{2} \mu^2 \phi^2 + \frac{\lambda}{4} \phi^4$$

a.2) Around the minimum:  $\phi = \phi_{\min} + \underline{\sigma}$ ,  $\phi_{\min} = \pm \sqrt{-\frac{\mu^2}{\lambda}} = \underline{\pm v}$   
( $\mu^2 < 0$ )

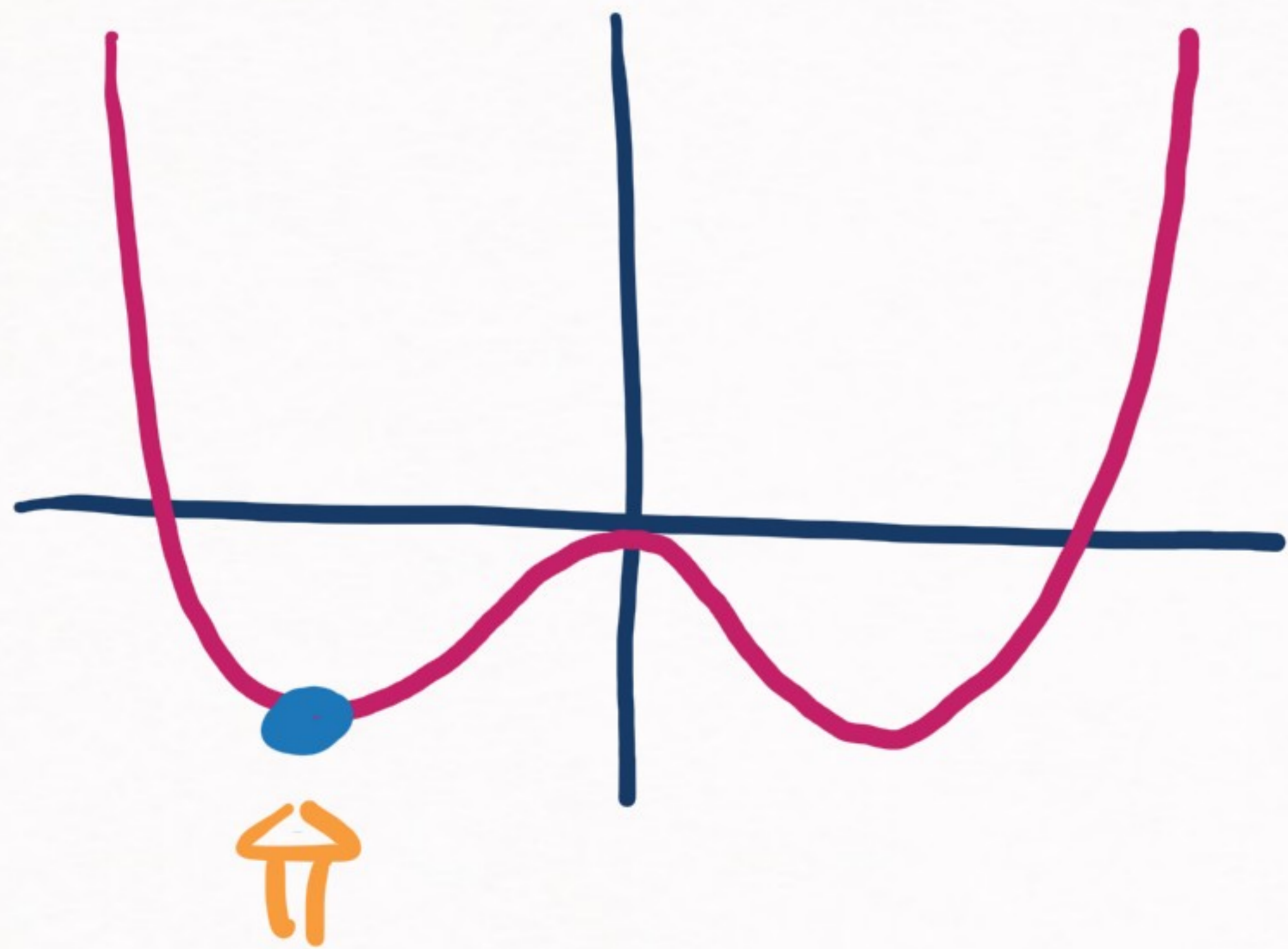
$$\phi_{\min} = +v \quad \Rightarrow \quad V_+(\sigma) = -\frac{v^2}{4} - \mu^2 \sigma + \lambda v \sigma^3 + \frac{\lambda}{4} \sigma^4$$

$$\phi_{\min} = -v \quad \Rightarrow \quad V_-(\sigma) = -\frac{v^2}{4} - \mu^2 \sigma - \lambda v \sigma^3 + \frac{\lambda}{4} \sigma^4$$

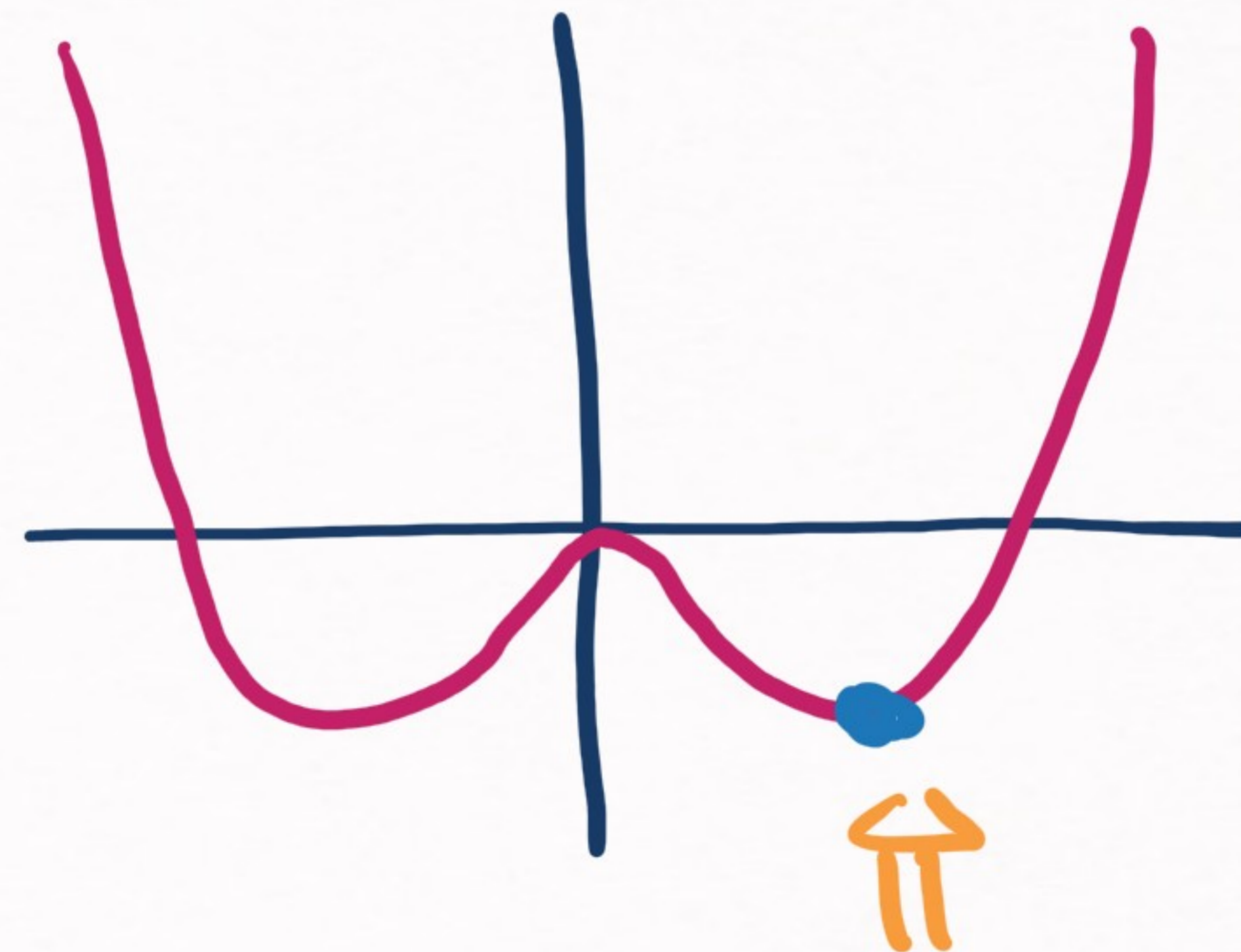
$\sigma \rightarrow -\sigma$ :  $v_+ \rightarrow v_-$  ( $v_+ \not\rightarrow v_+$ )  $\Rightarrow$  new theory  
lost this symmetry

[The original symmetry ( $\phi \rightarrow -\phi$ ) is broken]

$\Rightarrow$  It needs to be like that, because there is only one vacuum state  $\Rightarrow$  We have to choose our vacuum

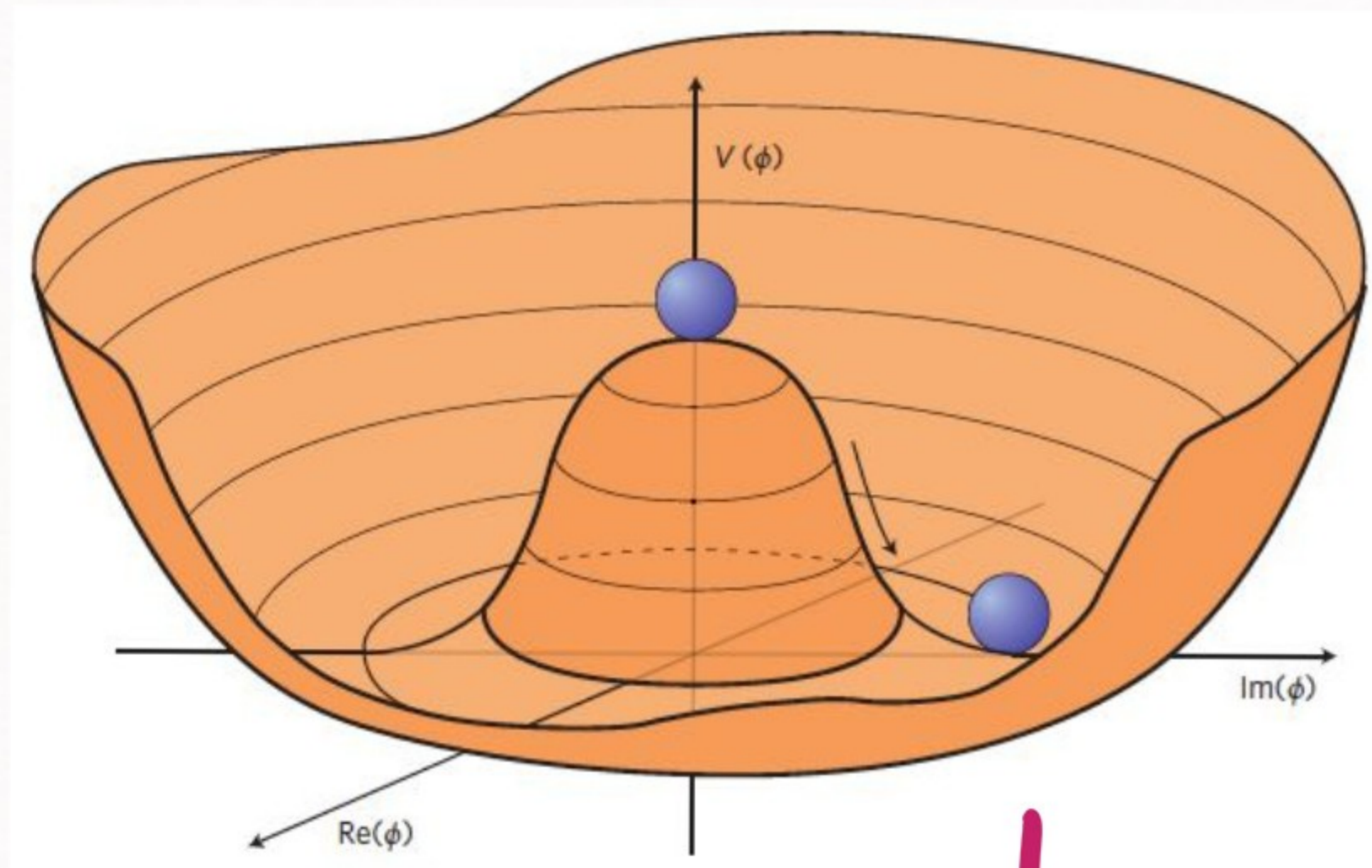


Choice #1



Choice #2

b) The same thing happens with  $\phi$  complex :



Choice of vacuum :

$$\phi_{\min} \not\rightarrow \phi_{\min}$$

$$\phi \rightarrow e^{i\theta} \phi$$

$$V(|\phi|) = \frac{\mu}{2} |\phi|^2 + \frac{\lambda}{4} |\phi|^4$$

$$\left[ \begin{array}{l} V(|\phi|) \rightarrow V(|\phi|) \\ \phi \rightarrow e^{i\theta} \phi \end{array} \right]$$

Symmetry of the original potential

$$\phi_{\min} = \underbrace{v e^{i\theta_0}}$$

Choice of a phase

c) And the same happens with the LOM:

c.1) Original symmetry:  $\phi = (\phi_0, \vec{\alpha})$   $v = v \left( \sum_{i=0}^3 \phi_i^2 \right)$

$\phi_i \rightarrow R_{ij} \phi_j$  /  $R R^T = 1$  +  $|R\alpha|^2 = |\alpha|^2 \Rightarrow$  a symmetry of  $v$   
 $R \in O(4)$

c.2) New symmetry for  $\underline{\mu^2 < 0}$ : New vacuum

$\begin{pmatrix} \phi_0 \\ \vec{\alpha} \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & \tilde{R} \end{pmatrix} \begin{pmatrix} \phi_0 \\ \vec{\alpha} \end{pmatrix}$  still a symmetry

$$\begin{aligned} \phi_0 &= v + \sigma \\ \vec{\alpha} &= \vec{\pi} \end{aligned}$$

$\vec{\alpha} \rightarrow \tilde{R} \vec{\alpha}$  /  $\tilde{R} \tilde{R}^T = 1$ ,  $|\tilde{R}\vec{\alpha}|^2 = |\vec{\alpha}|^2$   
 $\Rightarrow \tilde{R} \in O(3)$

$\Downarrow$   
 $O(3)$  symmetry



# RECAP

(Spontaneous symmetry breaking)

Real  $\phi$

Complex  $\phi$

$(\phi_0, \vec{\phi})$

[ $SO(N)$ ]

Original symmetry

Final symmetry

Original symmetry

Final symmetry

Massless fields

$$\phi \rightarrow -\phi$$

$$[Z_2]$$

$$\phi \rightarrow e^{i\theta} \phi$$

$$[U(1)]$$

$$\phi \rightarrow R_{4 \times 4} \phi$$

$$[O(4)]$$

$$\sigma \rightarrow \sigma$$

$$[Z_2]$$

$$\pi \rightarrow -\pi$$

$$[Z_2]$$

$$\vec{\pi} \rightarrow R_{3 \times 3} \vec{\pi}$$

$$[O(3)]$$

none

$\pi$  (1)

$\vec{\pi}$  (3)

Number of massless fields is related to this symmetry breaking pattern

[ INTERESTING POINTS HERE ]

a) Original  $\rightarrow$  Final symmetry change has a name:

SPONTANEOUS SYMMETRY BREAKING

b) Number of massless particles related  
to the spontaneous symmetry breaking  
pattern

$\Rightarrow$  The question is how it is related?

$\Rightarrow$  This was solved by Nambu & Goldstone  
a long time ago

# [Nambu-Goldstone Theorem] (a schematic version) ①

a) Hamiltonian  $H$  / invariant under a group  $G$

$$[H, G] = 0 \rightarrow \text{how we express a)}$$

b)  $H$  has a vacuum state  $|0\rangle$

fancy way of saying "ground state"

c) But  $|0\rangle$  is not invariant under  $G$ ,

but instead it will be invariant

under  $F \subseteq G$  ( $F$  subgroup of  $G$ )

## [Nambu Goldstone Theorem] (2)

If conditions a), b) and c) are met, then:

the quotient group  $\frac{G}{F}$  can be used  
to generate states that are not the vacuum  
but have the same energy as the vacuum

(that is, these states have zero mass)

CAVEAT:  $G$  and  $F$  are continuous groups

EXTRA: the number of massless fields is  $n_G - n_F$

$n_G \rightarrow \#$  of generators of  $G$      $n_F \rightarrow \#$  of generators of  $F$

REMINDER | What is a quotient group?

a) Group  $(G, \cdot) \Rightarrow \exists e \in G / e \cdot a = a$  for  $\forall a \in G$

$\forall a \in G, \exists b \in G / a \cdot b = e$

$\forall a, b, c \in G, (a \cdot b) \cdot c = a \cdot (b \cdot c)$

b)  $F \subseteq G$  ( $F$  subgroup of  $G$ )  $\Leftrightarrow \forall a, b \in F, a \cdot b \in F$

c)  $G/F \Rightarrow p \equiv p'$  ( $p, p'$  are congruent)

$\Leftrightarrow$  and only  $\Leftrightarrow pF = p'F$  ( $\exists a \in F / a p = p'$ )

$F/G \Rightarrow$  same thing but with  $Fp = Fp'$

What is a quotient group? (2)

d)  $G/F \Rightarrow$  We define  $\bar{p} = pF$  (congruence class of  $p$ )

Now we define  $\bar{p} \cdot \bar{q} = \overline{p \cdot q}$  ( $\Rightarrow$  this is a good operation)

Then, if  $F$  is an invariant subgroup of  $G$  ( $\forall a \in G, \forall p \in F, a \cdot p \cdot a^{-1} \in F$ )

$\Rightarrow (G/F, \cdot)$  is a group

called the quotient group of  $G$  by  $F$

# [ QUOTIENT GROUPS: EXAMPLES ]

a)  $\mathbb{R}/\mathbb{Z} \cong U(1)$       $a \in \mathbb{R}, n \in \mathbb{Z} \Rightarrow \overline{(a+n)} = \bar{a}$

b)  $U(N)/U(1) \cong SU(N)$       $\bar{a} \rightarrow e^{i\theta a}$   
 $\bar{a} + \bar{b} \rightarrow e^{i(\theta a + \theta b)}$

c)  $U(1)/\mathbb{Z}_n \cong U(1)$       $\mathbb{Z}_n = \{ \mathbb{Z} \text{ but with}$   
equivalence based

b)  $SO(4)/SO(3) \cong SO(3)$      on  $\text{mod } \underline{n} \{$

$SO(n) \rightarrow$  proper rotations (rotations w/o reflections)  
in  $n$  dimensions

REMINDER | ② What are group generators?

→ basically, the number of independent parameters of a continuous transformation

EXAMPLE:

$$U(1) = \{ U \in GL(1, \mathbb{C}) \mid U^\dagger U = 1 \}$$

(only applicable to continuous groups)

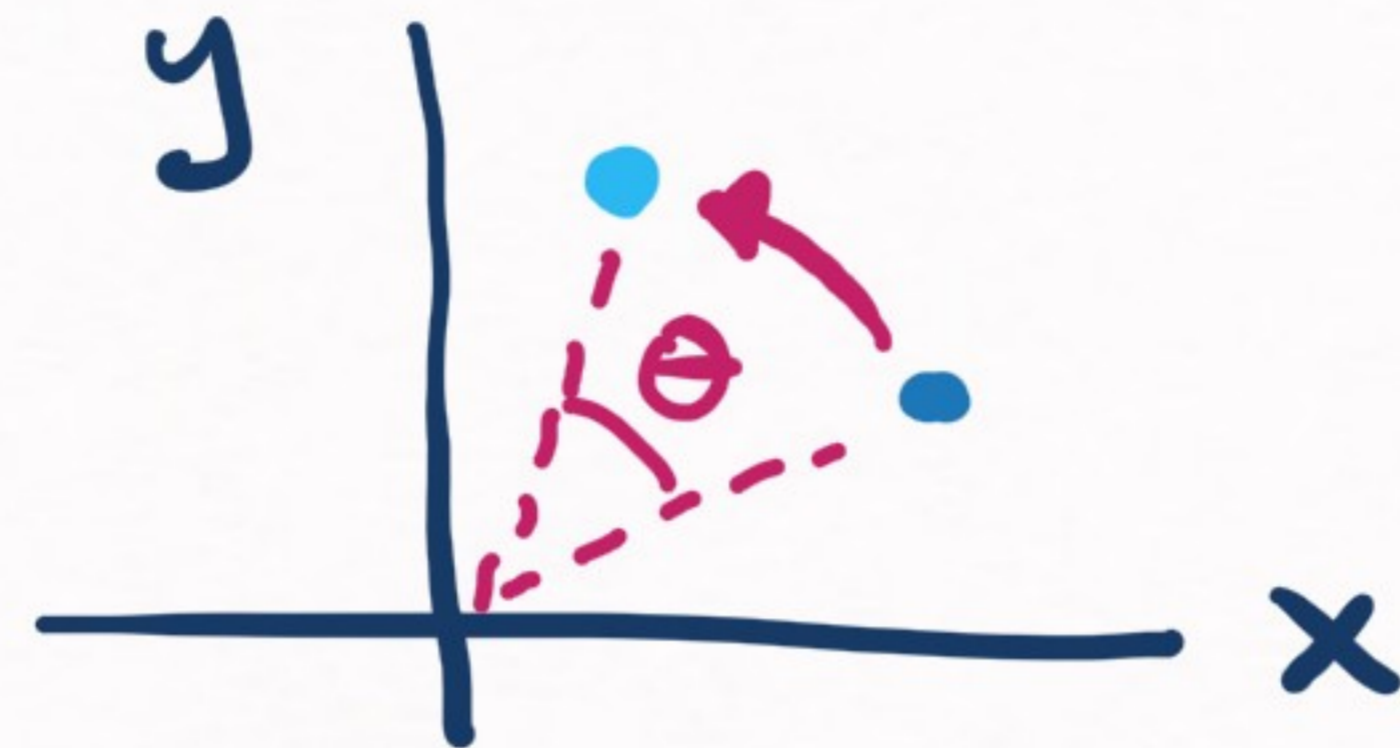
↓  
 $e^{i\theta}$

(element of  $U(1)$ )  $\Rightarrow$  only 1 parameter ( $\theta$ )



# [ GROUP GENERATORS ]

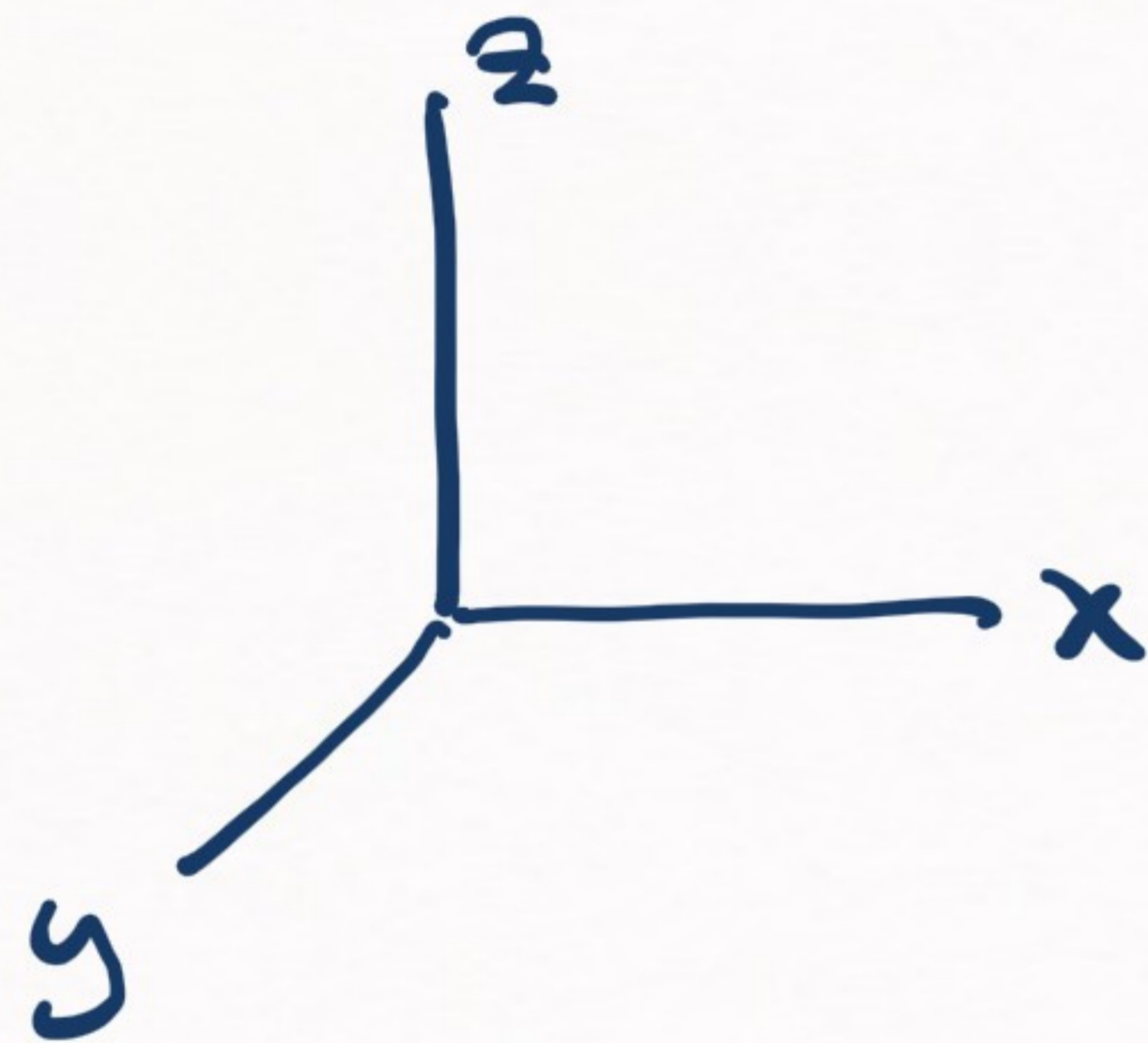
a) Rotations



a.1) two dimensions:

$$R(\theta) = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$

=> only one parameter  
(generator)



a.2) three dimensions:

Euler angles -> 3 angles

=> 3 generators

a.3) For n dimension => consider an infinitesimal transformation

$R R^T = 1$  (rotation)  $R = 1 + \sum_a \delta\theta_a J_a$   $\rightarrow$  transformation

$\Rightarrow \sum \delta\theta_a (J_a + J_a^T) = 0 \rightarrow$

$(J_a + J_a^T) = 0$   $\left( \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix} \right)$

3 generators  $\leftarrow$

3-dim:

# [GROUP GENERATORS]

- a) Rotations  $\rightarrow$   $SO(n)$ ,  $n_{\text{gen}} = \frac{1}{2}n(n-1) \Rightarrow$  Solutions of  $(J_a + J_a^T) = 0$  in  $n$ -dim
- b) Unitary transformations  $\rightarrow$   $U(n)$ ,  $SU(n)$

$$U(n) = \{ U \in GL(n, \mathbb{C}) / U^\dagger U = \mathbb{1} \}$$

$$SU(n) = \{ U \in GL(n, \mathbb{C}) / U^\dagger U = \mathbb{1}, \det U = 1 \}$$

$$U = \mathbb{1} + \sum_a \delta\theta_a J_a \Rightarrow U^\dagger U = \mathbb{1} \Rightarrow U^\dagger U = \mathbb{1} + \sum_a (\delta\theta_a J_a + \delta\theta_a^* J_a^\dagger)$$

Solutions of

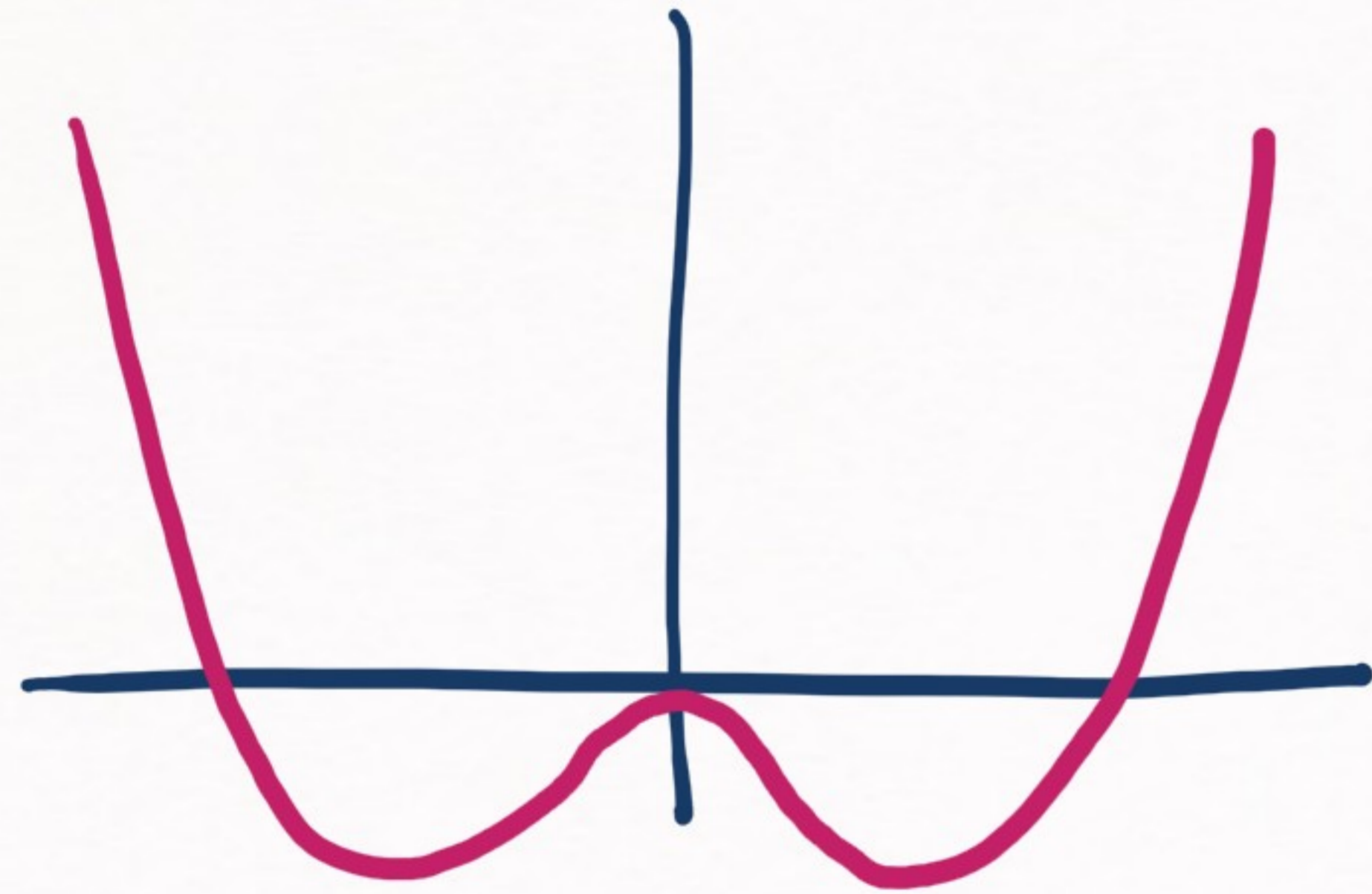
$$J_a + J_a^\dagger = 0$$

$$\rightarrow n(n-1) + n = n^2$$

$$\left[ \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & i & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \dots \right] \begin{matrix} \rightarrow 6 \\ \rightarrow 3 \end{matrix} \left( \begin{pmatrix} i & & \\ & 0 & \\ & & 0 \end{pmatrix}, \begin{pmatrix} 0 & & \\ & i & \\ & & 0 \end{pmatrix} \right),$$

# [ APPLYING THE Nambu GOLDSTONE THEOREM ]

a) Real  $\phi$  field :  $G = \mathbb{Z}_2$   
 $F = \mathbb{Z}_1$  }  $\Rightarrow$  PROBLEM:  
not continuous groups  
(but discrete)



Nambu-Goldstone  
theorem does not apply

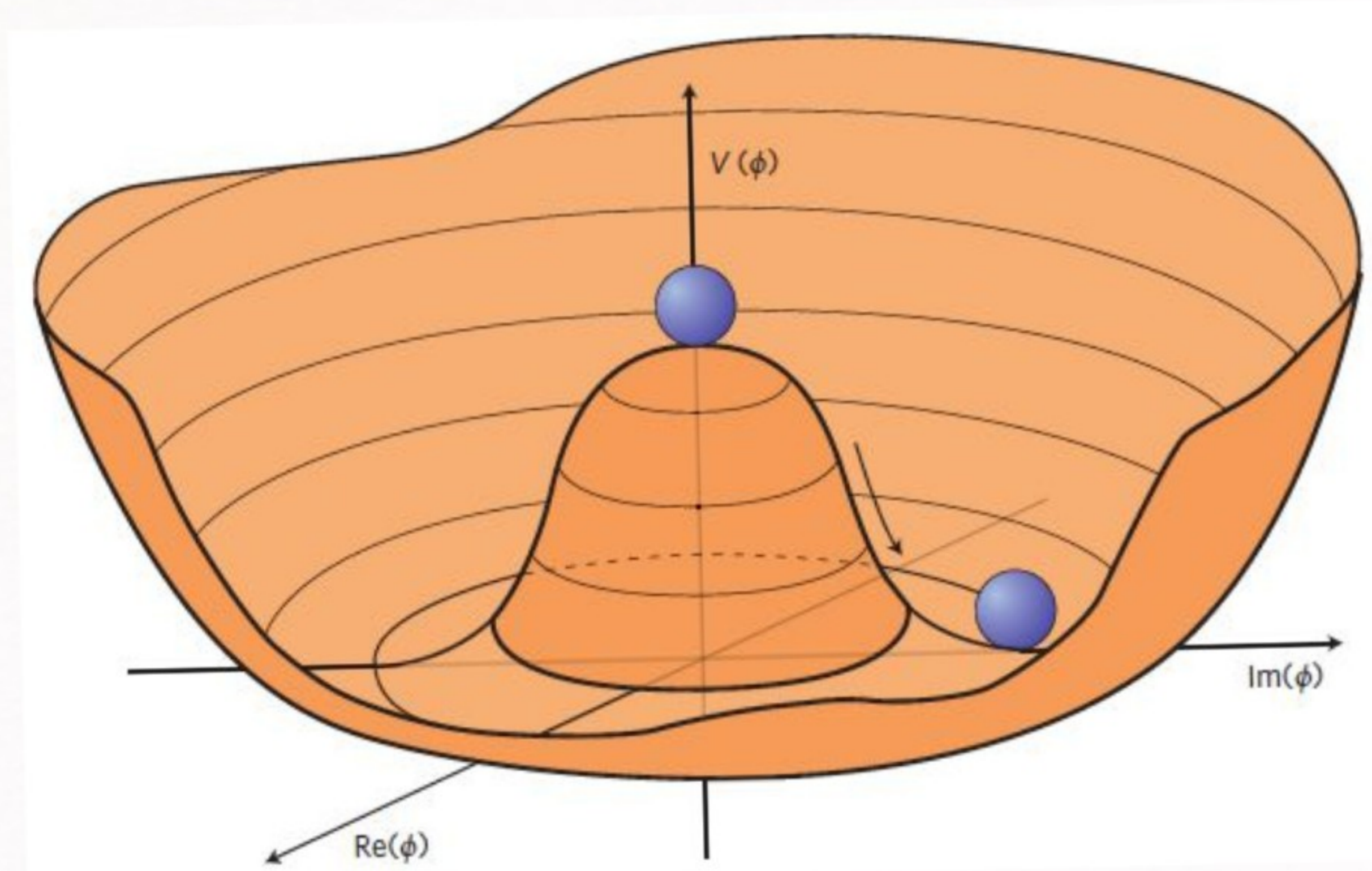
But we are physicist & like to ignore mathematical rigor

[  $G/F \simeq \mathbb{Z}_2 \rightarrow$  discrete ( $n_{G/F} = 0$ )  $\rightarrow$  0 massless fields ]

# [ APPLYING THE NAMBU-GOLDSTONE THEOREM ]

b) Complex  $\phi$  field:  $G = U(1)$  ✓ (continuous)

$F = \mathbb{Z}_1$  ✗ (discrete)



[ Strictly speaking should not apply ]

$\pi/G = U(1) \Rightarrow$  one generator

[ Despite not being applicable still gives the correct number of massless fields ]

# [ APPLYING THE NAMBU GOLDSTONE THEOREM ]

c)  $\phi_i, i=0,1,2,3$  in the linear sigma model

$$G: \begin{pmatrix} \phi_0 \\ \vec{\phi} \end{pmatrix} \rightarrow \boxed{R_{4 \times 4}} \begin{pmatrix} \phi_0 \\ \vec{\phi} \end{pmatrix} \Rightarrow O(4) = \{ R \in GL(4, \mathbb{R}) \mid R^T R = 1 \}$$

$$F: \begin{pmatrix} v \\ \vec{0} \end{pmatrix} \rightarrow \boxed{\begin{matrix} 1 & & 0 \\ & \dots & \\ 0 & & R_{3 \times 3} \end{matrix}} \begin{pmatrix} v \\ \vec{0} \end{pmatrix} \Rightarrow O(3)$$

$$\Rightarrow \boxed{G/F \cong O(3)} \Rightarrow O(n) \text{ has } \frac{1}{2} n(n-1) \text{ generators}$$

$$\Rightarrow G/F \text{ has 3 generators} \Rightarrow \underline{3 \text{ massless fields}}$$

$(\vec{\pi} = (\pi_1, \pi_2, \pi_3))$

[ APPLYING THE Nambu Goldstone Theorem ]

⇒ You can apply it to any other combination of groups

3-component  $\phi$  +  $V(\vec{\phi}) = \frac{\rho}{2} \mu \vec{\phi}^2 + \frac{\lambda}{4} (\vec{\phi}^2)^2$   
(real)

$G = O(3)$   
 $F = O(2)$  }  $G/F \cong S^2 \Rightarrow$  2 massless fields  
(JUST AN EXAMPLE)

↓  
this means isomorphic (in the geometric sense)

## CHIRAL SYMMETRY

$$\Rightarrow \left[ \frac{m_u}{\Lambda_{QCD}}, \frac{m_d}{\Lambda_{QCD}} \ll 1 \text{ and } \frac{m_s}{\Lambda_{QCD}} < 1 \right]$$

a) We already know that this gives rise to  $SU(2)$ -isospin and  $SU(3)$ -flavor symmetries

b) But it also triggers a more subtle type of symmetry  $\Rightarrow$

$\Rightarrow$  this new symmetry later breaks

spontaneously & we will be able to apply Goldstone's theorem

[MASSLESS PARTICLES IN QM]  $\Rightarrow$  There is a conserved quantity

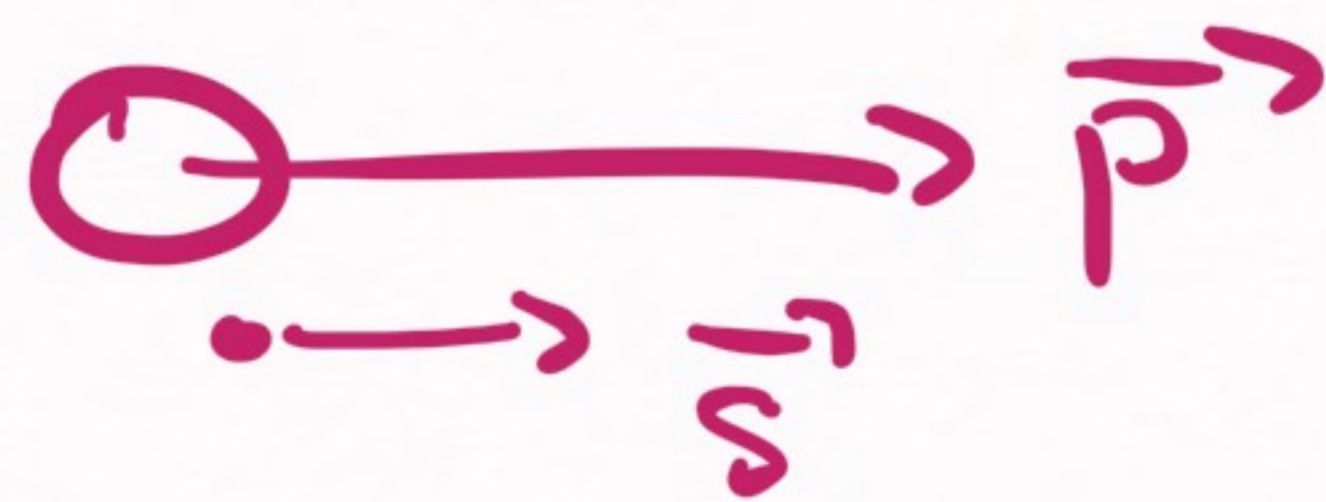
a) Particle with spin  $-\frac{1}{2}$ :

$\vec{\sigma} \rightarrow |+\rangle, |-\rangle$  (Spin) eigenvalues

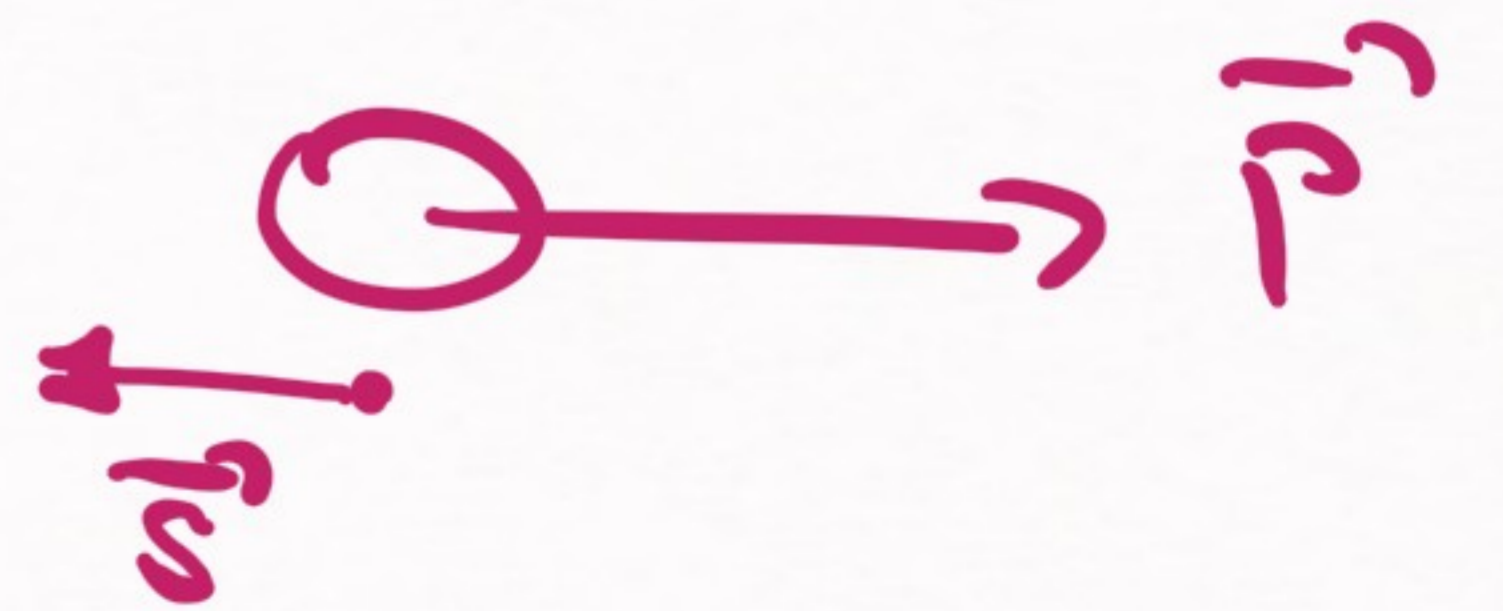
But we can also define a new quantity:

Helicity:  $\lambda = \frac{\vec{p} \cdot \vec{p}}{|\vec{p}|} \Rightarrow$  eigenvalues are  $\lambda = \pm 1$

$\lambda = +1$

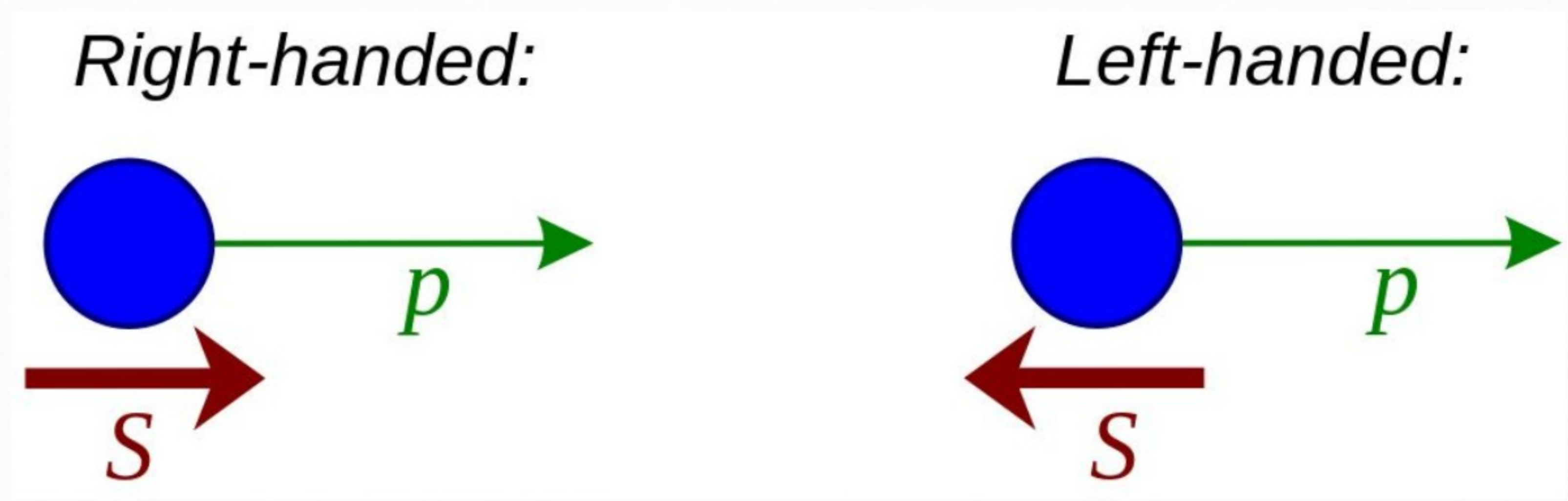


$\lambda = -1$



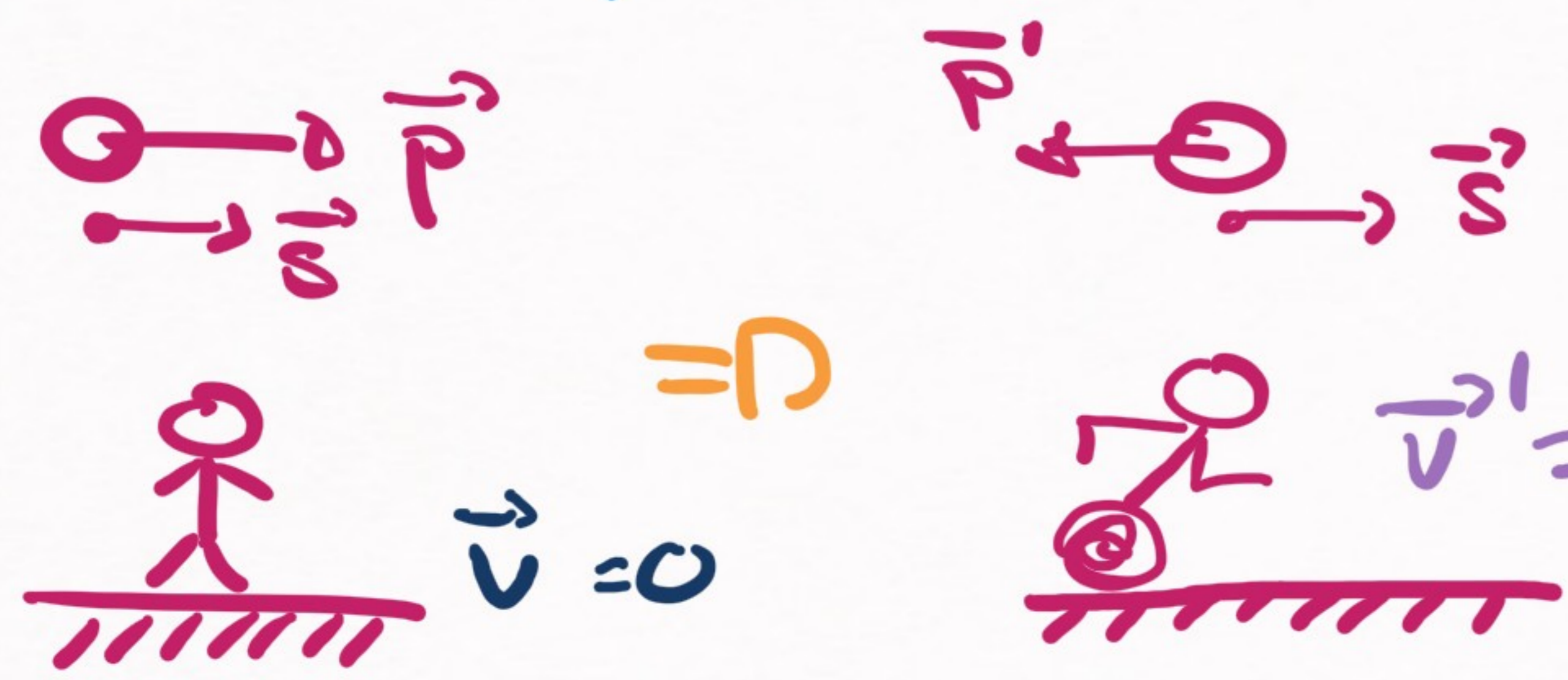


# HELICITY



How does it behaves relative to boosts?

a) If the particle is massive ( $m \neq 0$ ):

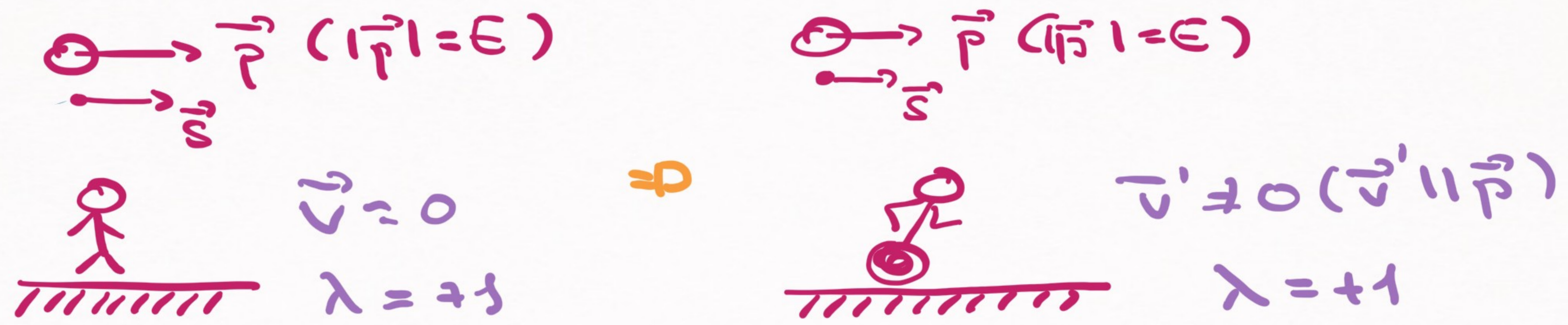


I can change the helicity of the particle by moving faster than the particle + (relativistic corrections)

$$\vec{v}' = \frac{\vec{p} \cdot \vec{p}'}{m}$$

# HELICITY

b) But if the particle is massless ( $m=0$ ),



$\Rightarrow$  For massless particles helicity is conserved in boosts

## [FROM HELICITY TO CHIRALITY] ③

⇒ What is the QFT version of helicity?

$$\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\not{\partial} - m)\psi \quad (\text{Dirac field}) \quad \Leftarrow$$

Symmetries: 

a) Global  $U(1)$  symmetry:

$$\psi(x) \rightarrow e^{i\alpha} \psi(x), \quad \psi^\dagger(x) \rightarrow e^{-i\alpha} \psi^\dagger(x)$$

$$\mathcal{L} \rightarrow \mathcal{L}$$

## [FROM HELICITY TO CHIRALITY] (2)

⇒ Dirac field  $\psi$  contain four components

(two spin states for particles / for anti-particles)

⇒ Right- and left-handed components (definition)

$$\psi_R = P_R \psi = \frac{1}{2}(1 + \gamma_5) \psi$$

$$P_R^\dagger = P_R$$

$$\psi_L = P_L \psi = \frac{1}{2}(1 - \gamma_5) \psi$$

$$P_L^\dagger = P_L$$

—

[FROM HELICITY TO CHIRALITY] ③

$$P_L + P_R = 1$$

$$\Rightarrow \left[ \begin{array}{ccc} P_R^\dagger = P_R & P_R^2 = P_R & P_L P_R = 0 \\ P_L^\dagger = P_L & P_L^2 = P_L & P_L + P_R = 1 \end{array} \right] \Rightarrow \text{they are } \underline{\text{projectors}}$$

$$a) \underline{\psi} = (P_L + P_R)\psi = \underline{\psi_L} + \underline{\psi_R}$$

b) We can try  $U(1)$  symmetry but applied to  $\psi_L$  &  $\psi_R$ :

$$\psi_L \rightarrow e^{i\alpha_L} \psi_L$$

$$U(1)_L$$

$$\psi_R \rightarrow e^{i\alpha_R} \psi_R$$

$$U(1)_R$$

# [FROM HELICITY TO CHIRALITY] (4)

=> Is this a symmetry of  $\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\not{\partial} - m)\psi$  ?

a) Kinetic term:  $\mathcal{L}_1 = \bar{\psi} i\not{\partial} \psi$ ,  $\not{\partial} = \gamma^\mu \partial^\mu$

$$\bar{\psi} \not{\partial} \psi = \bar{\psi}_L \not{\partial} \psi_L + \bar{\psi}_R \not{\partial} \psi_R \Rightarrow \bar{\psi} \not{\partial} \psi \rightarrow \psi \not{\partial} \psi$$

$(\psi)_L, (\psi)_R$

b) Mass term:  $\mathcal{L}_2 = \bar{\psi} m \psi = m \bar{\psi} \psi$

$$\bar{\psi} \psi = \bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L \rightarrow \text{mixes R \& L components}$$

$$\bar{\psi} \psi \rightarrow e^{i(\alpha_R - \alpha_L)} \bar{\psi}_L \psi_R + e^{-i(\alpha_R - \alpha_L)} \bar{\psi}_R \psi_L$$

# [FROM HELICITY TO CHIRALITY] (5)

$\Rightarrow$  We see that:  $\bar{\psi} \psi \rightarrow e^{i(\alpha_R - \alpha_L)} \bar{\psi}_L \psi_R + e^{-i(\alpha_R - \alpha_L)} \bar{\psi}_R \psi_L$  } Does not conserve chirality

That is:  $\bar{\psi} \psi \not\rightarrow \bar{\psi} \psi$  under  $U(1)_R, U(1)_L$

However  $\bar{\psi} \psi \rightarrow \bar{\psi} \psi$  for  $\alpha_L = \alpha_R$

We call this  $U(1)_{L+R}$

## [FROM HELICITY TO CHIRALITY] (6)

a) If  $m \neq 0 \Rightarrow U(1)_{L+R}$  symmetry ✓

b) If  $m = 0 \Rightarrow U(1)_L \otimes U(1)_R$  symmetries

⏟  
This theory has chiral symmetry

$\Rightarrow$  But we want the application  
of this idea to QCD

==



# [ QCD & CHIRAL SYMMETRY ] ③

a) How does this apply, to QCD? ③

$$\mathcal{L}_{\text{QCD}} = \underbrace{-\frac{1}{4} G_{\mu\nu}^a G^{\mu\nu a}}_{\text{①}} + \underbrace{\bar{q} i \not{D} q}_{\text{②}} - \underbrace{\bar{q} \mathcal{M} q}_{\text{③}}$$

$$q = \begin{pmatrix} u \\ d \\ s \\ c \\ b \\ t \end{pmatrix} \rightarrow \text{6 flavors}$$

$$\mathcal{M} = \begin{pmatrix} m_u & & & & & \\ & m_d & & & & \\ & & m_s & & & \\ & & & m_c & & \\ & & & & m_b & \\ & & & & & m_t \end{pmatrix}$$

→ the masses of each flavor

- ① → Gluon field
- ② → kinetic term (quarks)
- ③ → Mass term (quarks)

## [QCD & CHIRAL SYMMETRY] (2)

b)  $\frac{m_u}{\Lambda_{QCD}}, \frac{m_d}{\Lambda_{QCD}} \ll 1, \frac{m_s}{\Lambda_{QCD}} < 1 \Rightarrow$

We can take  
the approx.:  
 $m_u = m_d = 0$  ( $m_s = 0$ )

c) What happens if  $m_q = 0$  for one flavor?

$$m_u = 0 \Rightarrow \begin{aligned} u_L &\rightarrow e^{i\alpha_L} u_L & \bar{u}_L \not{D} u &\rightarrow \bar{u}_L \not{D} u \\ u_R &\rightarrow e^{i\alpha_R} u_R \end{aligned}$$

$\Rightarrow$  It will be a symmetry of QCD

## [ QCD & CHIRAL SYMMETRY ] (3)

d) What happens if  $m_q = 0$  for two or more flavors?

$$\begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} u \\ d \end{pmatrix}_L + \begin{pmatrix} u \\ d \end{pmatrix}_R$$

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \rightarrow V_L \begin{pmatrix} u \\ d \end{pmatrix}_L, \quad \begin{pmatrix} u \\ d \end{pmatrix}_R \rightarrow V_R \begin{pmatrix} u \\ d \end{pmatrix}_R$$

$$(u \bar{d}) : \not{D} \begin{pmatrix} u \\ d \end{pmatrix} = (L+R) \rightarrow (u \bar{d}) : \not{D} \begin{pmatrix} u \\ d \end{pmatrix}$$

$$\Rightarrow \boxed{V_L, V_R \in U(2)}$$

$$\begin{aligned} \text{if } V_L^\dagger V_L &= 1 \\ V_R^\dagger V_R &= 1 \end{aligned}$$

# [ QCD & CHIRAL SYMMETRY ] (4)

⇒ Now with 3 light flavors:

$$\begin{pmatrix} u \\ d \\ s \end{pmatrix} = \begin{pmatrix} u \\ d \\ s \end{pmatrix}_R + \begin{pmatrix} u \\ d \\ s \end{pmatrix}_L, \quad (\bar{u} \bar{d} \bar{s}) i \not{\partial} \begin{pmatrix} u \\ d \\ s \end{pmatrix} = (\bar{u} \bar{d} \bar{s})_R i \not{\partial} \begin{pmatrix} u \\ d \\ s \end{pmatrix}_R$$

$$\begin{pmatrix} u \\ d \\ s \end{pmatrix}_{L(R)} \rightarrow V_L(R) \begin{pmatrix} u \\ d \\ s \end{pmatrix}_{L(R)} + (\bar{u} \bar{d} \bar{s})_L i \not{\partial} \begin{pmatrix} u \\ d \\ s \end{pmatrix}_L$$

$$\left. \begin{array}{l} V_L^\dagger V_L = 1 \\ V_R^\dagger V_R = 1 \end{array} \right\} \Rightarrow \underline{V_L, V_R \in U(3)}$$

## [QCD & CHIRAL SYMMETRY] (5)

e) For  $n$  light quark flavors  $\rightarrow$  naive answer

$\Rightarrow$   $\mathcal{L}_{\text{QCD}}$  invariant under  $G = \underline{U_L(n) \otimes U_R(n)}$

$\Rightarrow$  But as often happens, there is going to be a complication



[CHIRAL ANOMALY]  $\Rightarrow$  Reduces the symmetry

# [ CHIRAL ANOMALY ]

a) If  $G = U(N)_L \otimes U(N)_R \cong \underline{U(N)}_{L+R} \otimes \underline{U(N)}_{L-R}$   
 $\Rightarrow$  then we have (classically)

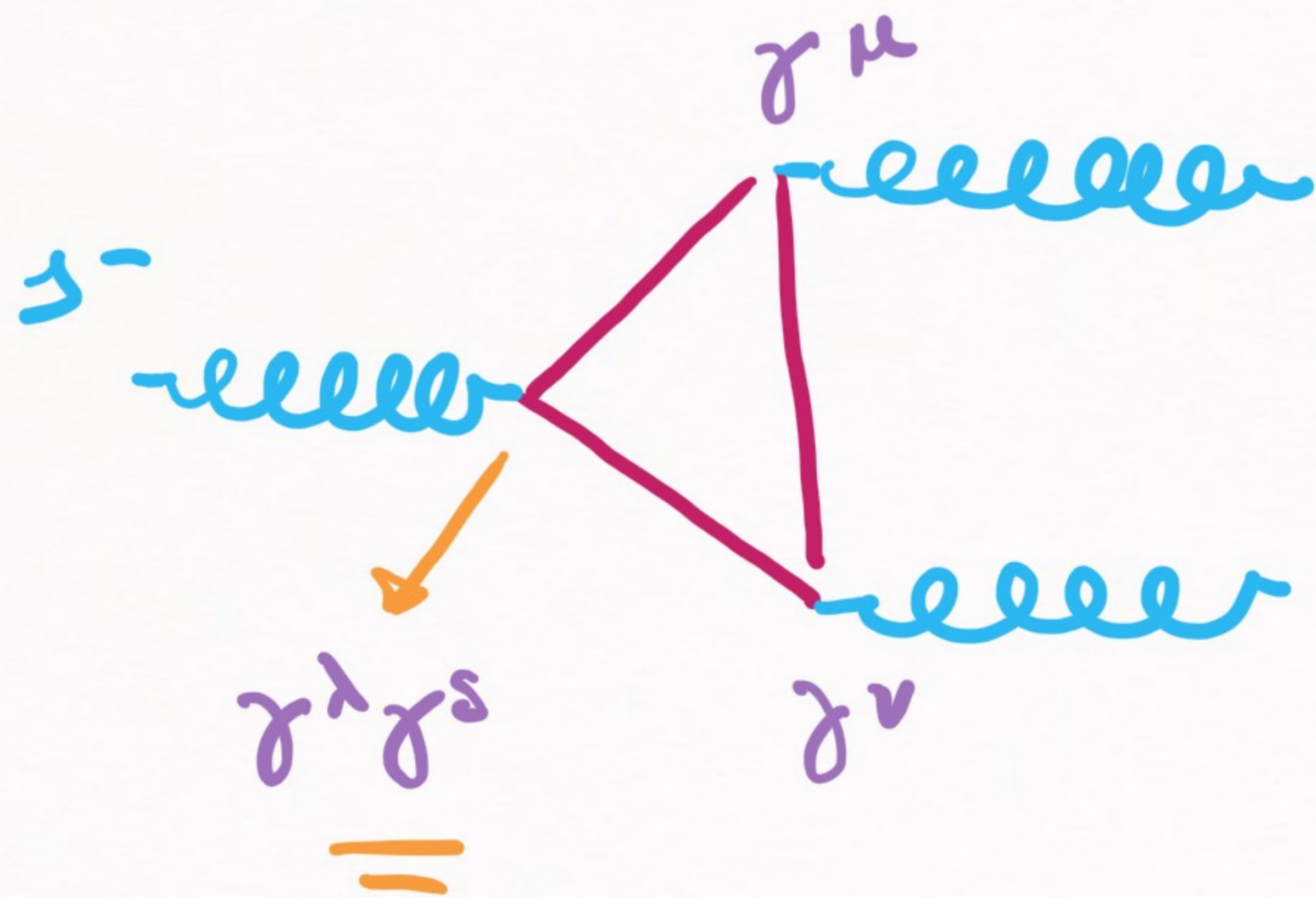
$$\left[ \begin{array}{l} J_\mu = \bar{\psi} \gamma^\mu \psi \longrightarrow \partial^\mu J_\mu = 0 \\ J_\mu^5 = \bar{\psi} \gamma^\mu \gamma^5 \psi \longrightarrow \partial^\mu J_\mu^5 = 0 \end{array} \right]$$

$$\bar{\psi} \gamma^\mu \psi = \bar{\psi}_R \gamma^\mu \psi_R + \bar{\psi}_L \gamma^\mu \psi_L \quad (L+R)$$

$$\bar{\psi} \gamma^\mu \gamma^5 \psi = \bar{\psi}_R \gamma^\mu \psi_R - \bar{\psi}_L \gamma^\mu \psi_L \quad (L-R)$$

# [ CHIRAL ANOMALY ] ②

b) However, there is an issue with this QFT diagram:



$\Rightarrow$   $\exists$  a problem  
with  $\underline{\gamma_\mu^5}$  (L-R)

b.1) Classically:

$$\partial_\mu(\text{diag}) = 0 \quad \partial_\nu(\text{diag}) = 0$$

$$\partial_\lambda(\text{diag}) = 0$$

b.2) QFT:

$$\partial_\mu(\text{diag}) = 0 \quad \partial_\nu(\text{diag}) = 0$$

$$\underline{\partial_\lambda(\text{diag})} \neq 0$$

## [CHIRAL ANOMALY] ③

⇒ In QFT we have:

$$\partial^\mu J_\mu = 0 \quad \& \quad \partial^\mu \underline{J_\mu^5} \neq 0$$

this is the operator that allows  
the  $\pi \rightarrow \gamma\gamma$  (in case that you  
have studied this)

⇒ [In QFT,  $U(1)_{L-R}$   
is not conserved]



# [QCD & CHIRAL SYMMETRY]

a) Classically, if we have  $n$  massless flavors:

$$\mathcal{L}_{\text{QCD}} \xrightarrow{G} \mathcal{L}_{\text{QCD}}, \quad G = U(n)_{L+R} \otimes U(n)_{L-R}$$

b) Quantum-mechanically,  $U(1)_{L-R}$  has to be broken:

$$\mathcal{L}_{\text{QCD}} \xrightarrow{G} \mathcal{L}_{\text{QCD}}, \quad G = SU(n)_{L+R} \otimes SU(n)_{L-R} \\ \otimes U(1)_{L+R}$$

(we removed the  $U(1)_{L-R}$ )

[ QCD : GROUND STATE SPECTRUM ] (3)

$V^\mu \rightarrow$  vector field

a)  $G = SU(n)_L \otimes SU(n)_R \otimes U(1)_{L+R}$

$A^\mu \rightarrow$  axial field

$\simeq (SU(n)_{L+R} \otimes U(1)_{L+R}) \otimes SU(n)_{L-R}$

a.1)  $\underbrace{\bar{q} \gamma^\mu q}_{U(1)_{L+R}, SU(n)_{L+R}}, \underbrace{\bar{q} \gamma^\mu \gamma^5 q}_{SU(n)_{L-R}}$

$\Rightarrow$  Should have consequences

a.2)  $\underline{V^\mu} \begin{matrix} / \\ \backslash \end{matrix} \begin{matrix} q \\ \bar{q} \end{matrix}, \quad \underline{A^\mu} \begin{matrix} / \\ \backslash \end{matrix} \begin{matrix} q \\ \bar{q} \end{matrix}$

We coupled a field to these currents

$V^\mu J_\mu = V^\mu (\bar{q} \gamma^\mu q)$

$A^\mu J_\mu^5 = A^\mu (\bar{q} \gamma^\mu \gamma^5 q)$

# [ QCD : GROUND STATE SPECTRUM ] (2)

b) 
$$\left. \begin{aligned} V^\mu &= (\rho^\mu, \partial_\mu \sigma, \dots) \\ \Delta^\mu &= (a_3^\mu, \partial_\mu \pi, \dots) \end{aligned} \right\} G = SU(n)_L \otimes SU(n)_R \otimes U(1)_{LR}$$

$\Rightarrow V^\mu, \Delta^\mu$  represent meson, 
$$\begin{aligned} &= \left[ \begin{aligned} &= m(\underline{\text{meson}}, I = +1) \\ &= m(\underline{\text{meson}}, I = -1) \end{aligned} \right] \\ &V^\mu \rightarrow J^P = 1^-, \quad \Delta^\mu \rightarrow J^P = 1^+ \end{aligned}$$

c) General rule: 
$$\underline{m(H, J^+)} = \underline{m(H, J^-)}$$

consequence  
for  
the spectrum

Experimentally  $\Rightarrow$

$$\begin{aligned} \rho &\rightarrow J^P = 1^- \\ a_1 &\rightarrow J^P = 1^+ \end{aligned} \left\{ \begin{aligned} & \\ & \end{aligned} \right. (206)$$

$$\begin{aligned} m(\rho) &= 770 \text{ MeV } (1^-) \\ m(a_1) &= 1230 \text{ MeV } (1^+) \end{aligned}$$

# [QCD : GROUND STATE SPECTRUM] (3)

d) Experimentally :

$$\underbrace{m(\rho)}_{\text{couples to}} < \underbrace{m(a_1)}_{\text{couples to}}$$
$$\underline{\bar{q} \gamma^\mu q (L+R)} \quad \underline{\bar{q} \gamma^\mu \gamma^5 q (L-R)}$$

More masses :

$$m(N, \frac{1}{2}^+) \simeq 940 \text{ MeV}$$

$$m(N, \frac{1}{2}^-) \simeq 1535 \text{ MeV}$$

$$m(\Delta, \frac{3}{2}^+) \simeq 1230 \text{ MeV}$$

$$m(\Delta, \frac{3}{2}^-) \simeq 1700 \text{ MeV}$$

# [QCD : GROUND STATE SPECTRUM] (4)

e) Conclusion:  $\underbrace{\bar{q} \gamma^\mu q}_{\text{Lower masses}} \text{ operators} < \underbrace{\bar{q} \gamma^\mu \gamma^5 q}_{\text{Higher masses}} \text{ operators}$

$(L+R)$   
 $SU(N)_{L+R} \otimes U(1)_{LR}$

$(L-R)$   
 $SU(N)_{L-R}$

f) What does it remind you?

$LOM \rightarrow G = SO(4)$   
 $\text{vacuum} \Rightarrow F = SO(3)$  }  $\Rightarrow$  Goldstone theorem

# [ QCD : GROUND STATE SPECTRUM ] (5)

$$\Rightarrow \left\{ \begin{array}{l} \text{a) Symmetry from } m_u = m_d = m_s = 0 : \\ \quad G = SU(3)_{L+R} \otimes SU(3)_{L-R} \otimes U(1)_{L+R} \\ \text{b) Symmetry of the lowest mass hadrons :} \\ \quad F = SU(3)_{L+R} \otimes U(1)_{L+R} \end{array} \right.$$

Then, from Goldstone theorem:

$G/F \cong SU(3)_{L-R}$  that generates massless particles

$SU(3)$  has 8 generators  $\Rightarrow$  8 massless particles

[ CHIRAL SYMMETRY BREAKING  
 § Nambu-Goldstone Theorem ]

a)  $m_u = m_d = 0 \Rightarrow \frac{G}{F} \subseteq SU(2)_{L-R} \Rightarrow 3$  massless bosons  
 ( $\pi^+, \pi^0, \pi^-$ )

b)  $m_u = m_d = m_s = 0 \Rightarrow \frac{G}{F} \subseteq SU(3)_{L-R} \Rightarrow 8$  massless bosons  
 ( $\pi, K, \eta$ )

[ NOTICE THIS ]  $G/F$  bosons couple to  $\bar{\psi} \gamma_\mu \gamma_5 \psi$   
 ( $\bar{\psi} \gamma_\mu \gamma_5 \psi \rightarrow \psi^\dagger$ )  $\times \partial_\mu \phi$  ( $d \rightarrow \partial = 0$ ) ( $L-R$ )  $\subseteq G/F$

$\partial d$  is  $0^+$   $\Rightarrow \partial_\mu \phi \rightarrow \psi^-$  ( $\partial_\mu \rightarrow \psi^-$ )  $\Rightarrow$  won't work  
 $\Rightarrow \boxed{d \text{ is } 0^-}$

# [COUPLING OF QUARKS TO THE PION]

a) Pions couple to the  $SU(2)_{L-R}$  current:

$$\bar{q} \gamma^\mu \gamma^5 q \Rightarrow \bar{q} \gamma^\mu \gamma^5 q \frac{\partial_\mu \pi}{f_\pi} \text{ or } \bar{q} \gamma^\mu \gamma^5 \vec{q} \cdot \partial_\mu \vec{\pi}$$

That is, we have derivative couplings

$$\pi = \vec{t} \cdot \vec{\pi}$$

b) What about nucleons?  $\Rightarrow$  Exactly the same as with quarks

$$\bar{N} \gamma^\mu \gamma^5 N \partial_\mu \pi \rightarrow \text{low pions couple to nucleons} \quad (N \sim q)$$



# [NON-CHIRAL VS CHIRAL PIONS] ②

1) Before chiral symmetry we had:

$$\mathcal{L}_{\pi NN} = g_{\pi NN} \bar{N} i \gamma_5 \vec{\tau} \cdot \vec{\pi} N$$

most simple  
assumption

← non-derivative  
interaction

2) After chiral symmetry:

$$\mathcal{L}_{\pi NN} = \frac{g_A}{2f_\pi} \bar{N} \gamma^5 \gamma_\mu \vec{\tau} \cdot \partial_\mu \vec{\pi} N$$

$$g_A \simeq 1.26$$

$$f_\pi \simeq 92.4 \text{ MeV}$$

3.2) Comparison:

Goldberger-Treiman  
Relation

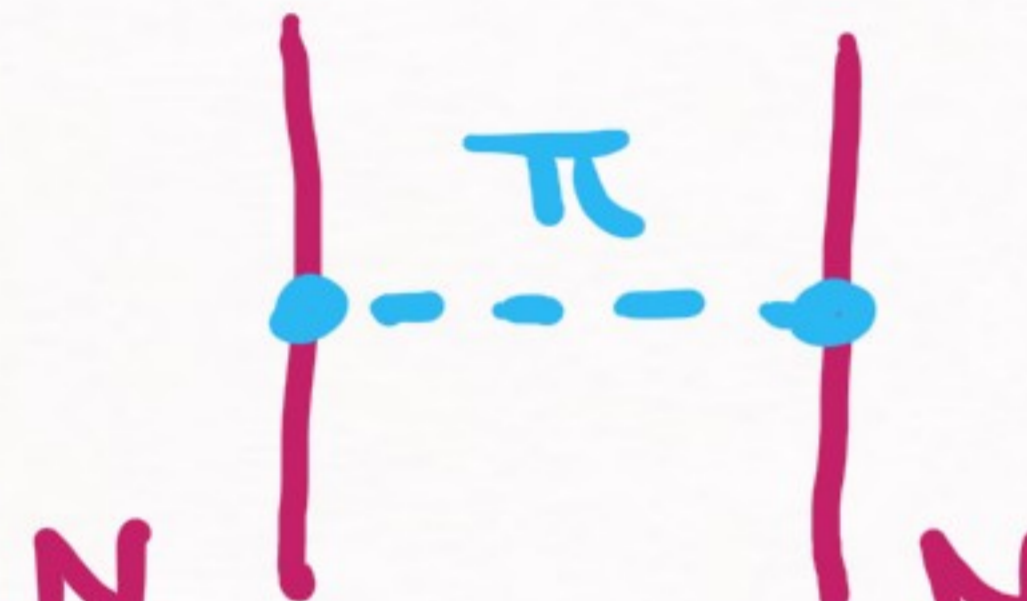
$$\frac{g_A}{f_\pi} = \frac{g_{\pi NN}}{M_N}$$

$$g_{\pi NN} \simeq 13.4$$

connects old pion  
theories with the new ones

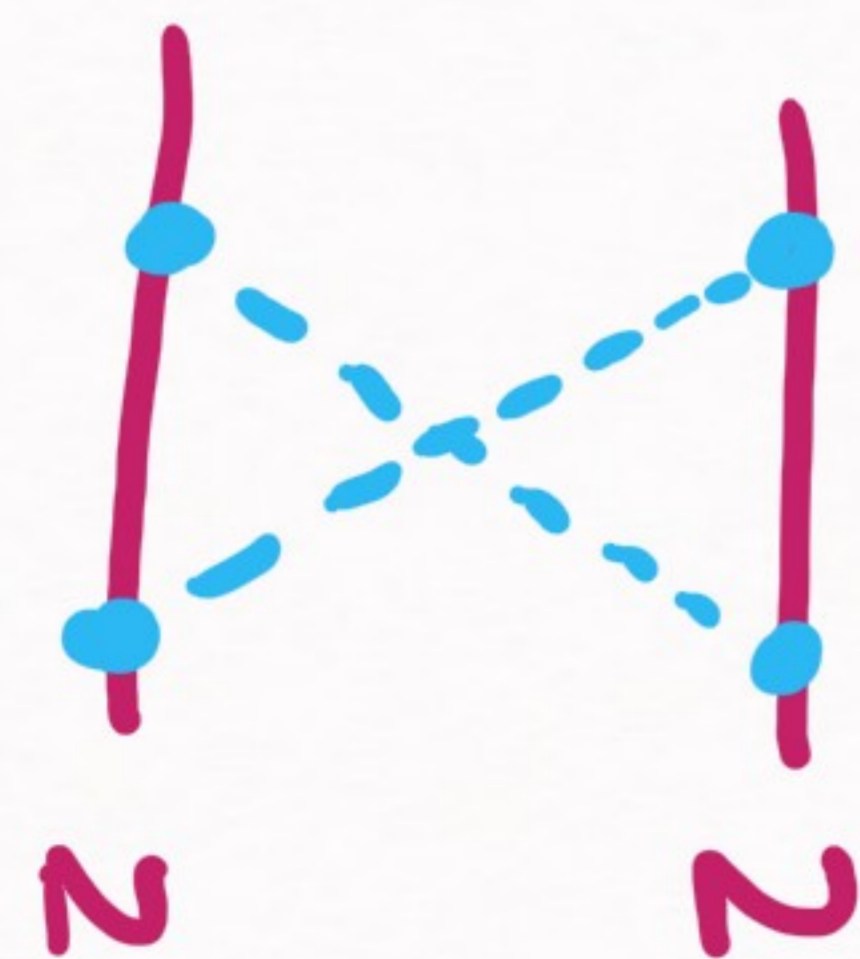
# [ NON-CHIRAL VS CHIRAL PIONS ] (2)

1) For OPE (one-pion exchange) there is no difference:



$$= - \frac{g_{\pi NN}^2}{4MN^2} \frac{\vec{\sigma}_1 \cdot \vec{q} \vec{\sigma}_2 \cdot \vec{q}}{q^2 + m_\pi^2} = - \frac{g_A^2}{4f_\pi^2} \frac{\vec{\sigma}_1 \cdot \vec{q} \vec{\sigma}_2 \cdot \vec{q}}{q^2 + m_\pi^2}$$

2) For TPE (two-pion exchange) diagrams, it makes a big difference



→ (too long & complicated to show)

derivative pion  $\Rightarrow$  we will be able to obtain good results with TPE

## CHIRAL PIONS

⇒ Current NN calculations use the pion dynamics derived from chiral symmetry breaking (derivative interaction)

⇒ In addition, they exploit the separation of scales between  $m_\pi$  &  $M_N$  ( $m_\pi \ll M_N$ ) to formulate an expansion in power of  $\frac{m_\pi}{M_N}$

## CHIRAL PERTURBATION THEORY

## RECAP

### CHIRAL SYMMETRY BREAKING ✓

a)  $\frac{m_u}{\Lambda_{QCD}}, \frac{m_d}{\Lambda_{QCD}}, \frac{m_s}{\Lambda_{QCD}} \ll 1 \Rightarrow m_u = m_d = m_s = 0$  possible approximation

b)  $n$  massless flavors imply chiral symmetry in QCD

$$G = SU(n)_L \otimes SU(n)_R \otimes U(1)_{L+R} \quad \checkmark$$

c) ground states of hadrons have a different symmetry

$$F = SU(n)_{L+R} \otimes U(1)_{L+R} \quad \checkmark$$

## RECAP

d) From Nambu-Goldstone theorem:

$\pi/G \cong SU(2)_{L-R}$  generates massless states

$\Rightarrow$  8 massless bosons  $\Rightarrow$   $\pi, \underline{K}, \eta$

e) This explains why they are so light

f) It also provides the correct pion-nucleon dynamics  $\Rightarrow$  derivative interactions ✓

- [General introduction](#)
- [The two-nucleon system](#)
- [Nuclear Structure](#)

Additional materials: [SU\(3\) Clebsch-Gordan coefficients](#), [ChPT Reviews](#).

IF you want to know more