

NUCLEAR PHYSICS (21)

RESIDUAL INTERACTIONS
IN THE SHELL MODEL



RECAP 1

NUCLEAR MODELS

1) SHELL MODEL → (RECAP IN NEXT PAGE)

2) ROTATIONAL MODEL

3) VIBRATIONAL MODEL

} COLLECTIVE MODEL

2,3) → SPECTRUM OF HEAVY NUCLEI

SHELL MODEL



Relies on a series
of assumptions



- * explains magic numbers (2, 8, 20, 28, 50, 82, ...)
- * separation energies
- * J^{π} of nuclei close to (N, Z) magic ...

1) protons & neutrons are fermions
(each has to have diff. quantum numbers)

2) \Rightarrow mean field potential

$$H = \underbrace{\sum_i T_i}_{\text{kinetic}} + \underbrace{\sum_j V_j^{2B} + \sum_{j^k} V_{j^k}^{3B} + \dots}_{\text{potential (2B, 3B, 4B, \dots)}}$$

$$\rightarrow H = \underbrace{\sum_i (T_i + V_i^{\text{MF}})}_{\text{kinetic + mean field potential}} + \underbrace{\Delta V}_{\text{perturbation (small)}}$$

3) What we do is to fill the energy levels of the mean field potential

EASY + GOOD FEATURES:

- ⊙ JF near closed shells ($160 \rightarrow 15/170$)
- ⊙ Excited spectra (near closed shells) (^{41}Ca , ^{38}Ar)
- ⊙ Pairing interaction (trivial example of ΔN)

→ [Two BIG OPEN PROBLEMS w/ SHELL MODEL] →

1) How do we find a $V^{MF}(\vec{r})$?

So far, we have simply assumed a V^{MF}

$$V^{MF} = \frac{1}{2} m \omega^2 r^2 - \vec{p} \cdot \vec{r} \quad (\text{it works})$$

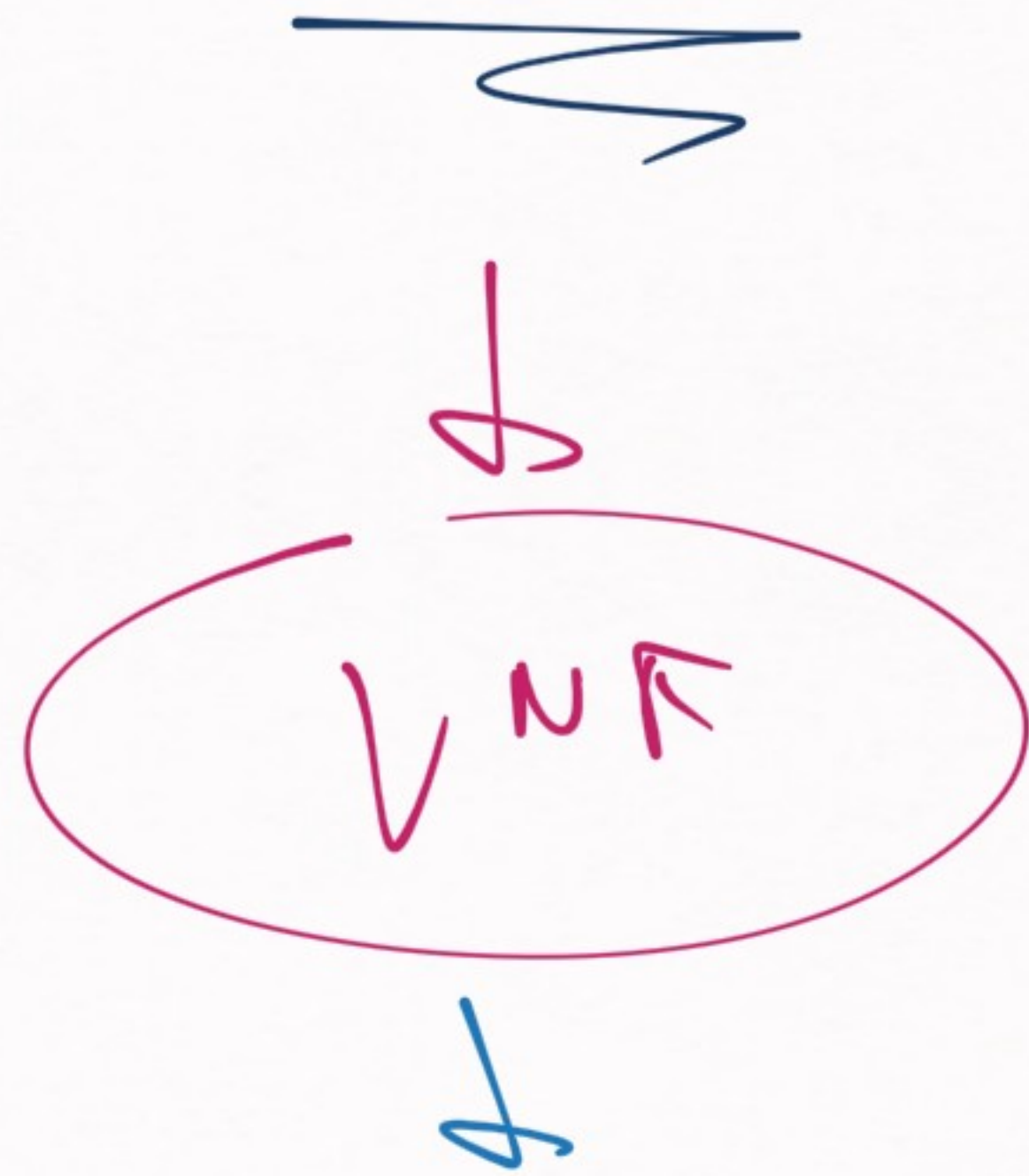
→ We didn't give a derivation for this

$$\rightarrow U_{MF} = \frac{1}{2} m (\omega r)^2 - \sum \vec{e}_i \cdot \vec{r}_i$$

this is phenomenological (ad-hoc)
but it works well.

what we want \rightarrow some methods to obtain
the mean field pot.

METHODS \rightarrow Hartree-Fock, Skyrme, Gogny



[For NEXT LESSON]

2) How do we deal w/ ΔV ?

(TODAY'S LESSON)

Pairing interaction \rightarrow a very simple
example

In general ΔV is going to be
much more complex to deal with

[How to CALCULATE THE EFFECTS
OF ΔV ?]

Review the basics:

1) Mean field potential $H = \sum_i \epsilon_i + \Delta V$

2) Monoparticulate wave function

$$\left[\epsilon_i \phi^{(n)}(\vec{r}) = \epsilon_n \phi^{(n)}(\vec{r}) \right]$$

3) Construct the full wave function

$$\Psi_{\alpha} = A \left[\prod_{i=1}^N \phi_i^{(m_i)} \right]$$

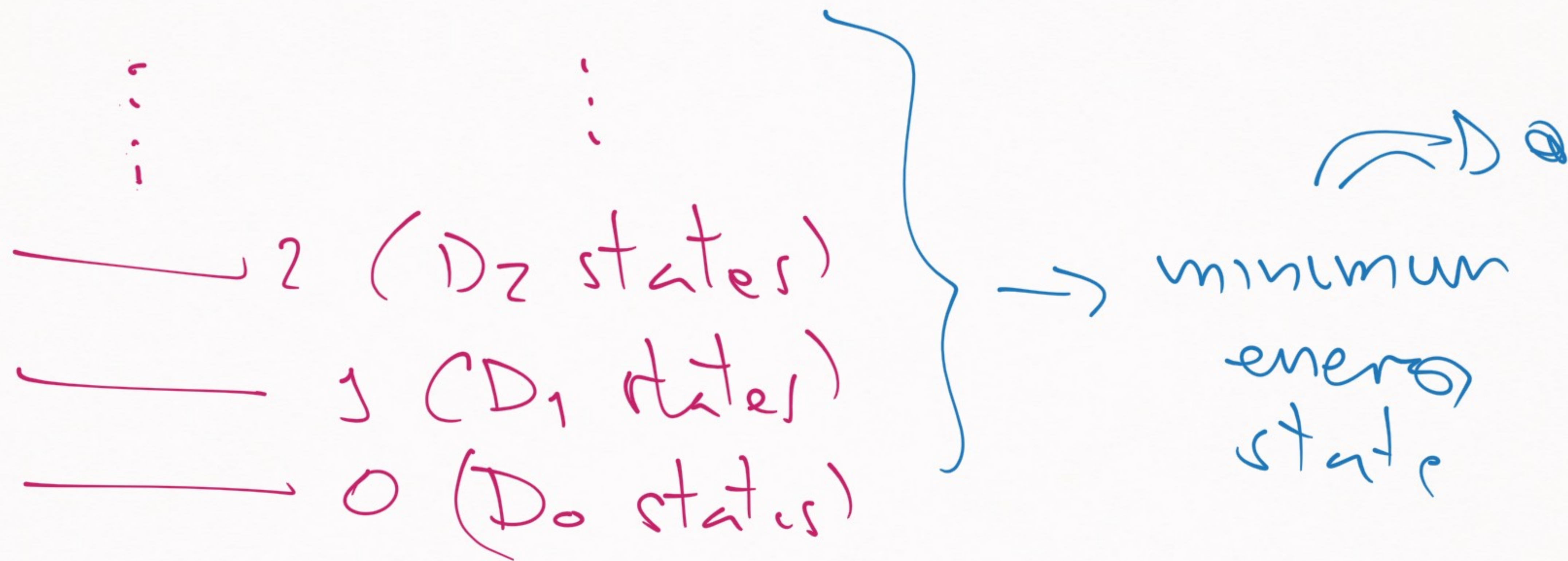
antisymmetrize
(bc we have fermions)

wave function
factorizes
(product of ψ_i)

$$\Psi = \sum_i \psi_i$$

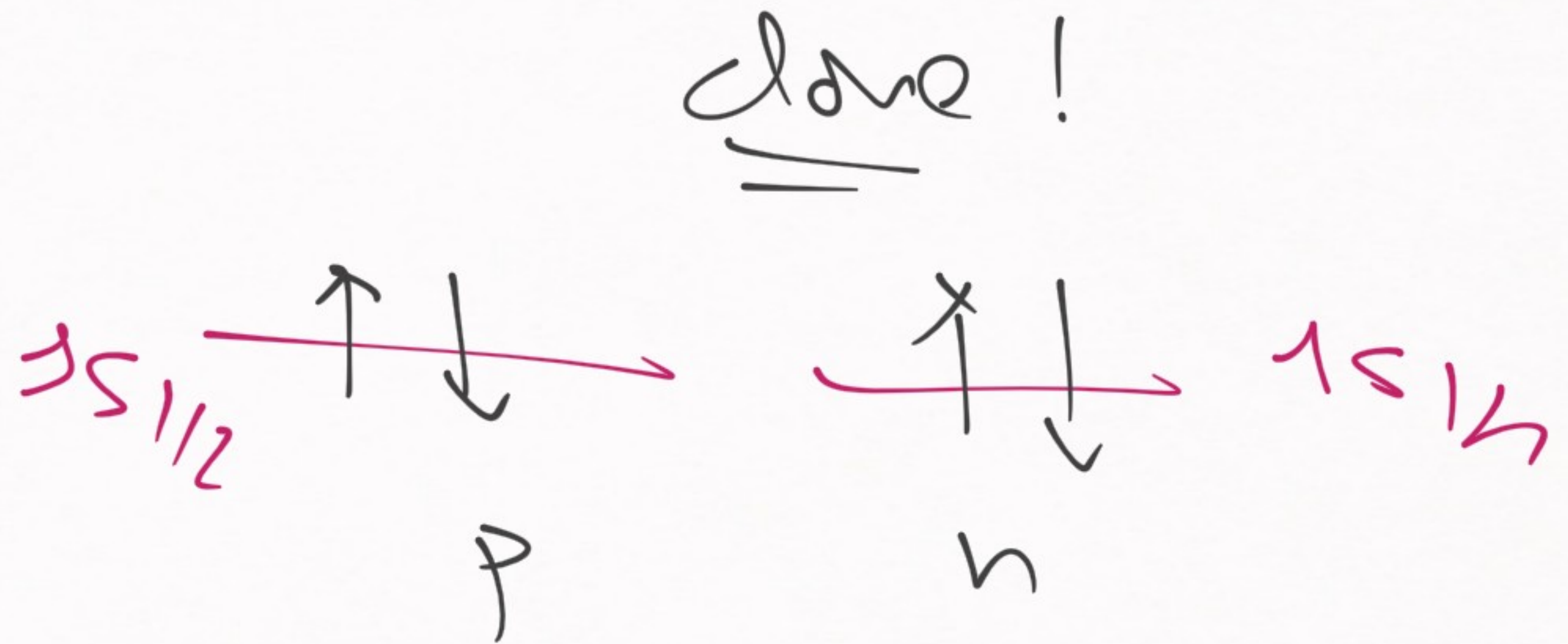
→ WHEN $\Delta V = 0$, WHAT WE DID IS:

$\psi(n)$ → n is energy level



⊕ ⇒ We begin by filling the lower shell, till we arrange all nucleons in shells

$4\text{He} \rightarrow (2p, 2n)$



→ But we could see this from a different perspective.

$$\mathbb{F}_a = A \left[\prod_{i=1}^n d^{(n_i)} \right]$$

$$\alpha = \{ (z_0, n_0), (z_1, n_1), (z_2, n_2), \dots \} \quad \hookrightarrow$$

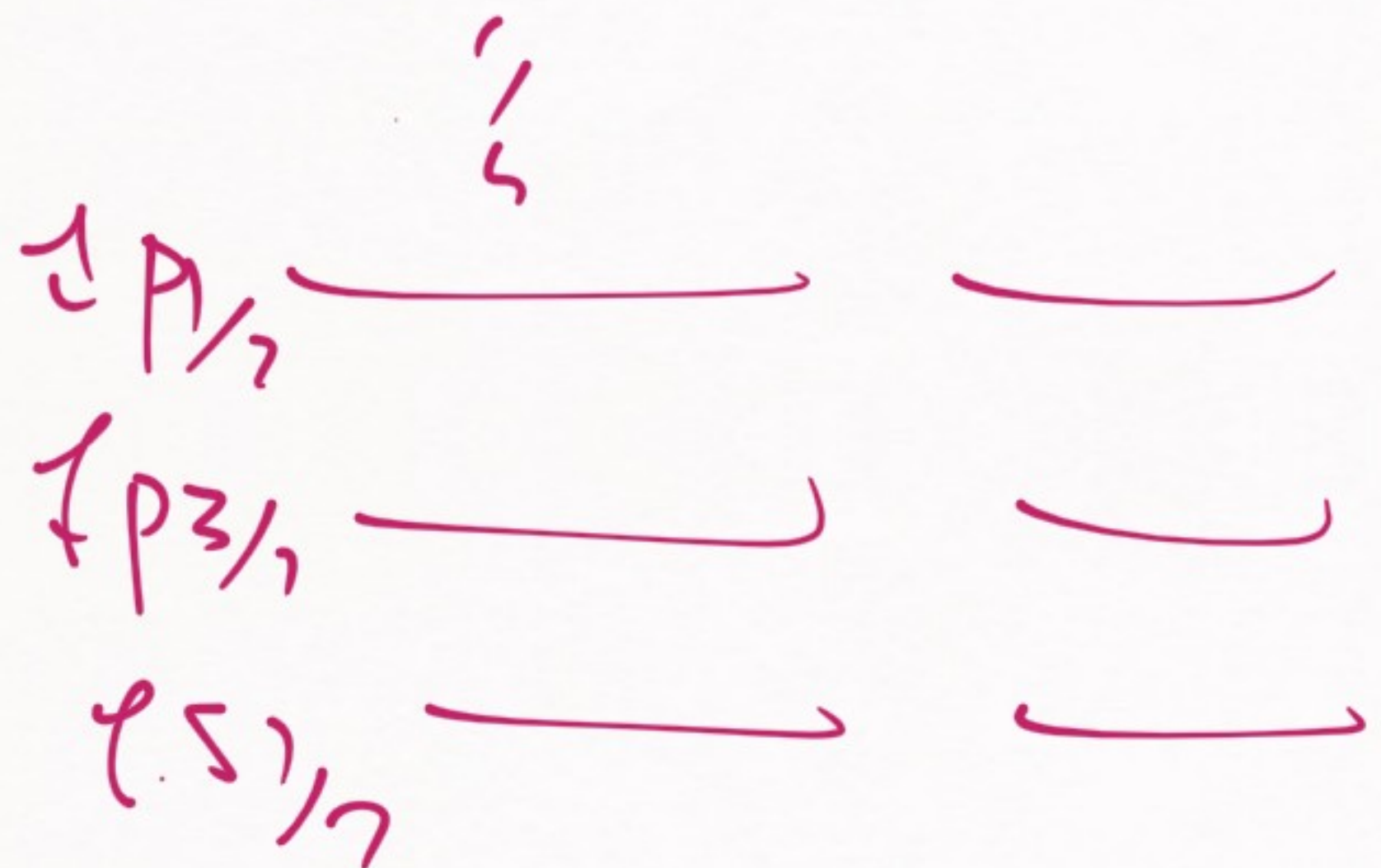
↪ an arbitrary configuration

Hilbert space $\rightarrow \{ \Phi_\alpha \}$ with all possible
 α 's

each of them represents a different
nuclear state



EXAMPLE $({}^4\text{He}) \rightarrow (Zp, Zn)$



$\alpha \rightarrow$ $\left\{ \begin{array}{l} 2 \text{ protons in } 1s_{1/2} \\ 2 \text{ neutrons in } 1s_{1/2} \end{array} \right.$

$\alpha' \rightarrow$ $\left\{ \begin{array}{l} 2 \text{ protons in } 1s_{1/2}, \\ 1 \text{ neutron in } 1s_{1/2}, \\ 1 \text{ neutron in } 1p_{3/2} \end{array} \right.$

$\alpha'', \alpha''', \alpha''''$, —

→ this generator the full Hilbert space
for (Z, N) nucleus

We can understand this better w/

a J-BODY example →

1 BODY CASE \rightarrow 1 dimension

1) Standard Schrödinger equation

$$H(\Psi) = E\Psi \rightarrow -\frac{\hbar^2}{2m}\Psi''(x) + V(x)\Psi(x) = E\Psi(x)$$

Basen $\rightarrow \{|x\rangle\} \Rightarrow \langle \underline{\Psi} | x \rangle$

2) Momentum space Basen $\rightarrow \{|p\rangle\}$

$$\langle \Psi | p \rangle = \int d^3\vec{x} \langle \Psi | x \rangle \langle x | p \rangle$$

$$V(p, p') = \langle p' | V | p \rangle$$

3) \rightarrow can be done in any basis
 $\{ |x\rangle \}, \{ |p\rangle \}$ not the only options

4) Oscillator basis

$$V^{MF}(x) = \frac{1}{2} m \omega^2 x^2$$

$$V(x) = \underbrace{\frac{1}{2} m \omega^2 x^2}_{\text{mean-field}} + \underbrace{(V(x) - \frac{1}{2} m \omega^2 x^2)}_{\Delta V \text{ (residual)}}$$

$$H^{MF} |n\rangle = \hbar\omega \left(n + \frac{1}{2}\right) |n\rangle \rightarrow \text{1-dimensional}$$

harmonic
oscillator
functions

4') We can solve in
the new basis

$$\langle 4 | n \rangle = \Rightarrow$$

"

$$|4\rangle = \sum_n |n\rangle \langle n | 4 \rangle$$

$$\int dx \langle 4 | x \rangle \langle x | n \rangle$$

\rightarrow infinite dimensional
vector

$$|4\rangle = \sum_n |n\rangle \langle n|4\rangle = \sum_n |n\rangle \psi_n$$

$$H|4\rangle = \sum_n H|n\rangle \psi_n$$

→ if you want
to obtain $\langle m|4\rangle$

$$H_{mn} = \langle m|H|n\rangle$$

↙

$$H_{mn} \psi_n = E \psi_m$$

$$\boxed{H|\psi\rangle = E|\psi\rangle}$$

$$\rightarrow \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right) \delta(x-x')$$

$$\int dx' \langle x | H | x' \rangle \psi(x') = E \psi(x)$$

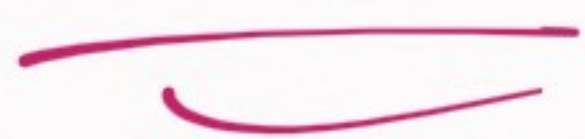
$$-\frac{\hbar^2}{2m} \psi''(x) + V(x)\psi(x) = E\psi(x)$$

\mathcal{M}

$$2) |h\rangle \psi \rightarrow H_m \psi_m = E \psi_m$$

$$H_m = \langle m | H | m \rangle$$

$$\psi_m = \langle m | \psi \rangle$$



→ Oscillator basis is just another representation for Schrödinger

$$1) H\psi(x) = \left[-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right] \psi(x) = E\psi(x)$$

(differential equation)

$$2) H_{mn} \psi_n = E \psi_m \quad (\text{matrix equation})$$

$$1) \Leftrightarrow 2)$$

We can always
choose a
give representation
↪

1-dim



Using the oscillator basis
is straight forward



Shell-model



Same idea, but in 3-dim

(w/ spin-orbit, etc)



BASIC IDEA → We can solve Schrödinger
in any basis we like
(including the VMT basis)

→ If we use the oscillator basis, then
we end up with an infinite
dimensional matrix
equation

$$1) H = \sum_i T_i + \sum_j v_j^{2B} + \sum_{j^k} V_{j^k}^{3B} + \dots$$

$$\rightarrow H = \sum_i H_i + \Delta V$$

$$2) H_{\alpha B} \psi_{\alpha} = E \psi_{\alpha}$$

$$\psi_{\alpha} = A \left[\prod_{i=1}^A \phi_i \right]$$

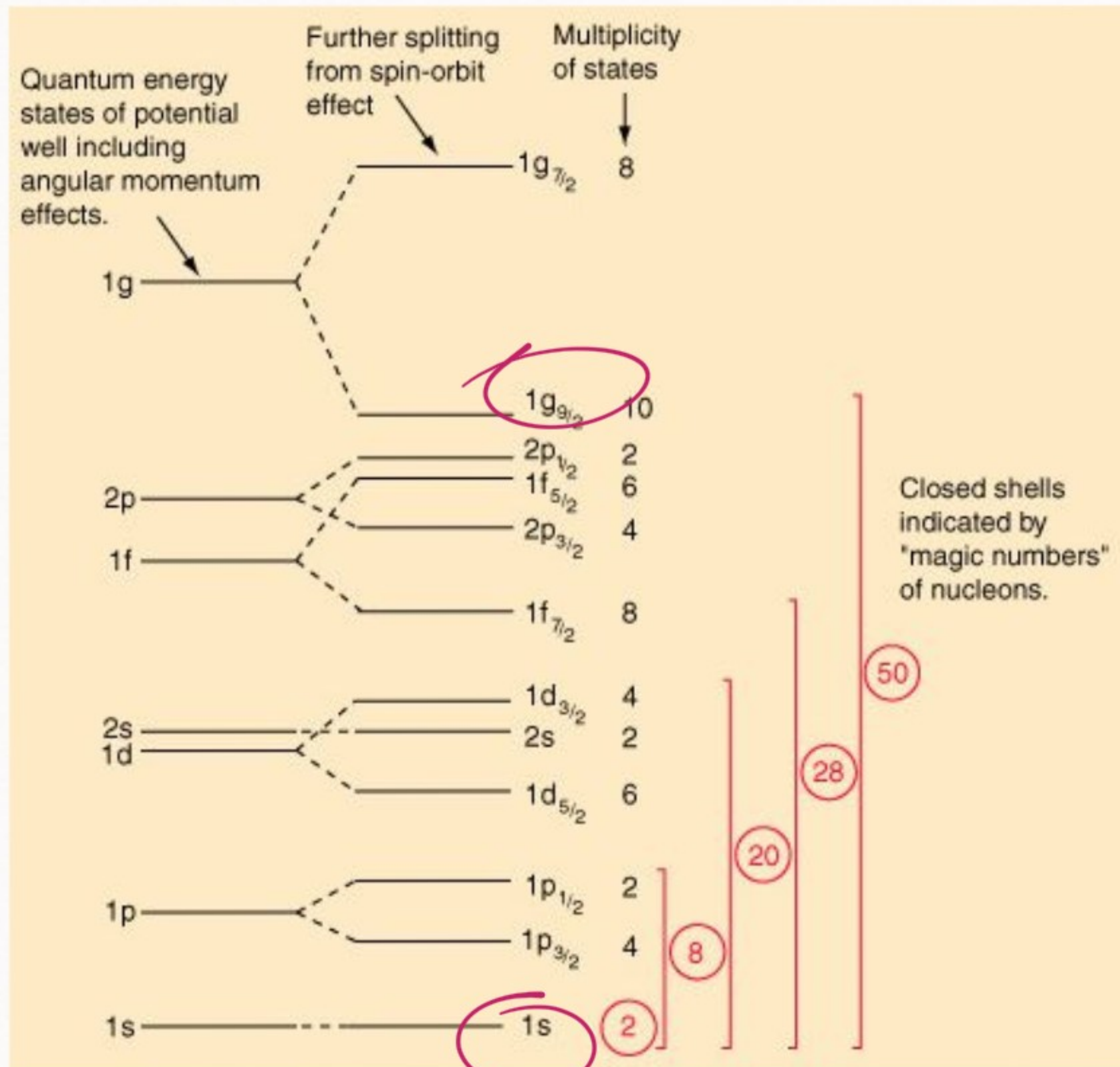
→ interactions
in the
shell model

PROBLEM

→ [INFINITE DIMENSIONAL
PROBLEM]

↓
We need to simplify the

↓
reduce the number of configurations
that we use



→ not all the shells are equally important for all nuclei

→ we can ignore shells that are unlikely to be filled



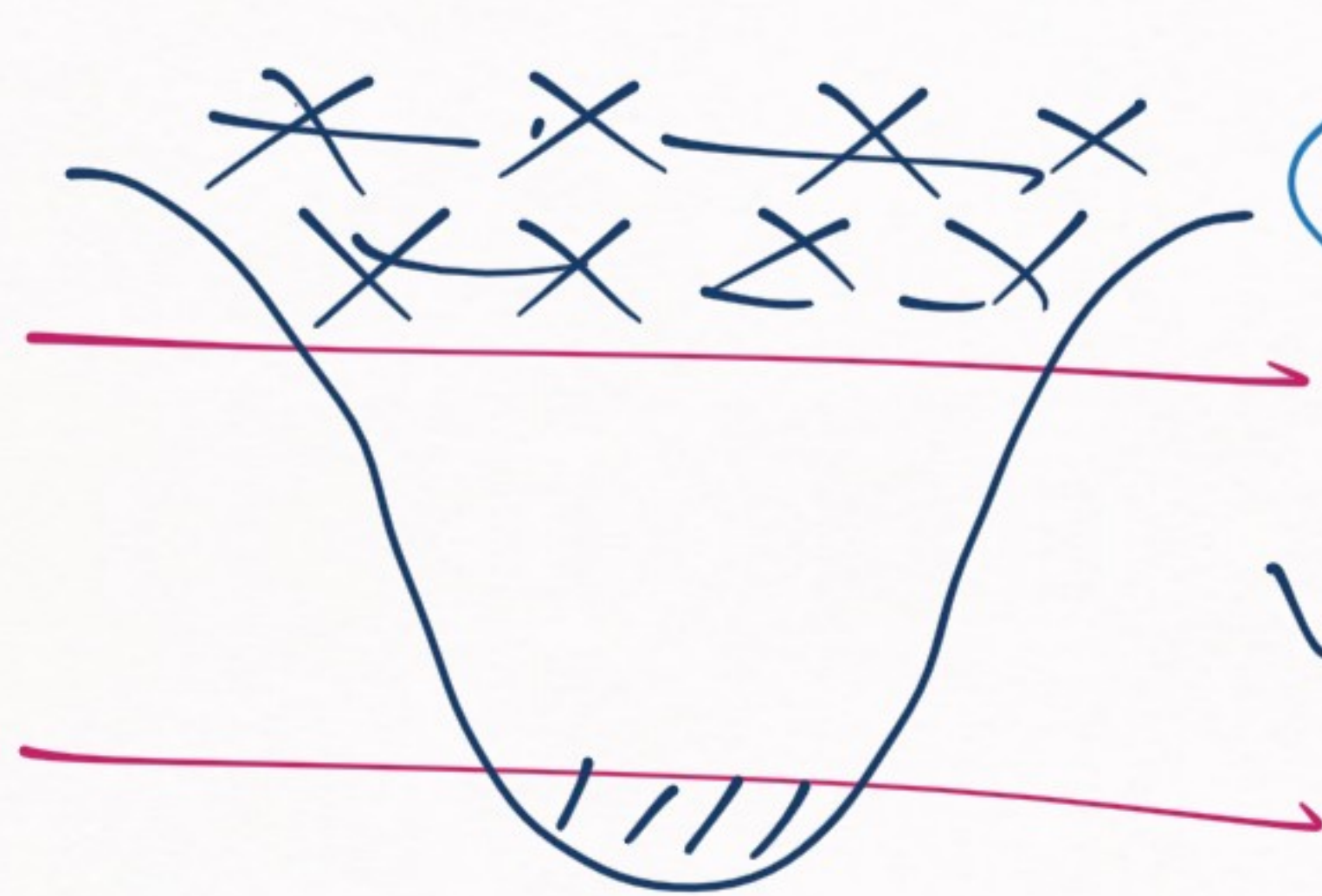
For $\Delta V \neq 0$

(For $\Delta V = 0$)

\Downarrow
mixture of
a lot of shells

but, it's very unlikely
that there will be a
large contribution
from $1s_{1/2}$ shell

[TYPICAL SET-UP]



EXTERNAL

VALENCE

CORE

always full

always empty

division of shells

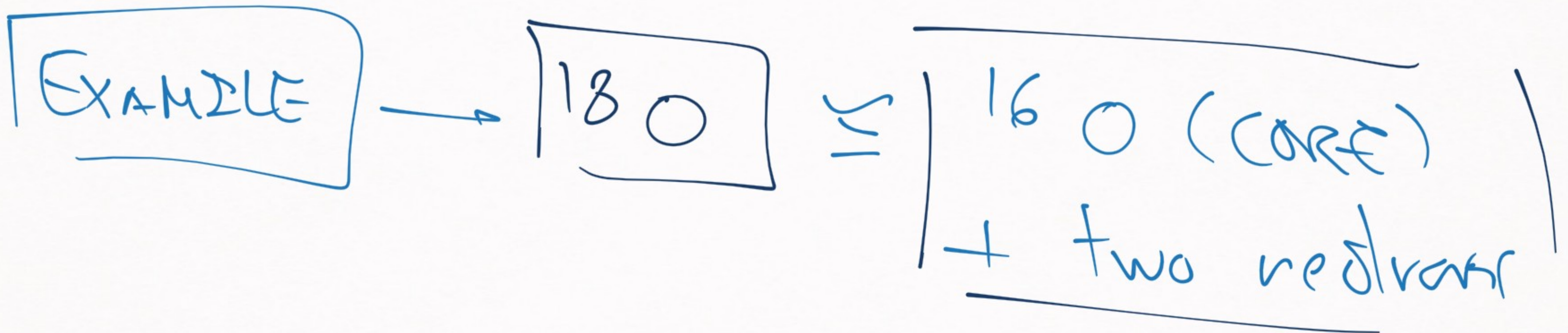
where things happen

DIVIDE SHIPS INTO 3 CATEGORIES:

1) CORE

2) VALENCE → what matters

3) EXTERNAL



180

EXTERNAL

1p

2s

1d

1p

1s

1 pair

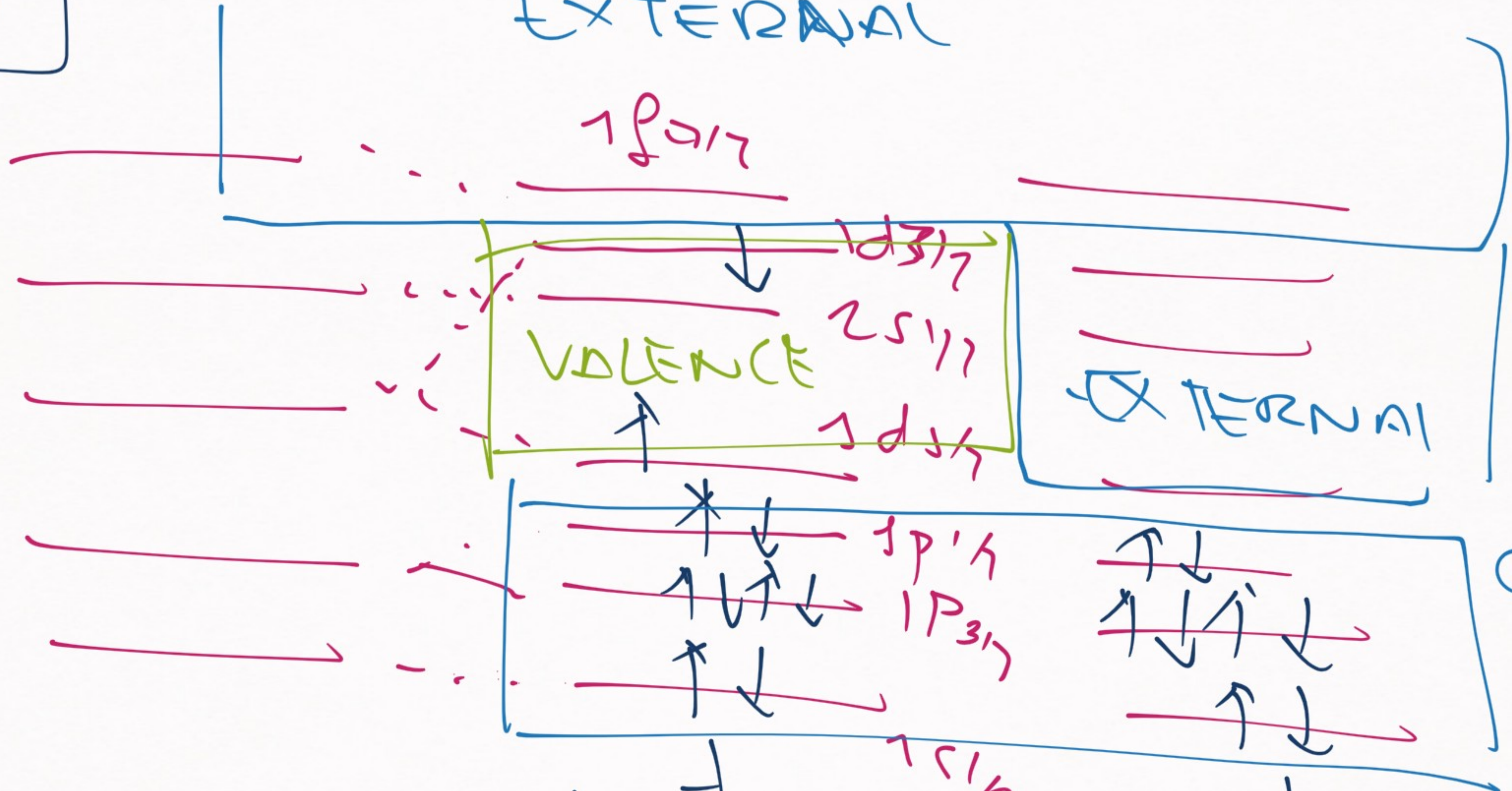
VALENCE

EXTERNAL

CORE

neutrons

protons



$180 \rightarrow$ 1) CORE $\rightarrow 160$

2) VALENCE $\rightarrow \{1d_{5/2}, 1d_{3/2}, 1d_{3/2}\}$
orbitals

3) EXTERNAL $\rightarrow \{1f_{7/2}, \dots\}$

TYPICAL EXAMPLE OF
THE INTERACTING
SHELL MODEL

\rightarrow all hydroc
energy
orbitals \leftarrow

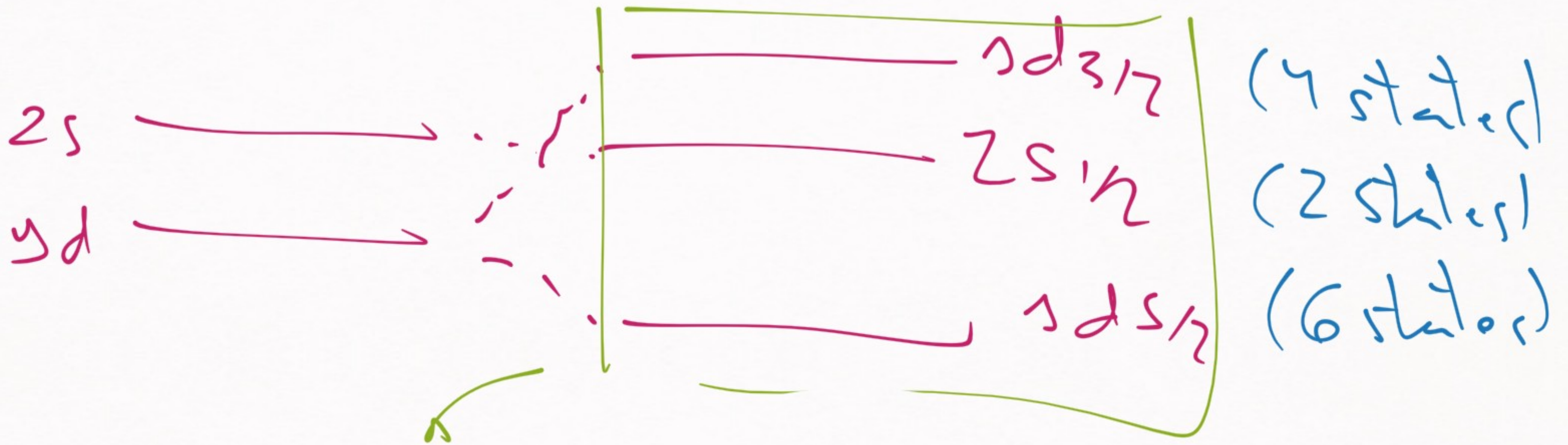
How do we continue & solve it?

1) We have to write down the basis for the wave functions in the valence

$$\Psi_a = \underbrace{\Psi_a^{\text{CORE}}}_{\text{fixed}} \times \underbrace{\Psi_a^{\text{VALENCE}}}_{\text{non-fixed}}$$

2) We find the dimension of Ψ_a^{VALENCE}

180



\Rightarrow 12 different levels in the valence

\downarrow
Fill the valence w/ two fermions

What is the dimension of the Valence Space?

1) $170 \rightarrow 1$ neutron in valence

\rightarrow $\boxed{\text{Dim} = 12}$

2) $180 \rightarrow 2$ neutrons in valence space

$$\text{Dim} = \frac{12 \cdot 11}{2} = \binom{12}{2} = 66 \text{ states}$$

180

in this interacting shell model)

→ $\begin{pmatrix} 12 \\ 2 \end{pmatrix} = 66$ dimensional basis

→ Solve a 66×66 matrix to
obtain the eigenvalues,

//

66 dim. space: \rightarrow we do all comb

$$|1\rangle = | \uparrow \downarrow s_{1/2} (+s_{1/2}), \uparrow \downarrow s_{1/2} (+3/2) \rangle$$

$$|2\rangle = | \uparrow \downarrow s_{1/2} (+s_{1/2}), \uparrow \downarrow s_{1/2} (-1/2) \rangle$$

⋮

$$|n\rangle = | \uparrow \downarrow s_{1/2} (+s_{1/2}), 2s_{1/2} (+1/2) \rangle$$

$$|n+1\rangle = | \uparrow \downarrow s_{1/2} (+s_{1/2}), 2s_{1/2} (-1/2) \rangle$$

NEXT STEP $\rightarrow |1\rangle, \dots, |GG\rangle$

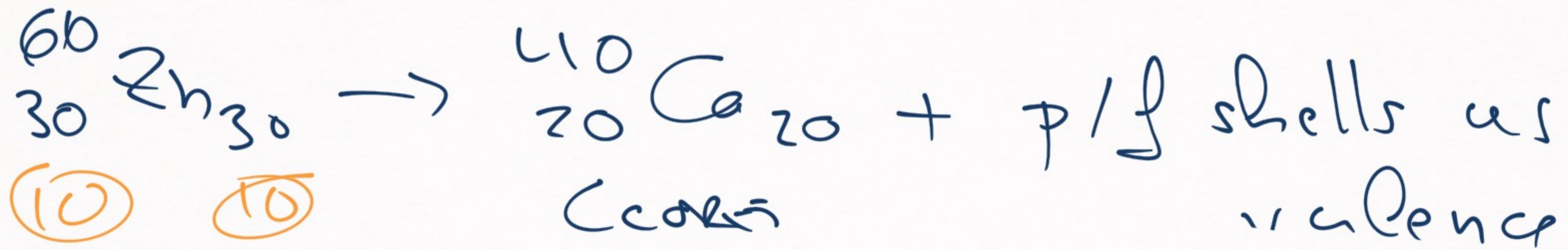
$$H = \langle \alpha | H | \beta \rangle = \begin{pmatrix} H_{11} & H_{12} & \dots & H_{1,GG} \\ H_{21} & H_{22} & \dots & \vdots \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix}$$

$GG \times GG$
matrix

find the eigenvalues/eigenvectors

→ the problem is the size of the matrices

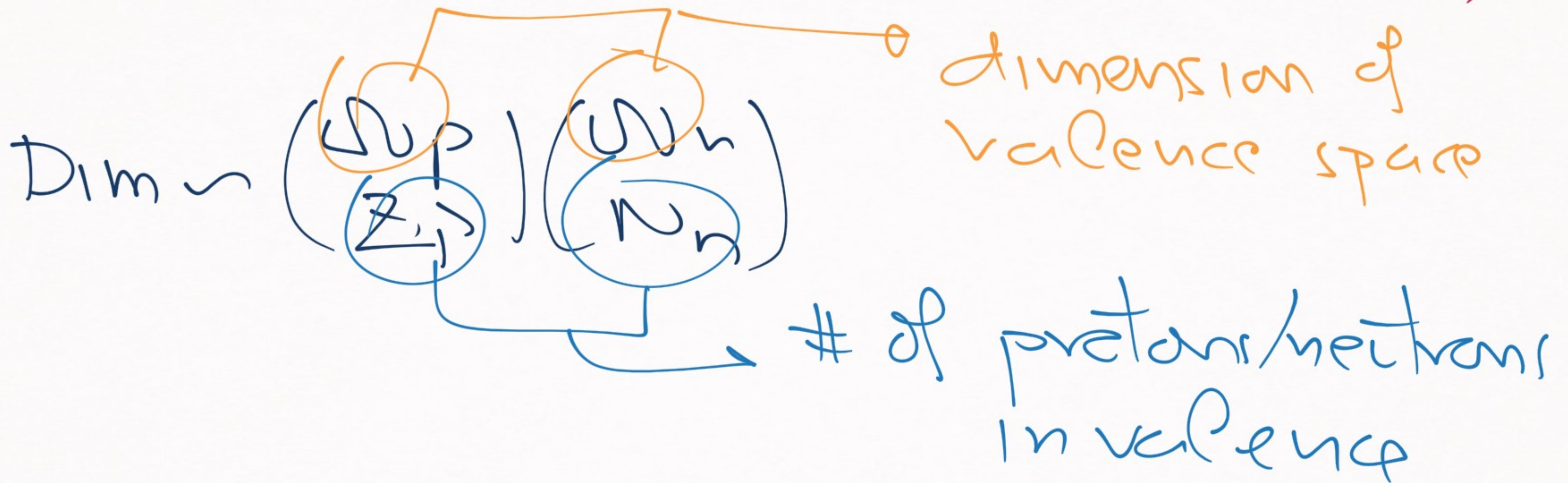
$$180 \rightarrow 160 + (1d, 1s) \text{ valence} \rightarrow \begin{pmatrix} 17 \\ 2 \end{pmatrix} = 66 \checkmark$$



$$\text{Dim} \begin{pmatrix} 60 \\ 30 \text{Zn}_{30} \end{pmatrix} = \begin{pmatrix} 20 \\ 10 \end{pmatrix} \begin{pmatrix} 20 \\ 10 \end{pmatrix} \text{ (up to 20 nucleons)} \\ \sim \underline{3.4 \cdot 10^{10}} \text{ dimensional}$$

INTERACTING SHELL MODEL

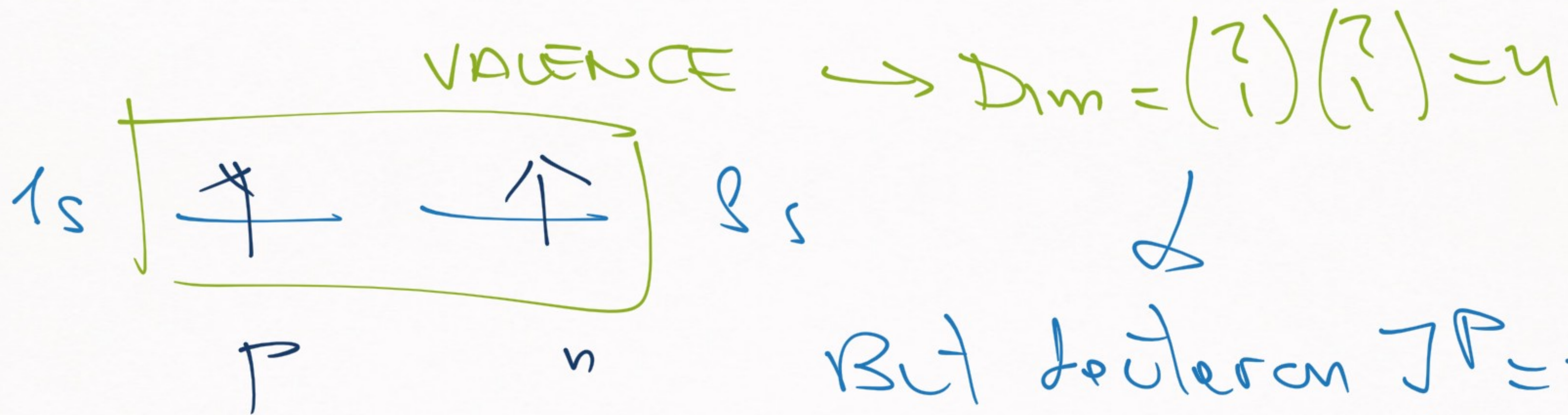
↪ DIMENSION OF VALENCE SPACE
CAN GROW REALLY QUICKLY



→ It quickly becomes unwieldy

[GOOD NEWS] → we can reduce the
dimensionality,
by considering
specific JP
states

Example \rightarrow decleration



$$\text{Dim}(y^+) = 3$$

$$\text{Dim}(0^+) = 1$$

$$J(2^+)$$

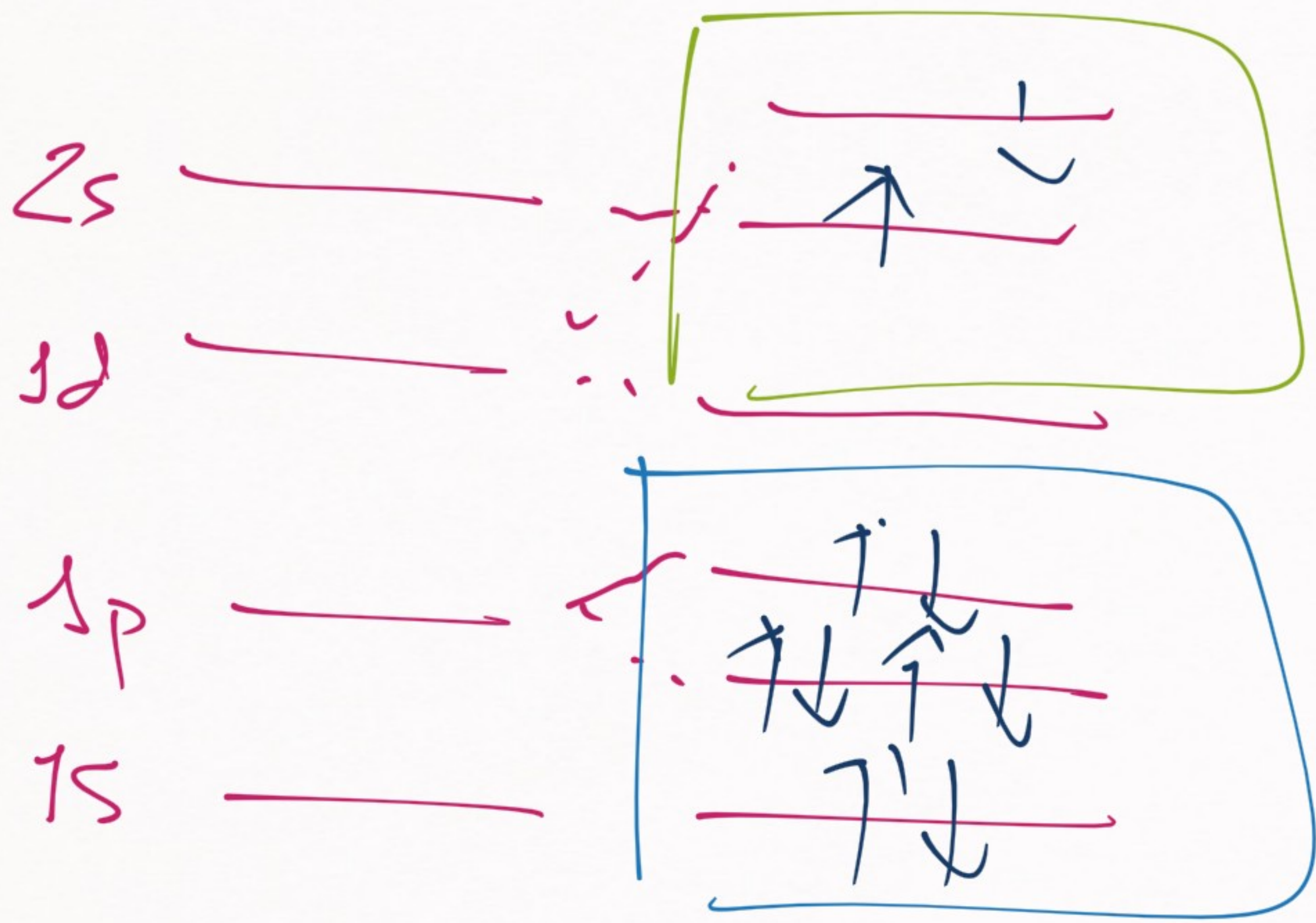
$$\overline{\text{Dim}(1^+)} < \text{Dim}(y^+)$$

→ By considering specific JIP we will
be able to reduce the dimension
of the valence space



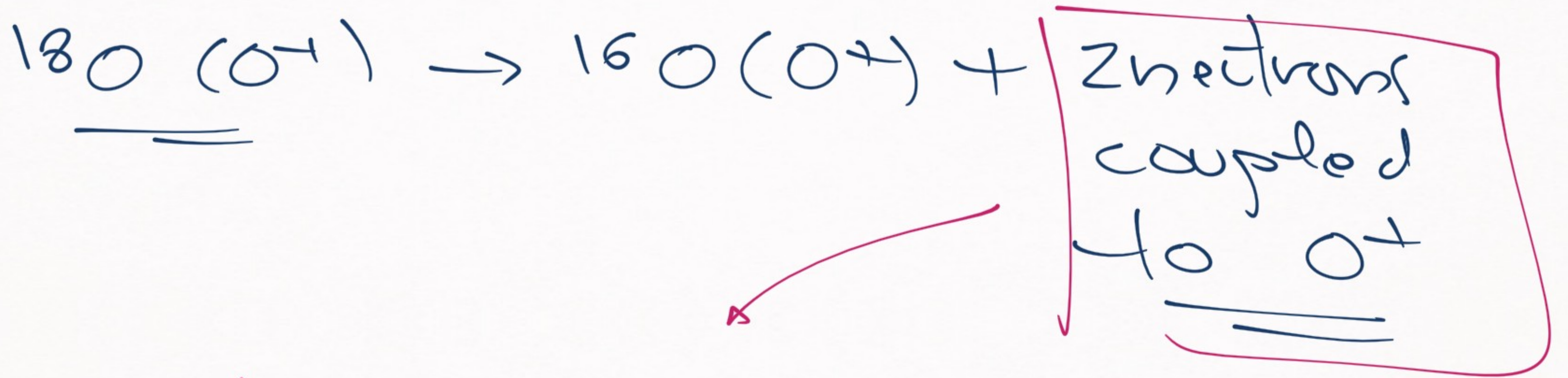
EXAMPLE

→ 380, but only $l_p = 0^+$ states

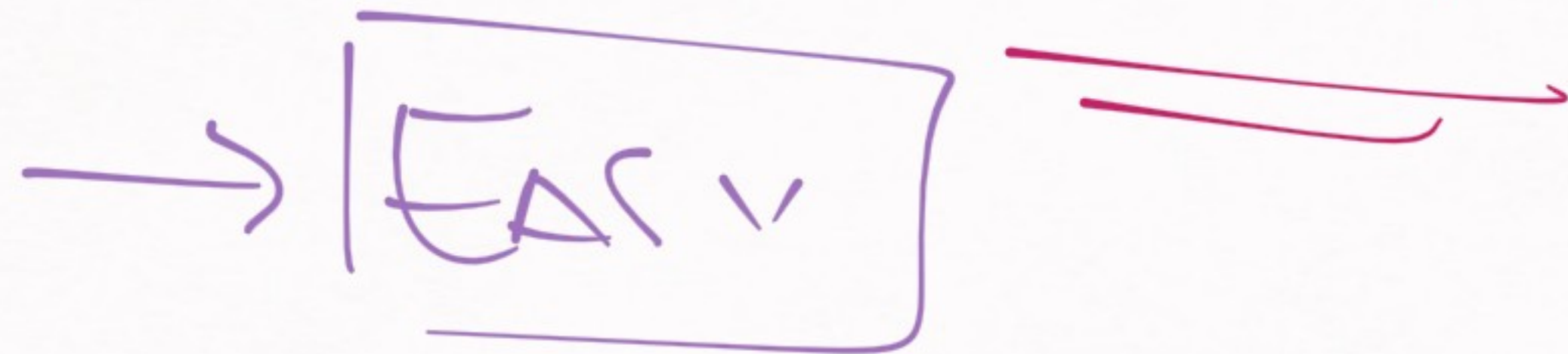


VALENCE → 0^+

→ 0^+
CORE (FULL)



Count possible valence configurations
that give $J^P = O^+$
valence



\downarrow $d_{3/2}$
 \uparrow $s_{1/2}$
 \longrightarrow $d_{5/2}$

$JP \rightarrow J_1^{\uparrow} \otimes J_2^{\uparrow}$

~~$3/2 \otimes 1/2 = 1 \oplus 2$~~ $JP = 0^+$

we don't count this one

10^+

$3/2 \otimes 3/2 = 0 \oplus 2 \oplus 2 \oplus 3$

$1/2 \otimes 1/2 = 0 \oplus 1$

$5/2 \otimes 5/2 = 0 \oplus 1 \oplus 2 \oplus 3 \oplus 4$

$(1^+, 2^+)$

SYMMETRIC

σ^+ \rightarrow only 3 options

①

$\uparrow d_{3T}$ _____
 $\downarrow s_{112}$ _____
 $\uparrow d_{s_{12}}$ _____
 $\uparrow \downarrow$

②

_____ \rightarrow
_____ $\uparrow \downarrow$

③

_____ $\uparrow \downarrow$ _____
_____ \rightarrow

\rightarrow only 3 possibilities \rightarrow

$$\dim(\sigma^+) = 3$$

$$\dim(O^+ \text{ valence}) \ll \dim(\text{valence})$$

$$3 \ll 66$$

→ wonderful simplification

dim = 3 → calculation is easy

How to do it?

1) Find the SINGLE PARTICLE LEVELS

$$H_i \phi_i = \epsilon_i \phi_i$$

$$\epsilon(1d_{5/2}), \epsilon(2s_{1/2}), \epsilon(1d_{3/2})$$

+ wave functions



→ this doesn't need a VMA

(because these energies can be extracted from exp. data) →

170

$$E(3d_{5/2}) = E_B(170) - E_B(160) \quad \underbrace{160 + 10}$$

$$E(2s_{1/2}) = E(1d_{5/2})$$

$$+ (E_B(170, 1/2^+) - E_B(170))$$

etc

$$e(1d3h) - e(1d5n) = E_{\alpha}(170, 3h^+) \\ - E_{\beta}(170, 5h^+)$$

→ only requires a bit of work
(I done)

$$\begin{aligned}
 E(1d_{5/2}) &= -4.1 \text{ MeV} \\
 E(2s_{1/2}) &= -3.7 \text{ MeV} \\
 E(1d_{3/2}) &= +0.9 \text{ MeV}
 \end{aligned}
 \left. \vphantom{\begin{aligned} E(1d_{5/2}) \\ E(2s_{1/2}) \\ E(1d_{3/2}) \end{aligned}} \right\} \text{monoparticle energies}$$

$$\underline{120}(\sigma^+) \rightarrow +1\alpha_R = 2\delta_{\alpha\beta} \epsilon_{\alpha} + (\Delta U)_{\alpha\beta}$$

$$\alpha, \beta = 1, 2, 3$$

$$1 \rightarrow 2n \quad 1n \quad 1d_{5/2}$$

$$2 \rightarrow 2n \quad 1n \quad 1s_{1/2}$$

$$3 \rightarrow 2n \quad 1n \quad 1d_{3/2}$$

(AV) AR \rightarrow depends on choice of VMF,
 V^{2B}, V^{3B}, \dots

Let's assume we obtain this:

$$\underline{\Delta V} = \begin{pmatrix} -2.8 & -1.3 & -3.7 \\ & -2.1 & -1.1 \\ \text{Sym} & & -2.7 \end{pmatrix}$$

$H + \Delta V \rightarrow 3 \times 3$ matrix \rightarrow find eigenvalues

$\rightarrow -12.6, -8.1, +0.6$

$1B_1(0^+)$

ground
state

O_2^+, O_2^-

excited
states

Except
that
usually
we use
energy
differences

$$\left. \begin{aligned} \Delta E(O_1^+) &= 4.4 \text{ MeV} \\ \Delta E(O_2^+) &= 13.1 \text{ MeV} \end{aligned} \right\} \rightarrow \begin{array}{l} \text{this is how} \\ \text{this is done} \end{array}$$

(\exists problem of the calculation
of V_{ap})

(not covered here)

For the full spectrum \rightarrow

Repeat the calculation for:

$$J_1^2 = 1^+, 2^+, 3^+, 4^+$$

(2^+ forbidden, bc / symmetric)

FURTHER EXAMPLE \rightarrow 160, $J^2 = 3+$

What are the options?

$3d_{3/2}$

$3/2 \otimes 3/2 = 0 \oplus 1 \oplus 2$

S_{ym}

$1s_{1/2}$

$1/2 \otimes 5/2 = 2 \oplus 3$

$1d_{5/2}$

$3/2 \otimes 5/2 = 1 \oplus 2 \oplus 3 \oplus 4$

$5/2 \otimes 5/2 = 0 \oplus \dots \oplus 3 \oplus 4 \oplus 5$

S_{ym}

2) Build the basis

$$\alpha = \frac{1}{2} (1 \text{dsh}) (2 \text{sih}) |3\rangle, (1 \text{dsh}) (1 \text{d3h}) |3\rangle$$

$= \frac{1}{2} |3, 2\rangle$ Simplification \rightarrow we don't
need to worry about m_r

2) Hamiltonian

$$H_{11} = \epsilon (1 \text{dsh}) + \epsilon (2 \text{sih}) + \Delta U_{11}$$

$$H_{17} = H_{71} = \Delta U_{71} = \Delta U_{17}$$

$$H_{77} = \epsilon (1 \text{dsh}) + \epsilon (1 \text{d3h}) + \Delta U_{77}$$

(7 states)

Imagine that $\Delta V = \begin{pmatrix} 0.2 & 0.7 \\ 0.7 & 0.6 \end{pmatrix} \text{ MeV}$

Diagonalize $H + \Delta V \rightarrow \boxed{-6.6, -2.5 \text{ MeV}}$

\rightarrow Exactly same process

$$\Delta E(180(\zeta_0^+)) = (-6.6) - (-12.6) = \underline{\underline{+6 \text{ MeV}}}$$

→ [General pattern for interacting
shell model is easy]

(Repeating the previous process
for any nucleus of interest)

NO CORE SHELL MODEL (→ REMOVE
THE CORE)
(+ COMPUTING POWER)

→ NEXT LESSON :

How to obtain the
mean field potential.

