

# NUCLEAR PHYSICS (7)



→ QCD can't be solved analytically

What can we do to derive  
nuclear physics from QCD?



# RECAP

→ QCD is very similar in structure to QED

Gauge theory → local symmetry  
of Dirac fields w/ respect  
to some group

$$\text{QED} \rightarrow \underbrace{U(1)}$$

$$\text{QCD} \rightarrow \underbrace{SU(3)}$$



→ structure indeed very similar

→ BUT ...

QED & QCD behaviors  
are very different

Why does this happen?

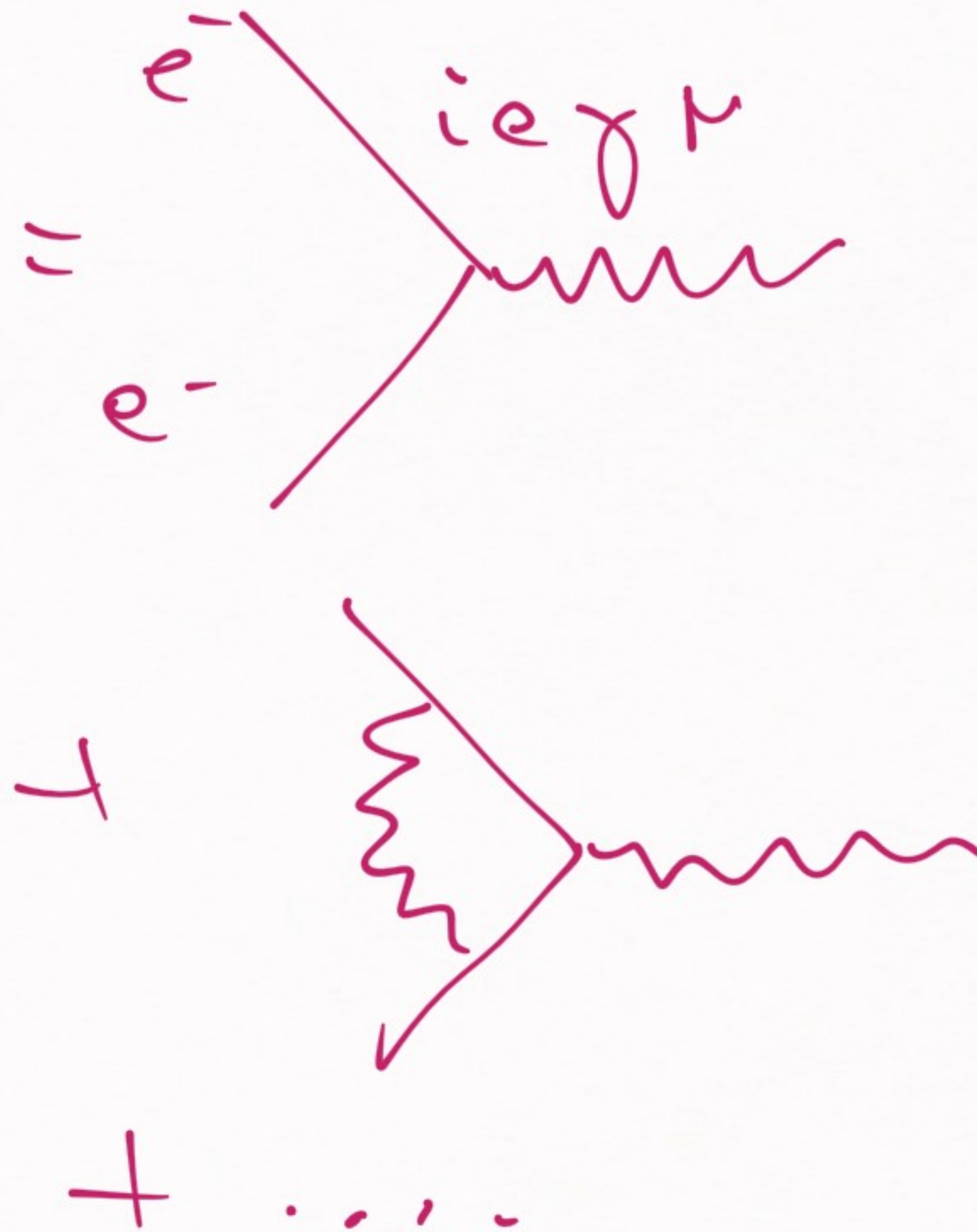
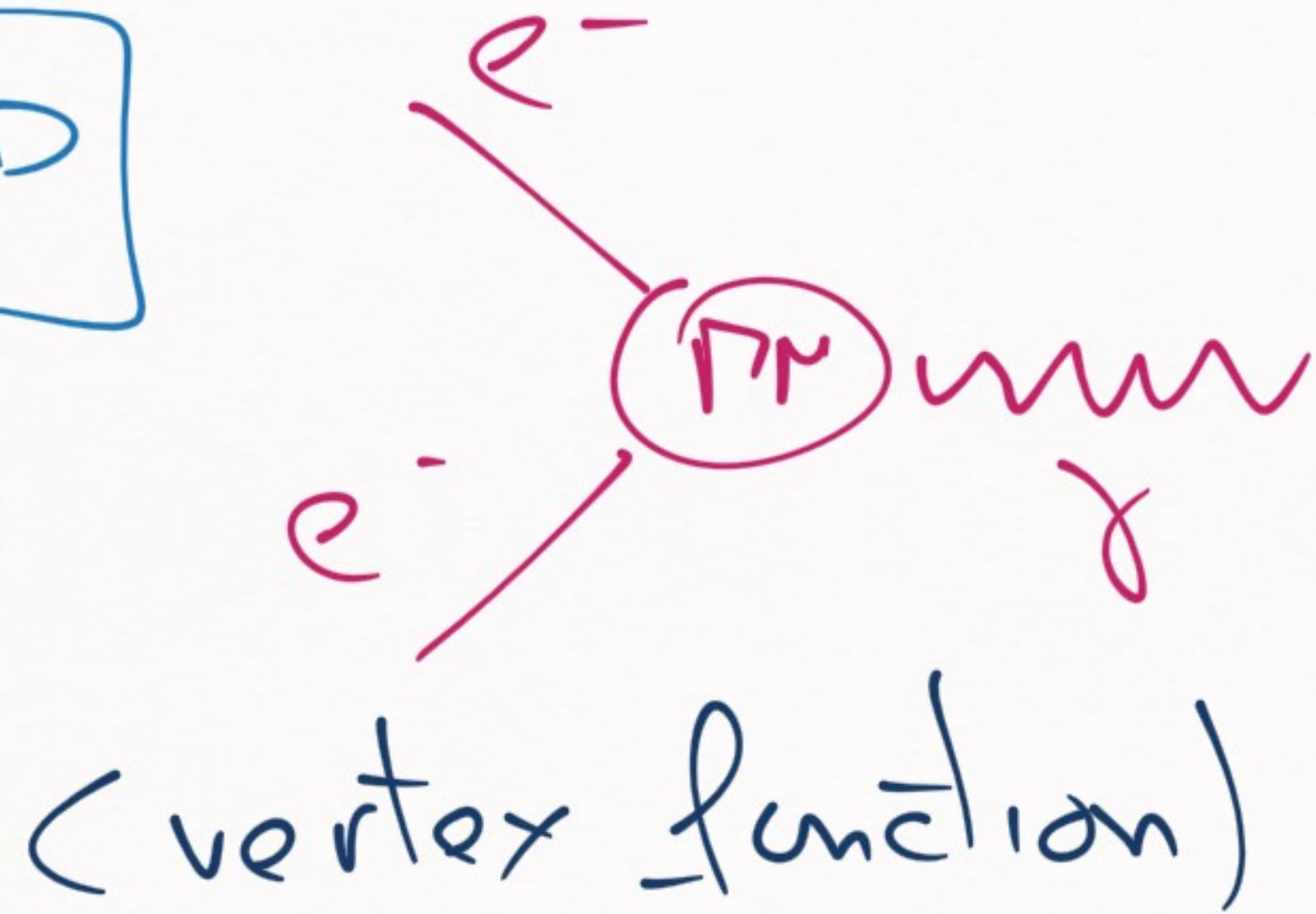
QED →  $U(1)$  → photon doesn't carry  
 $U(1)$  charge

QCD →  $SU(3)$  → gluons carry  $SU(3)$  charge  
(color)



WHY THIS MAKES A BIG DIFFERENCE?

QED





$$\Rightarrow e \rightarrow e_R(Q^2)$$

$$\alpha = \frac{e^2}{4\pi} \rightarrow \underline{\underline{\alpha(Q^2)}}$$

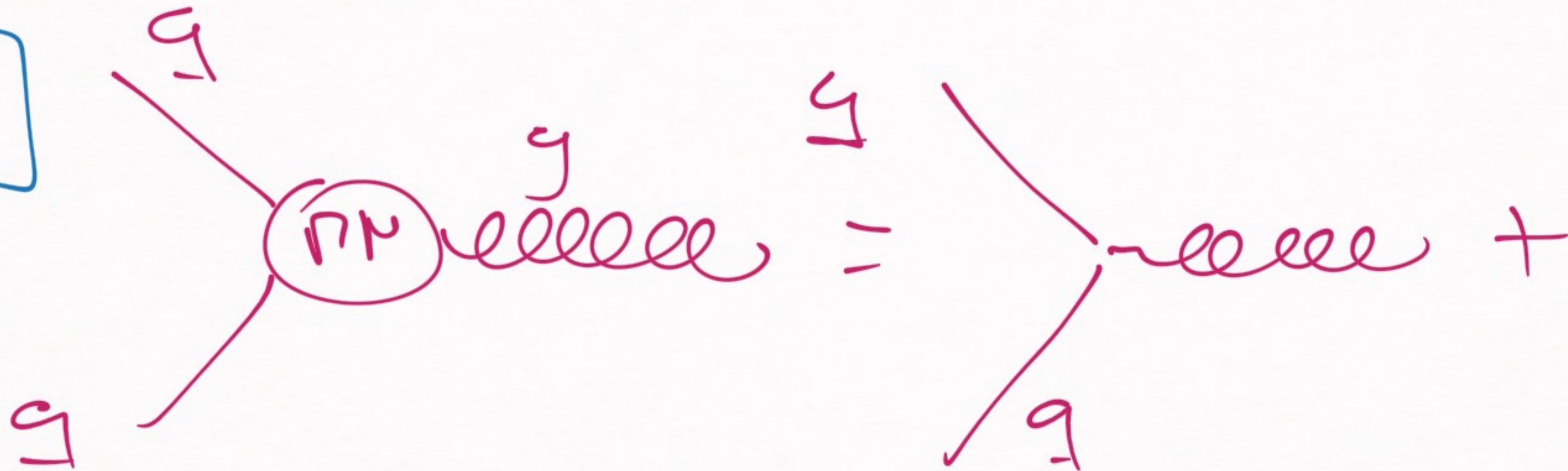
$$\alpha(Q^2) = \frac{\alpha(\mu^2)}{1 - \frac{\alpha(\mu^2)}{3\pi} \log\left(\frac{Q^2}{\mu^2}\right)}$$

e.m. strength  
increases  
w/ energy

$$\hookrightarrow \text{if } Q^2 > \mu^2, \quad \alpha(Q^2) > \alpha(\mu^2)$$



$[\Phi \psi]$



gluon has color



$$\alpha_s(Q^2) = \frac{12\pi}{(33 - 2n_f) \log\left(\frac{Q^2}{\Lambda_{QCD}^2}\right)}$$

→ strong force becomes weaker at high energies



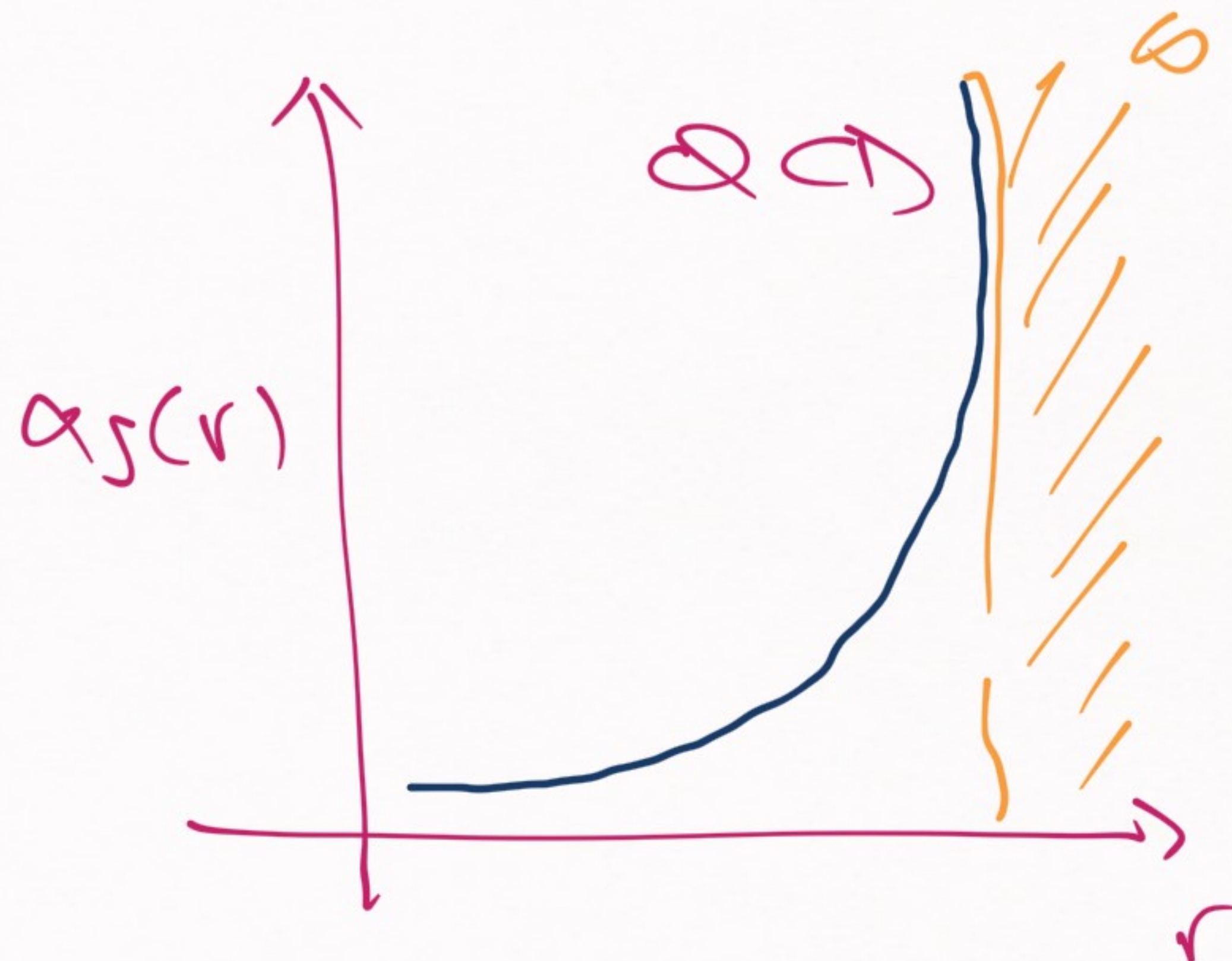
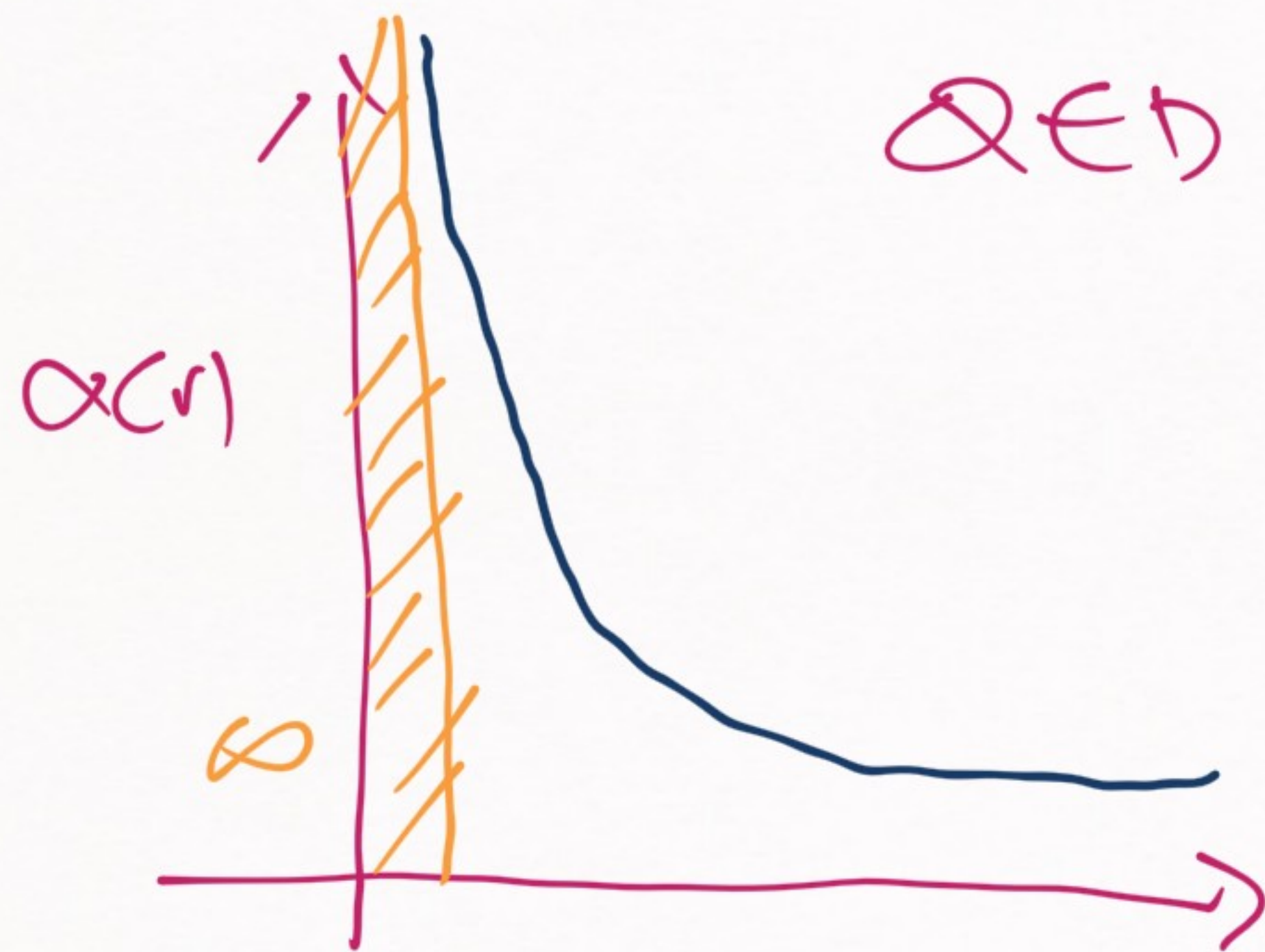
# of flavors (# of quark types, u, d, s, c, b, t)

If  $Q^2 > \mu^2$ , then  $\alpha_s(Q^2) < \alpha_s(\mu^2)$

⊗ →  $\Lambda_{QCD} \sim (200 - 350) \text{ MeV}$



# QED vs QCD



$$\left[ r \leq \frac{1}{\Lambda_0}, \Lambda_0 \approx \underline{10^{280}} \text{ MeV} \right]$$

(Landau pole)

$$\left[ r \geq \frac{1}{\Lambda_{QCD}} \right]$$



QCD



Problem: Nuclear physics happens at distances where we cannot apply QCD



RELATED TO CONFINEMENT

(NO FREE QUARKS)





④  $\alpha(r)$  grows with  $r$



$$V_{gg}(r) \sim - \frac{\alpha(r)}{r}$$

$$\sim - \frac{a}{r} + br$$



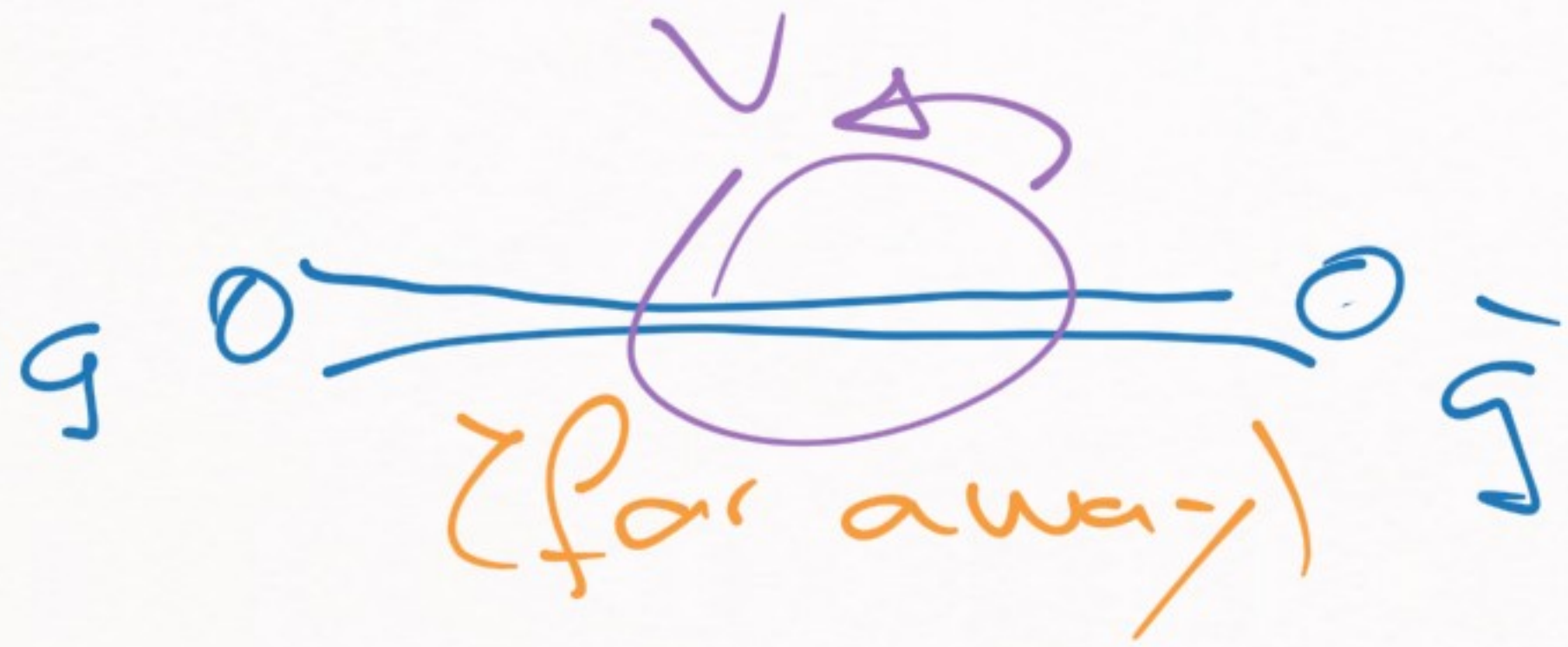
$\alpha$  grows stronger



w/ distance



Minimum energy configuration:



(1)

$E_1$

$V$



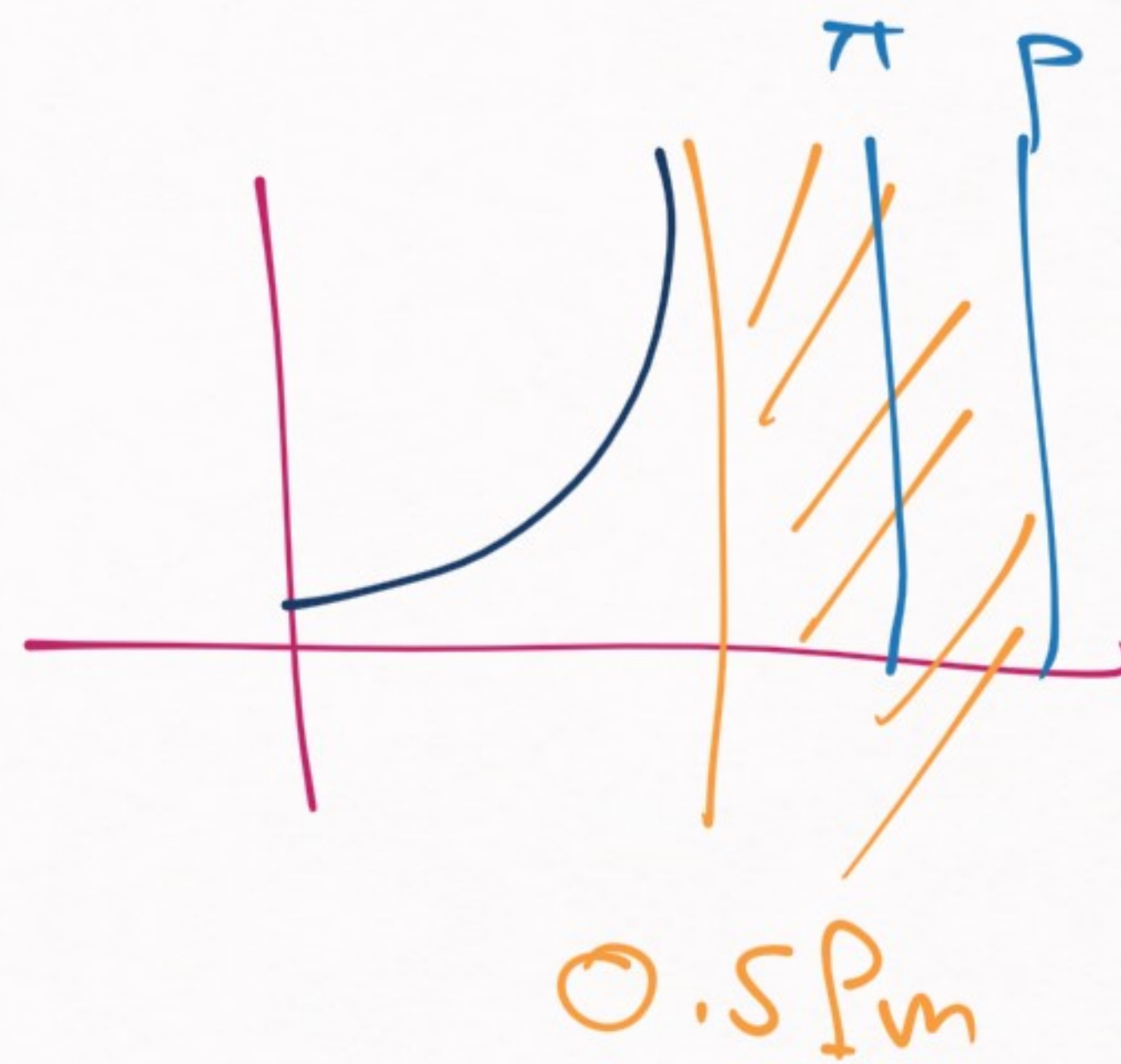
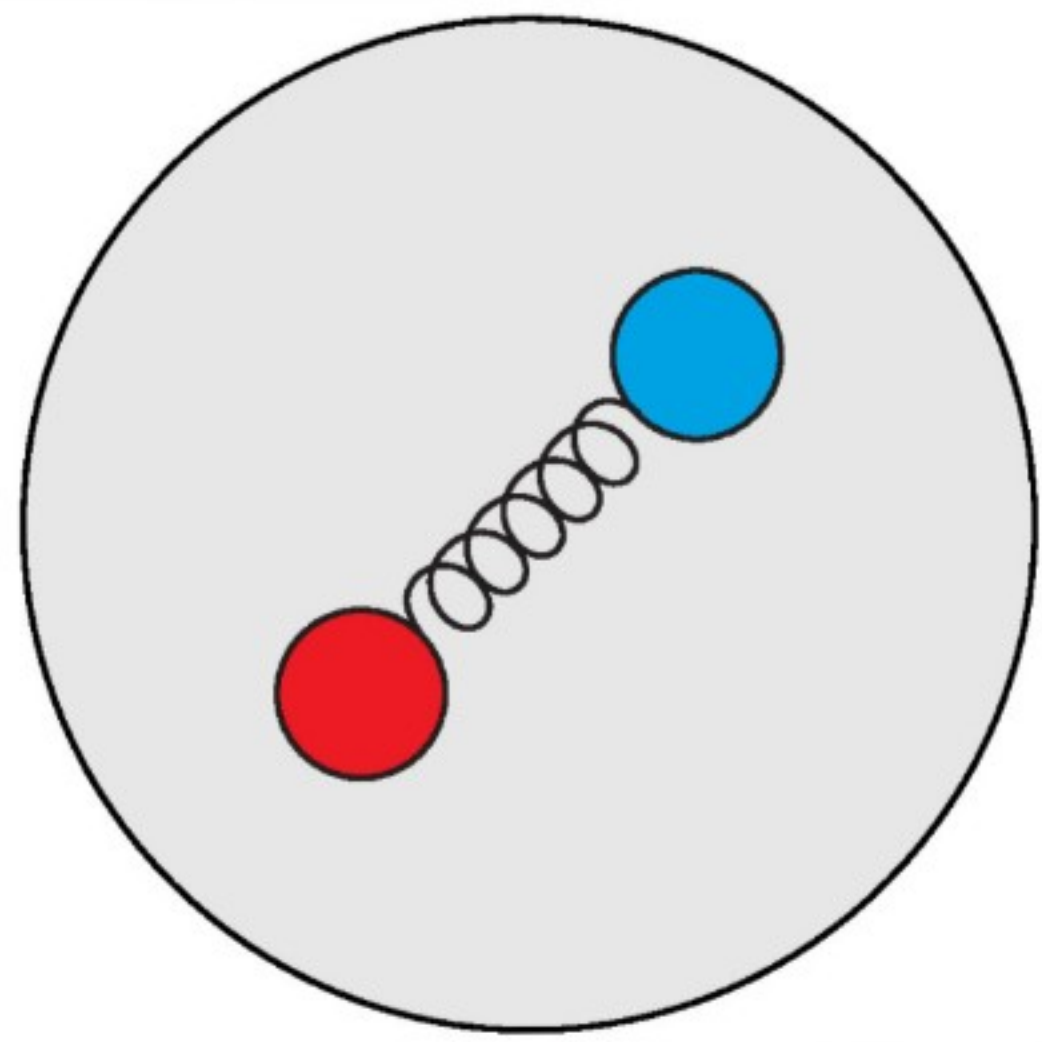
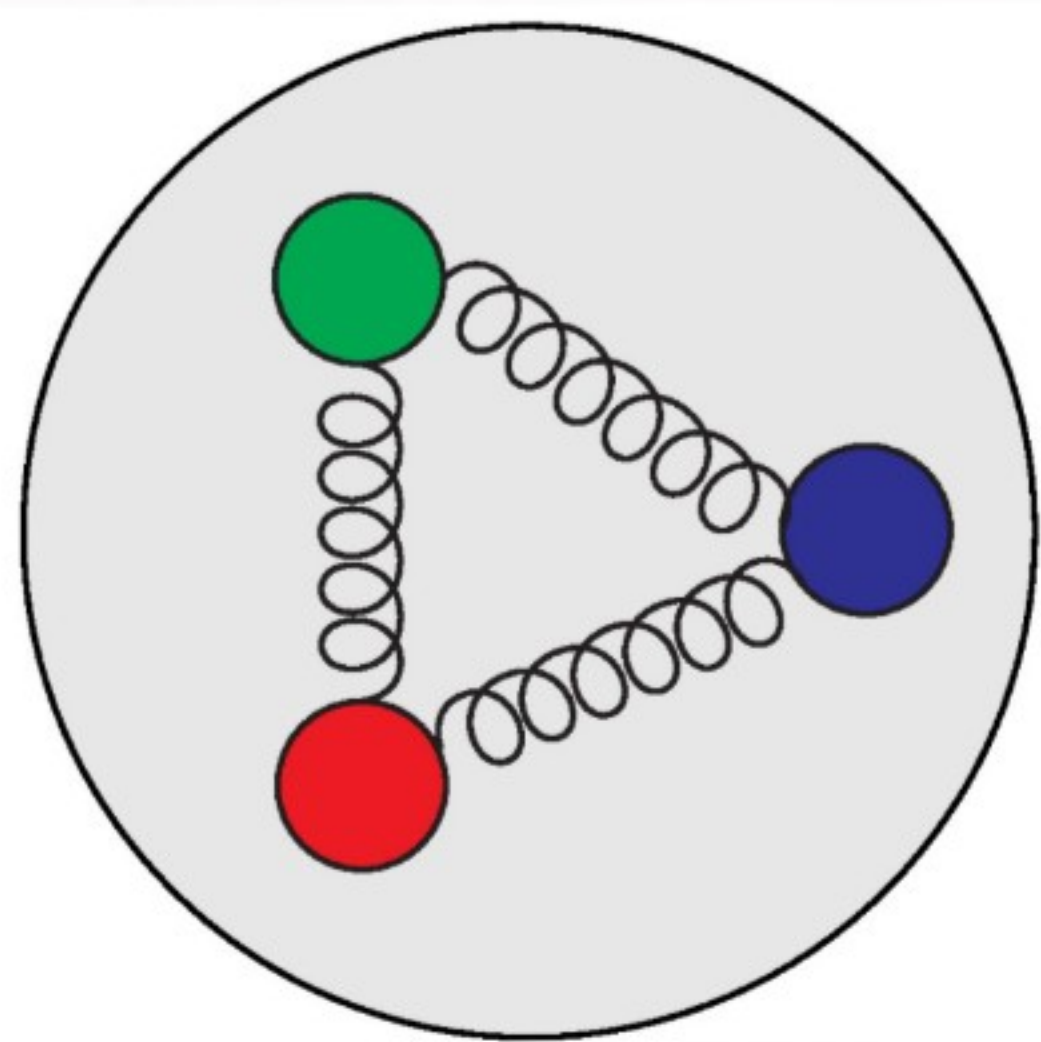
(2)

$E_2$

nature's choice

$$|V| > \underline{\underline{2mg}}$$





↓  
 Baryon (qqq)  
 (proton,  
 $r_p \approx 0.85 \text{ fm}$ )


↓  
 Meson (q $\bar{q}$ )  
 (pion,  
 $r_\pi \approx 0.65 \text{ fm}$ )

0.5 fm  
 their size is simply too big to apply QCD (directly)



- I can't apply QCD analytically
- I want a derivation of nuclear forces based on QCD

↳ There are ways around this





# TWO POSSIBILITIES

1) Lattice QCD

2) Effective field theories



1) LATTICE QCD:

Use a (super) computer  
to solve QCD numerically

NUCLEAR PHYSICS

$p, n \rightarrow \boxed{uud, udd}$

$\uparrow \pi \rightarrow \boxed{u\bar{d}}$

$m_u, m_d \rightarrow \underline{\underline{0}}$

PROBLEM:  $m_g \rightarrow 0$

$\Rightarrow$  calculations are super difficult



2) EFFECTIVE FIELD THEORY (EFT) → our choice

More abstract than lattice QCD

→ "Renormalization group analysis" (RGA)

to solve QCD indirectly

(construct a theory for large distances  
that is equivalent to QCD)



# How to do EFTs?

→ We use renormalization ↗ history

[What is renormalization?]

1) Old ideas of renormalization

2) Modern understanding of renormalization

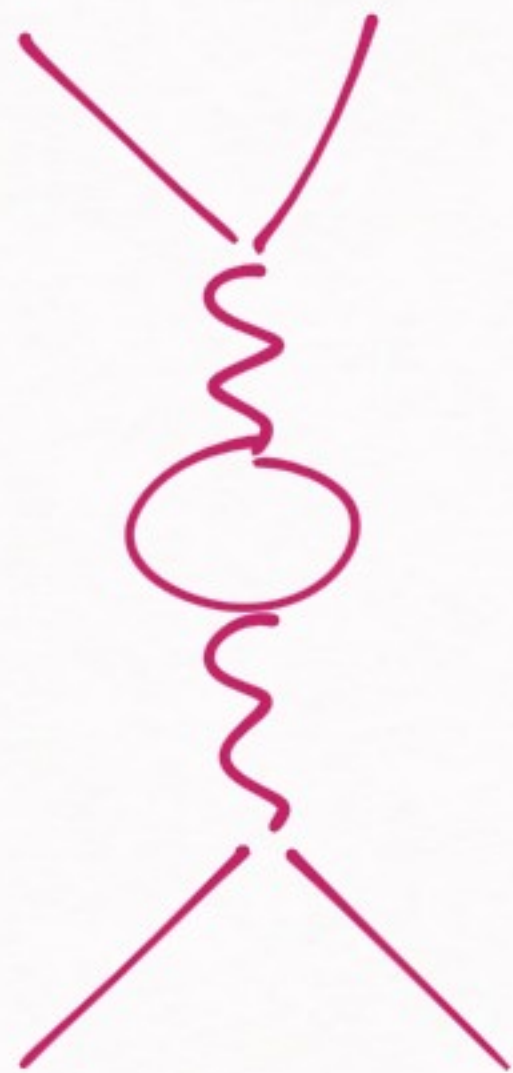


# 1) OLD SCHOOL RENORMALIZATION

→ POCONO CONFERENCE  
1948

→ Lectures by Feynman  
& Schwinger

(issue was the infinities  
in QED)





Feynman & Schwinger → find "weird methods"  
(Tomonaga too) to deal w/ this problem

(Dyson → equivalence between their methods)

→ this is what you find in older books






## INTERESTING OBSERVATION BY FEYNMAN:

我们为求出 $n$ 和 $j$ 所玩的壳层游戏，在专业上叫做“重正化”（renormalization）。但是，不管这个词听来多聪明，我却说这个过程是蠢笨的！求助于这类戏法妨碍了我们去证明量子电动力学在数学上的自治性（self-consistent）。令人不解的是，尽管人们用了各种办法，这个理论至今仍未被证实是自治的；我猜想，重正化在数学上是不合法的。我们还没有一种好的数学方法描述量子电动力学，这是肯定的——像这样描述 $n$ 、 $j$ 同 $m$ 、 $e$ 之间关系的语言不是好的数学。 [23]

- So it appears that the only things that depend on the small distances between coupling points are the values for  $n$  and  $j$ -theoretical numbers that are not directly observable any- way; everything else, which can be observed, seems not to be affected. The shell game that we play to find  $n$  and  $j$  is technically called "renormalization." But no matter how clever the word, it is what I would call a dippy process! Having to resort to such hocus-pocus has prevented us from proving that the theory of quantum electrodynamics is mathematically self-consistent. It's surprising that the theory still hasn't been proved self-consistent one way or the other by now; I suspect that renormalization is not mathematically legitimate. What is certain is that we do not have a good mathematical way to describe the theory of quantum electrodynamics: such a bunch of words to describe the connection between  $n$  and  $j$  and  $m$  and  $e$  is not good mathematics.

◦ Richard Feynman, *QED: The Strange Theory of Light and Matter* (1985), Chap. 4. Loose Ends

→ "arcane" thing  
you do to obtain  
finite results





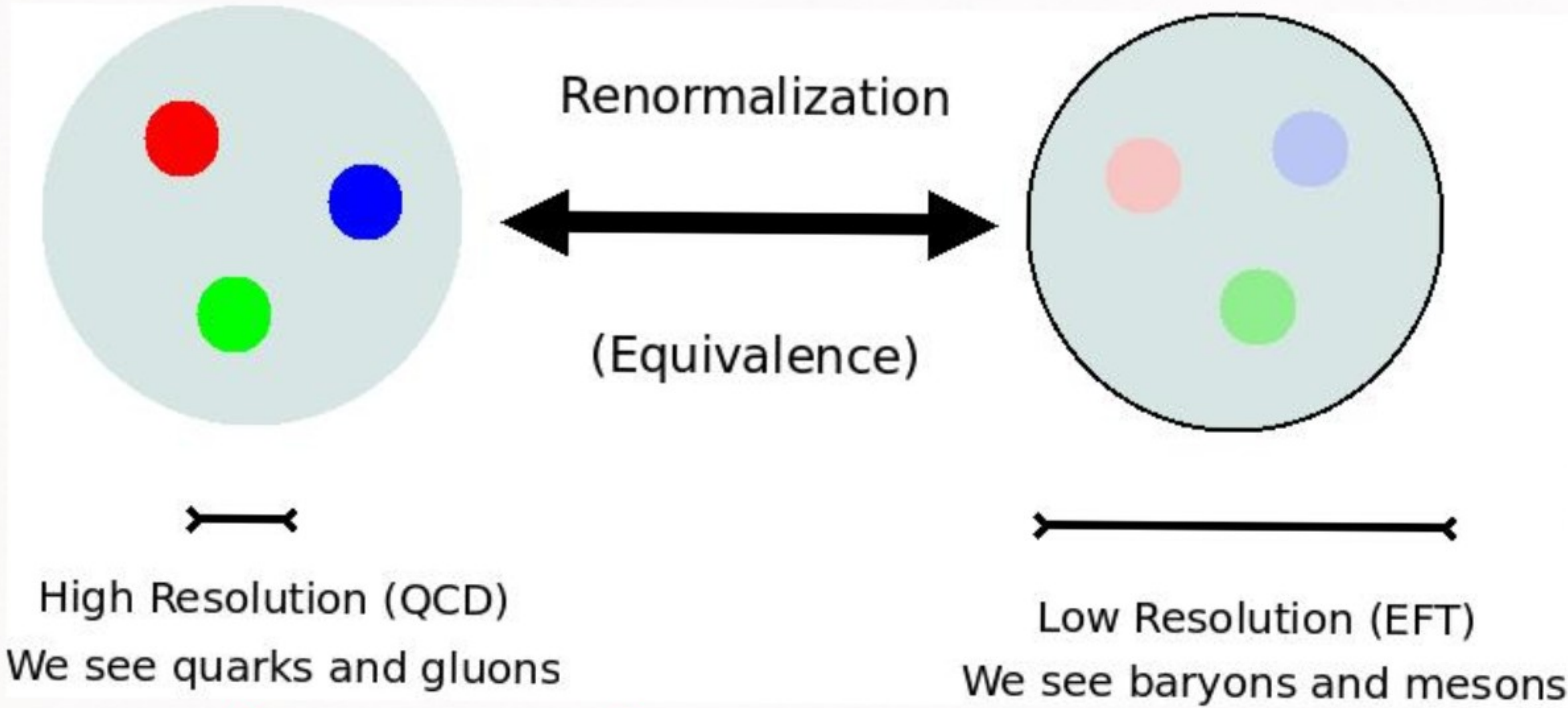
→ 75 years has passed since Poco no

[ NOWADAYS WE UNDERSTAND RENORMALIZATION  
MUCH, MUCH BETTER ]

Basic idea →  
(Renormalization)

Physics at long-distances  
does not depend on  
short-distance details



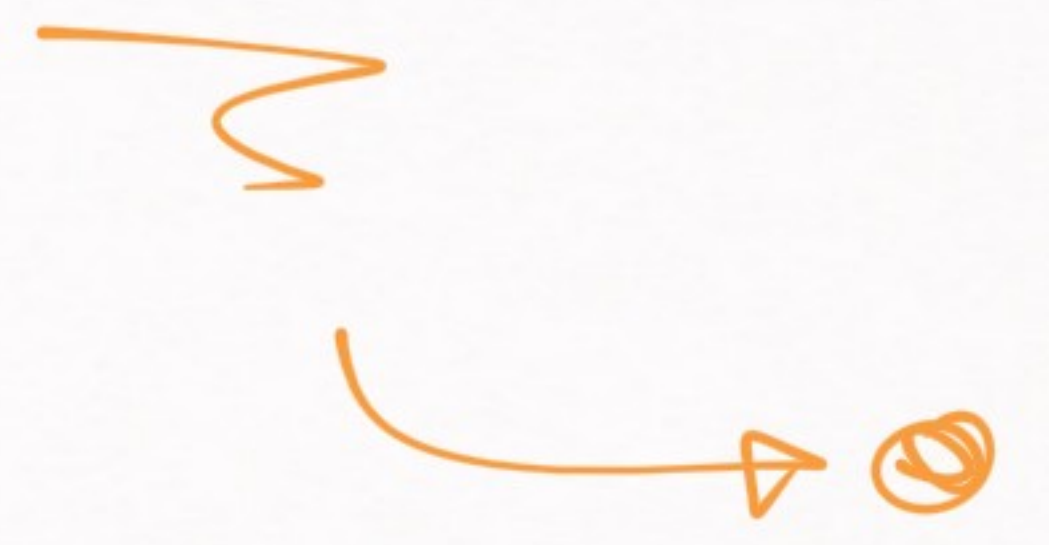


①  $\approx$  ②

(same)

↓

Renormalization



① short-distance understanding  
(quarks & gluons)

② long-distance understanding  
(baryons & mesons)



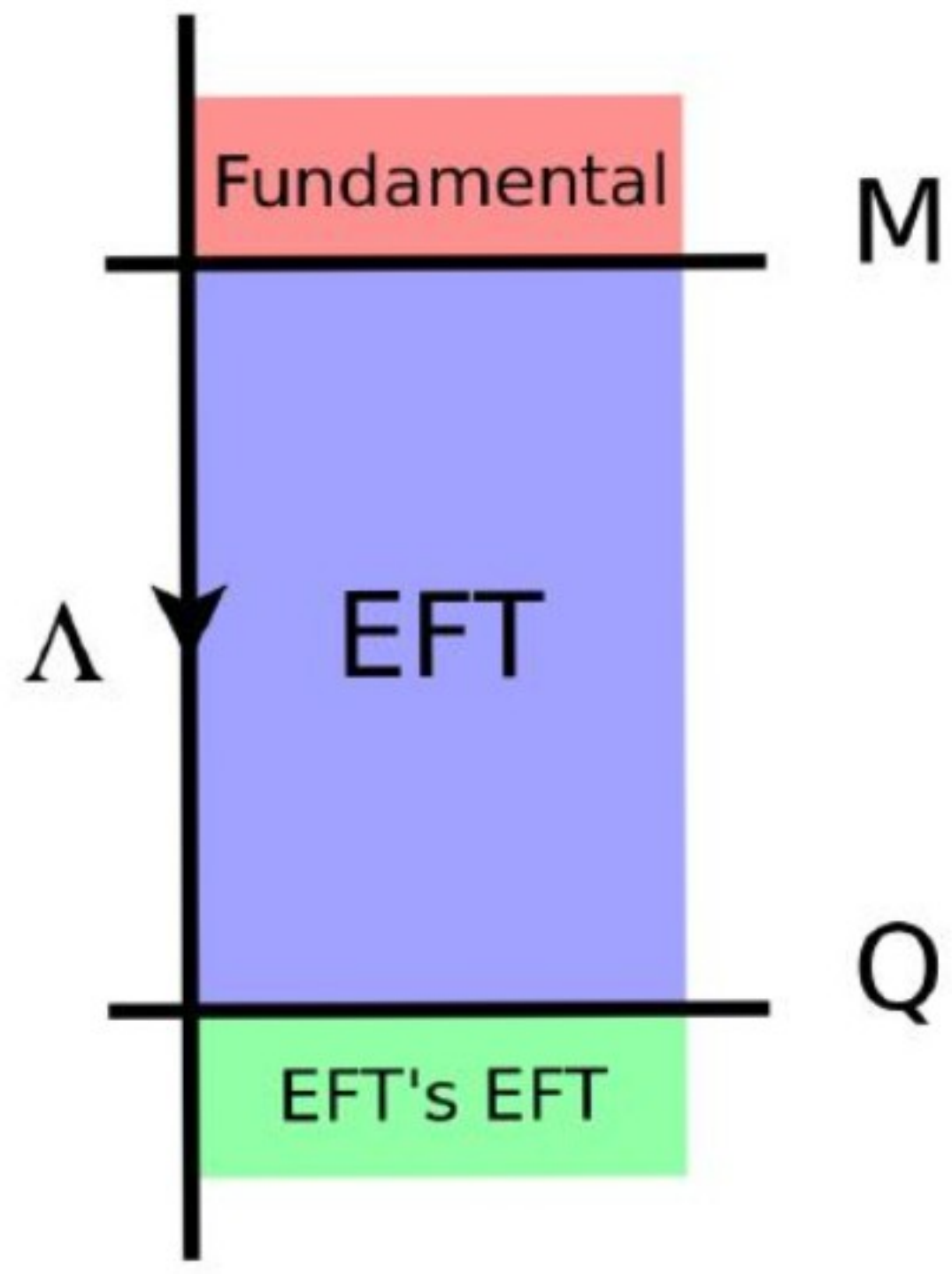
⊕ → RENORMALIZATION is just the set of theoretical tools to prove this equivalence

Cor this idea that long-range physics is independent of the (often unknown) short-range details)



[Caveat → this will be very abstract]

# RENORMALIZATION



Physics is unique, but choice of theory depends on resolution  $\Lambda$ :

- ▶  $\Lambda \geq M$ : Fundamental
- ▶  $M \geq \Lambda \geq Q$ : EFT

For equivalent descriptions:

$$\frac{d}{d\Lambda} \langle \Psi | \mathcal{O} | \Psi \rangle = 0$$

Renormalization group invariance

HIGH ENERGY

→ FUNDAMENTAL THEORY

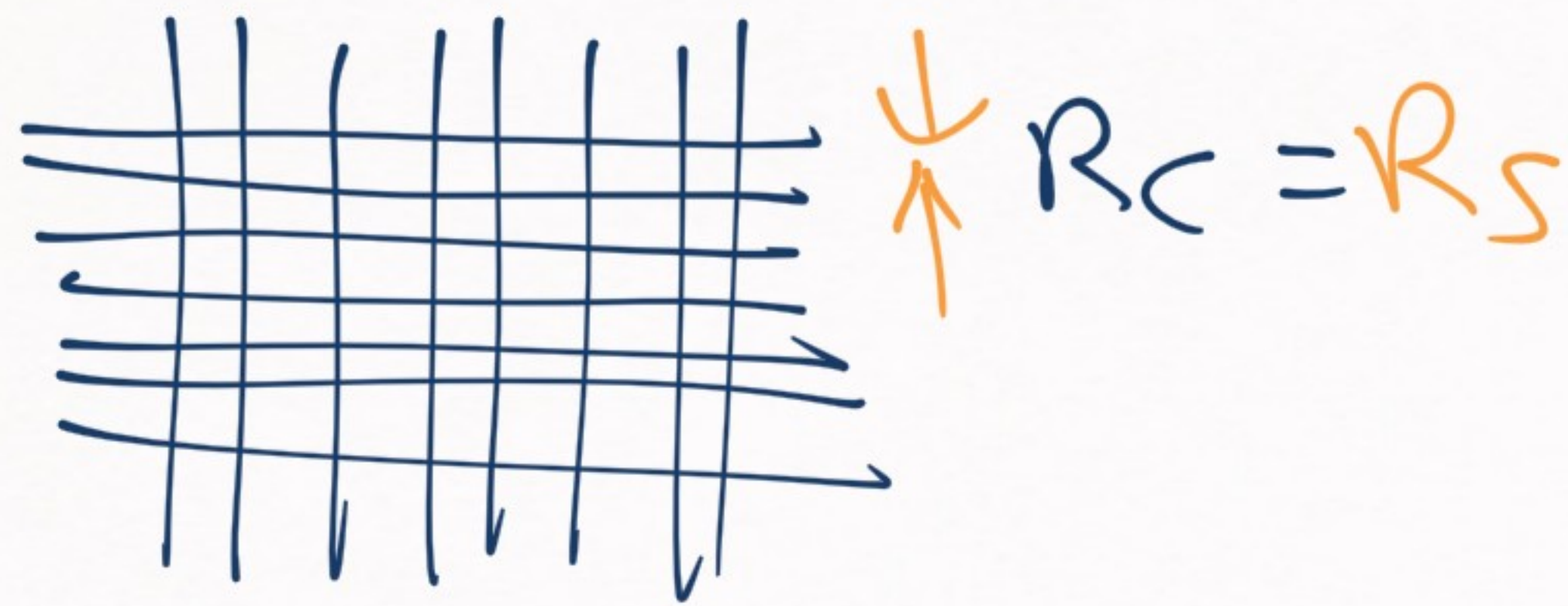
→ sometimes unknown

→ sometimes unsolvable (QCD)



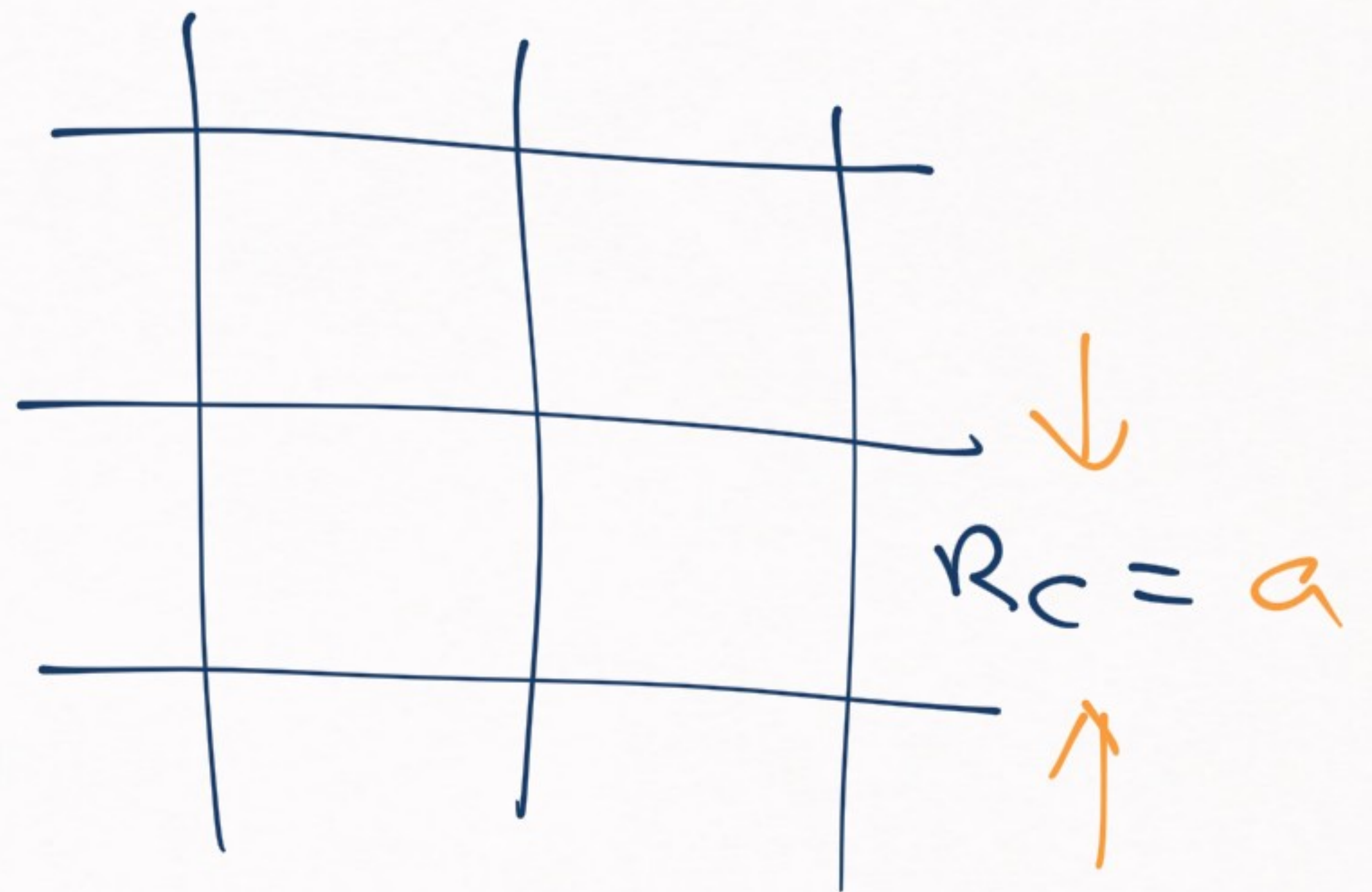
How we see the world depends on the resolution:

HIGH RESOLUTION



(fundamental)

LOW RESOLUTION



(collective or long-distance description)



Specific example : NUCLEAR PHYSICS

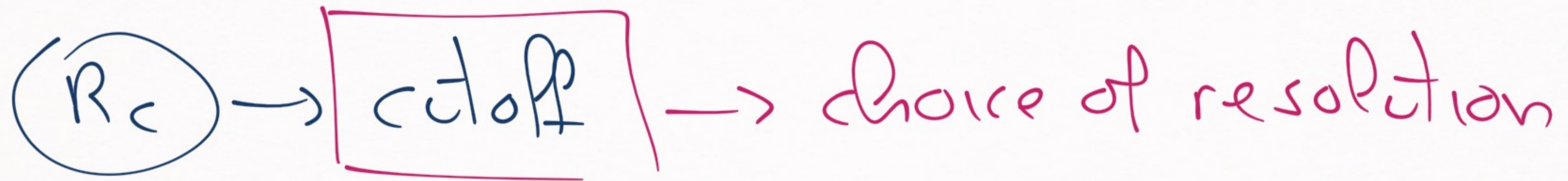
1) HIGH RESOLUTION  $\rightarrow R_s \sim (0.1 - 0.2) \text{ fm}$

$\rightarrow$  quarks & gluons

2) LOW RESOLUTION  $\rightarrow a \sim (1.0 - 2.0) \text{ fm}$

$\rightarrow$  hadrons (nucleons & pions)





$R_c \rightarrow 0 \Rightarrow$  HIGH RESOLUTION

$R_c \rightarrow \infty \Rightarrow$  LOW RESOLUTION

Idealizations



We want HIGH RESOLUTION & LOW RESOLUTION  
views to be EQUIVALENT

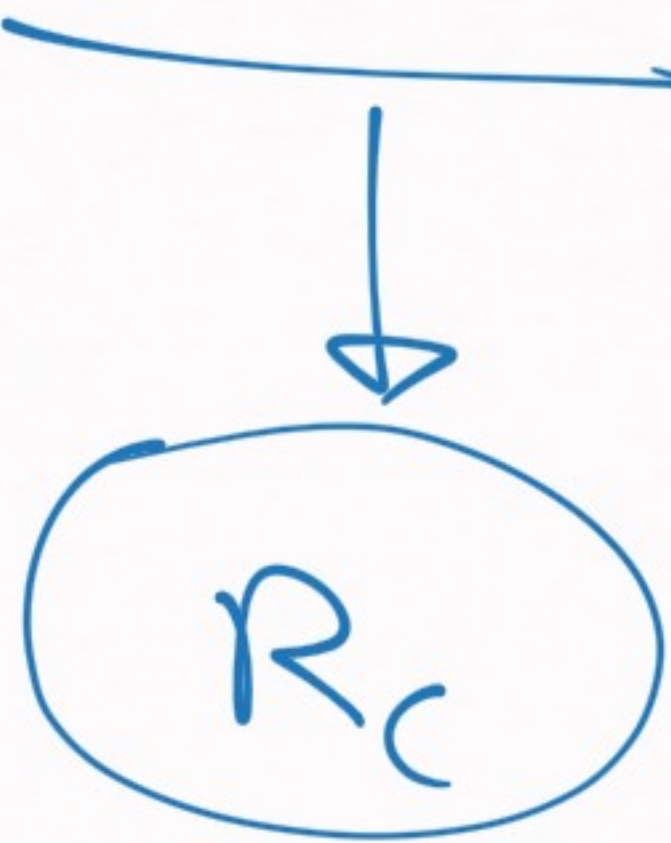
↓  
How to do this?

↓  
RENORMALIZATION GROUP EQUATION



→ [EQUIVALENCE : observable quantities  
should be the same independently  
of the resolution]

$$\langle \hat{0} \rangle = \langle 4 | \hat{0} | 4 \rangle$$



↓  
this is how you calculate an observable



# EQUIVALENCE

$$\frac{d}{dR_c} \langle \hat{O} \rangle = 0$$

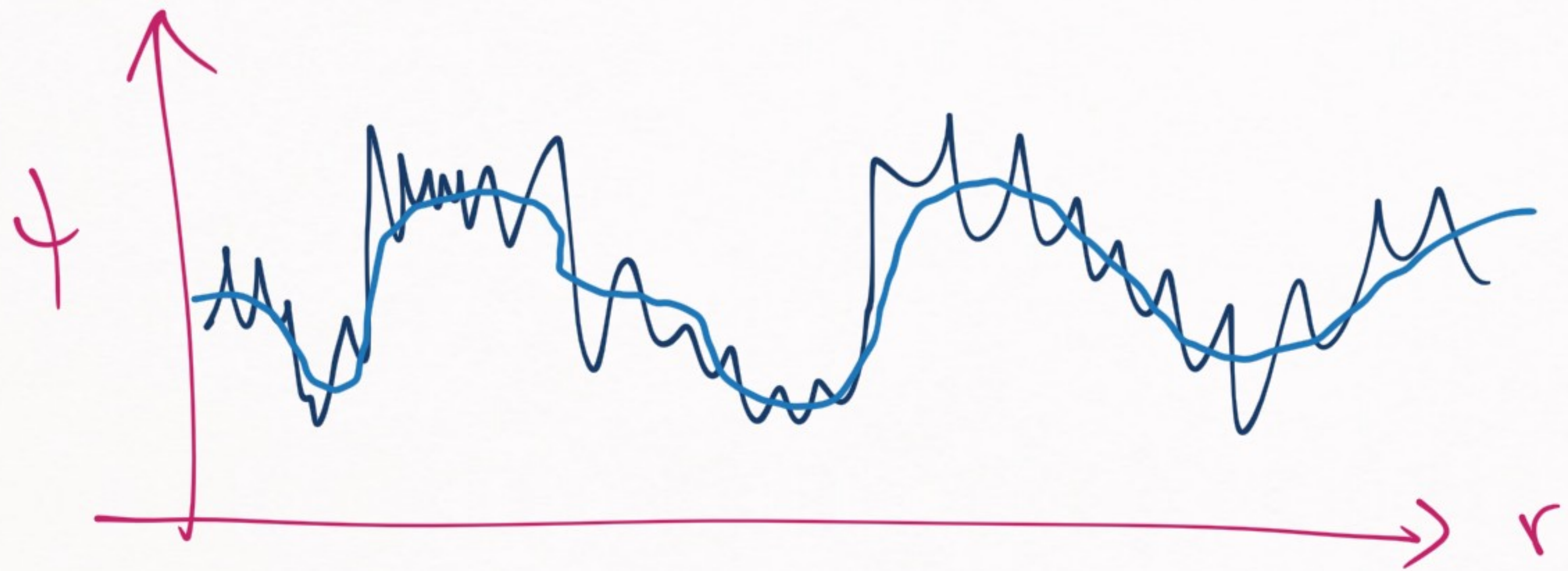
→ Observables independent  
of resolution  
scale

$$\langle \hat{O} \rangle = \langle \psi | \hat{O} | \psi \rangle$$

observable

ψ wave function; not observable





High resolution  $\phi$

Low resolution  $\phi$

$$\langle \psi | \hat{O} | \psi \rangle = \langle \psi | \hat{O} | \psi \rangle$$

→ which  $|\psi\rangle$  I use is not important



$$\frac{d}{dR_c} \langle \psi | \hat{O} | \psi \rangle = 0$$

→ this is how we formulate renormalization problems

↓  
SPECIFIC EXAMPLES  
IN THE FOLLOWING  
LESSONS

⚡  
QUALITATIVE  
EXAMPLE →



TEAPOTS  
&  
TEACUPS

→ Which one cools faster?

(1) or (2)?



↓  
(1)

↓  
(2)

o o o o o = D



ANSWER IS (2) → IF you put tea in a cup, it cools faster  
— than in the teapot

Looks trivial,

but you know that before considering

the physics of cooling → ⊕



④ → [ You know THE ANSWER NO /  
SOLVING ANY EQUATION ]

(you have unwittingly renormalized  
this problem)

I WILL EXPLAIN ...





↓  
①

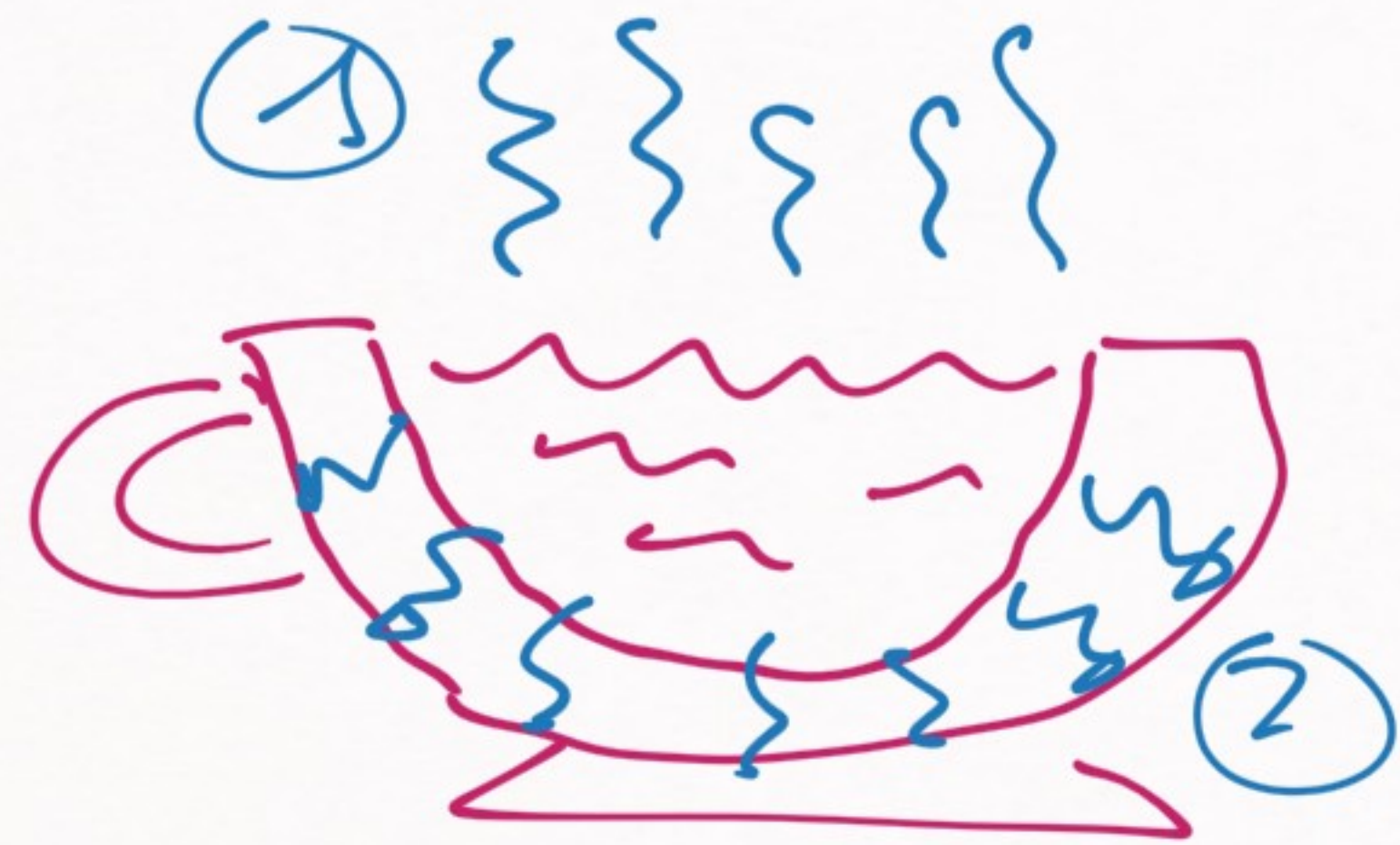
↓  
②

② cools down Pasteur

WHICH PHYSICS DO WE  
HAVE HERE?

↓  
FUNDAMENTAL  
THEORY?





How does this cool down?

(1) convection → (a)

(2) conduction → (b)

(a) → hot water/tea exposed to the air

(b) → hot water/tea in contact w/

the ceramic material used to  
make the cup

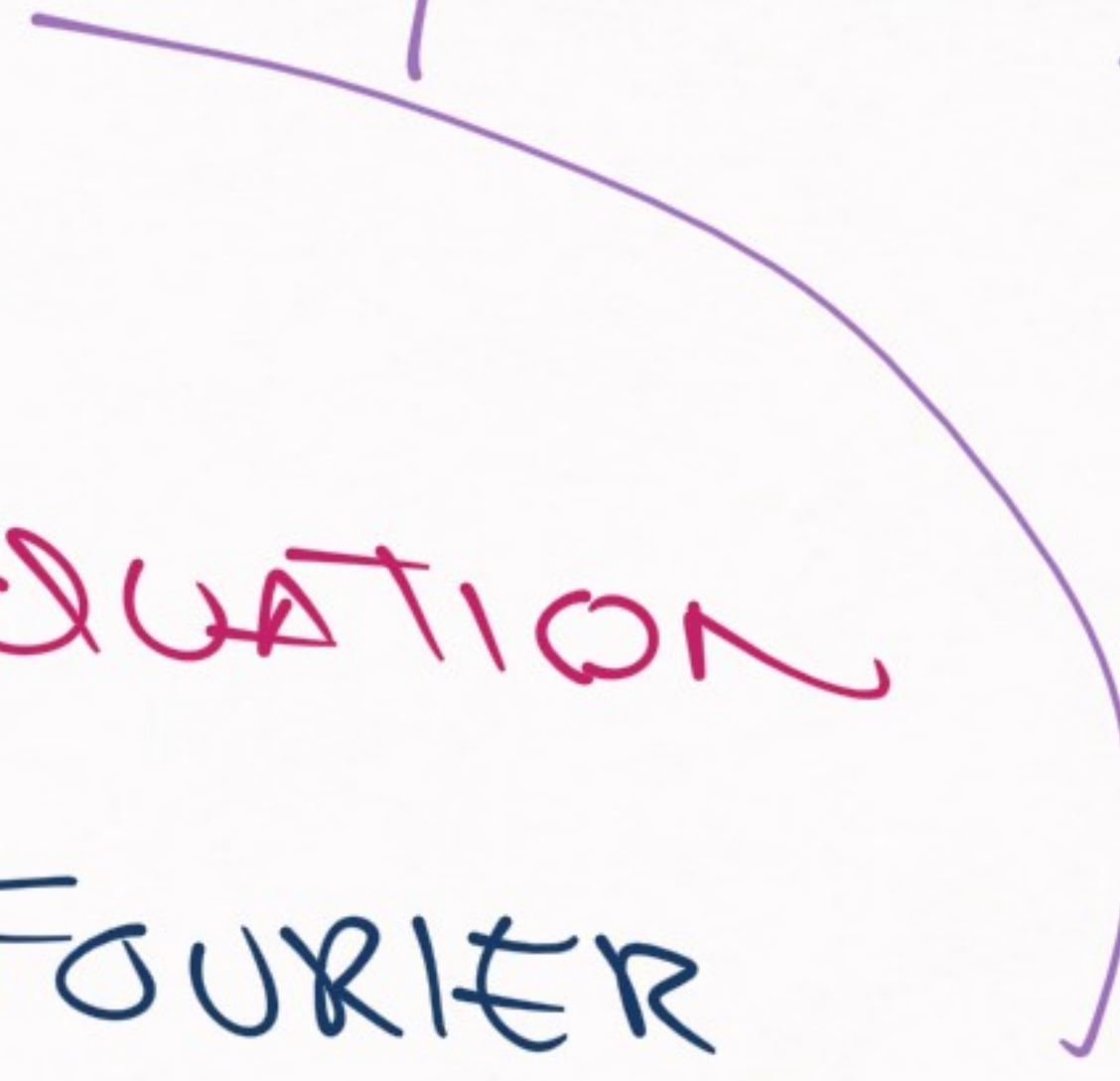


① & ② → DESCRIBED BY A SERIES OF EQUATIONS



- ≡ CONVECTION EQUATION
- ≡ HEAT TRANSFER EQUATION (FOR CONDUCTION) FOURIER

FUNDAMENTAL THEORY





COMMENT:

this FUNDAMENTAL THEORY  
is not easy to solve



By solving this directly, it will be difficult  
to know whether the teapot or the teacup  
cools faster

||



→ But we don't solve this problem like that  
in the real world



WE KNOW THIS IS THE  
ONE THAT  
COOLS FASTER





⊕ → EFT mindset |

EFT's are the lazy person's way  
to solve a physical problem

only involves a particular way of  
thinking → ⊕



[~~FFT~~ way of thinking for teacups & teapots]

→ Step-by-step guide

3) Some dynamics (as little as possible)

Newton's law of cooling:

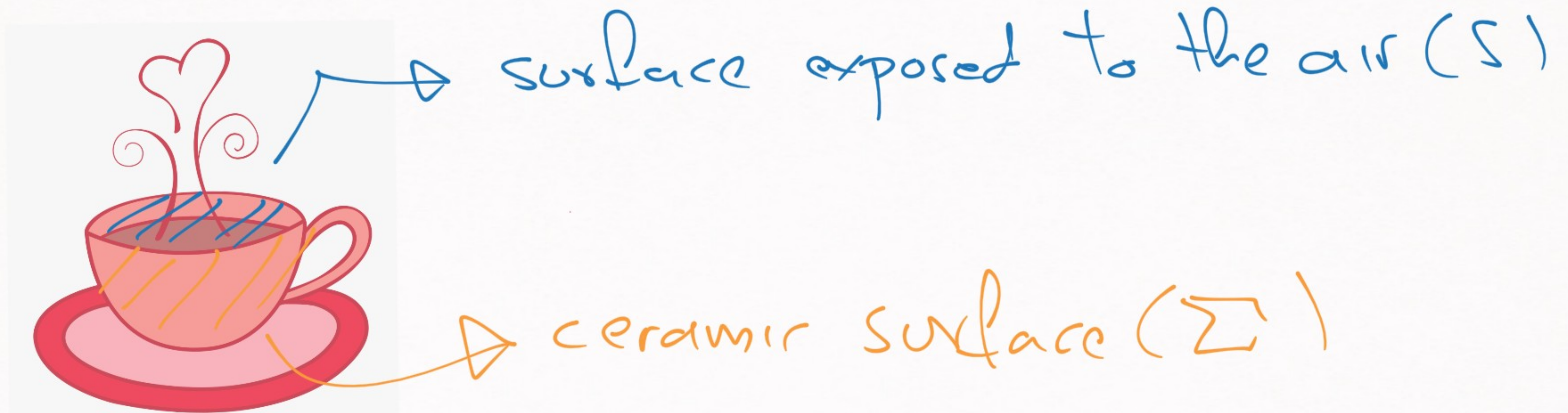
$$\left[ T(t) = T_0(t_0) e^{-\lambda(t-t_0)} \right] \lambda \rightarrow \text{coefficient}$$

$T, T_0$  → final & initial temperature

$t, t_0$  → final & initial time



2) Find the relevant degrees of freedom  
(find what is relevant at low energies)

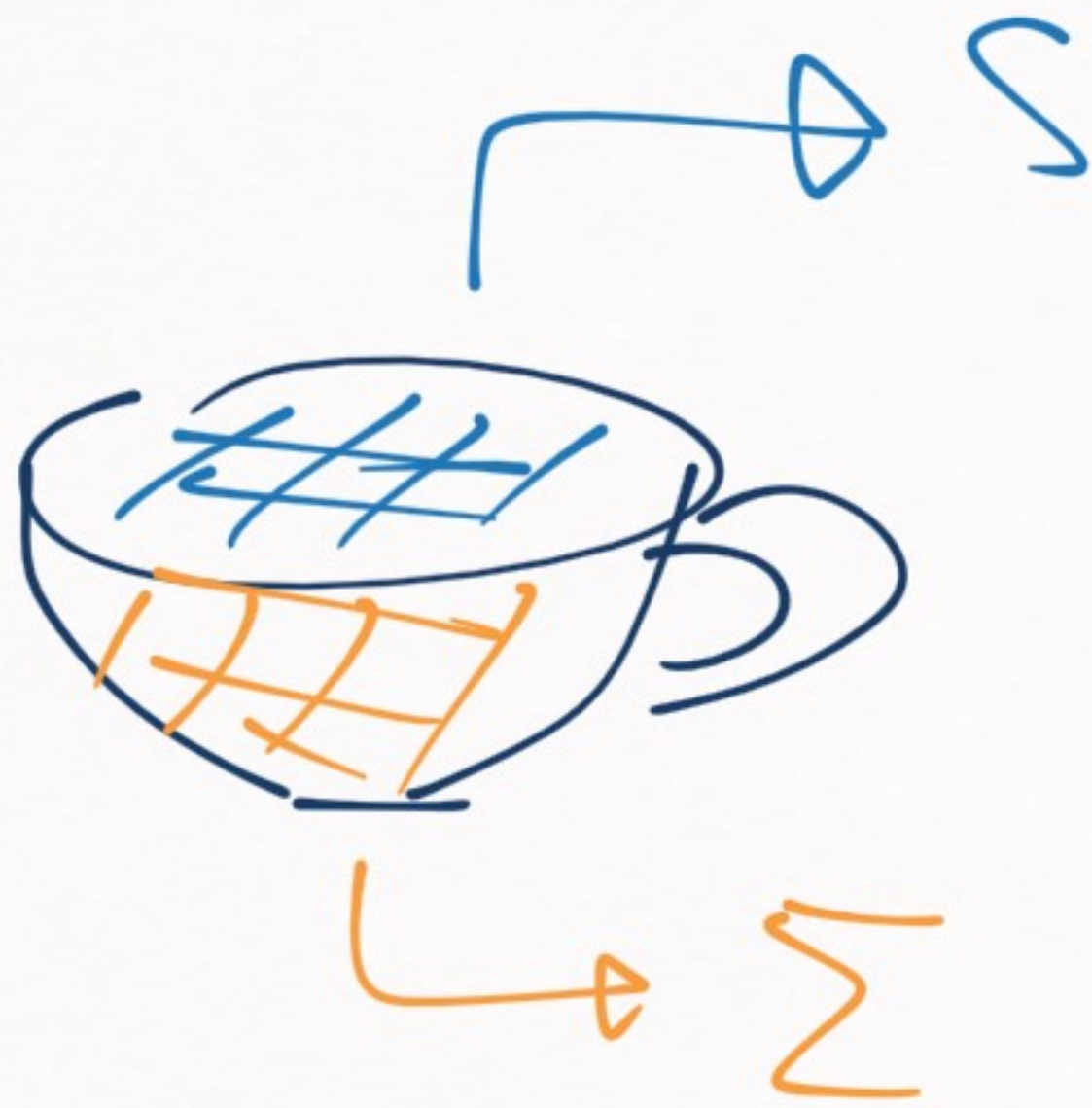


$(S, \Sigma)$  → how quickly this cools down



3) Propose a "power counting"

(propose a way to identify which degrees of freedom are more important).



3.a) I know that  $\Sigma$  gives more cooling than  $\zeta$ .



3.b) So I can propose the following:

$$T = T_0 e^{-\lambda(t-t_0)} \rightsquigarrow \lambda \propto \underbrace{S + \text{corrections}}$$

$$\lambda = \sum (C_0 + C_1 X + C_2 X^2 + C_3 X^3 + \dots)$$

$X?$

$$X \propto \frac{M}{S} \rightsquigarrow X = \frac{\sum}{S}, \quad \rho \gg 1$$

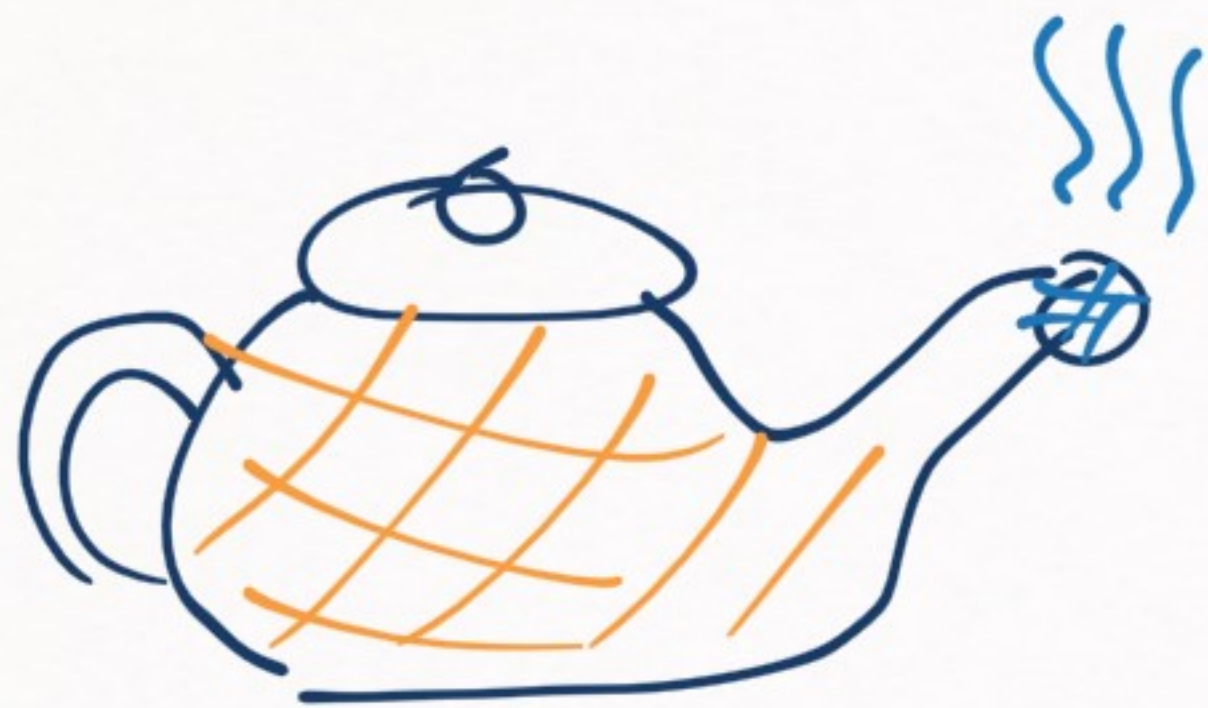


3.c)  $\exists$  several possibilities for this expansion



$$\lambda = \sum (c_0 + c_1 x + c_2 x^2 + \dots) , \quad x = \frac{\sum}{\rho \sum}$$

$(x < 1)$   $(\rho > 1)$



$$\lambda = \sum \left( d_0 + \frac{d_1}{x} + \frac{d_2}{x^2} + \dots \right)$$

$(x > 1)$  (two different power countings)



4) Write down the theory:

$$T = T_0 e^{-\lambda(t-t_0)}$$

power counting a)  $\lambda = \sum (c_0 + c_1 \lambda + c_2 \lambda^2 + \dots)$

power counting b)  $\lambda = \sum (d_0 + \frac{d_1}{\lambda} + \frac{d_2}{\lambda^2} + \dots)$

→ LECs (low energy constants)



5) Choose the accuracy we want for our theoretical calculations

$$\lambda = \int \sum_{n=0}^{\infty} c_n x^n$$

→ PROBLEM:

infinite number of  $c_n$ 's

no predictive power → SOLUTION ⇒



SOLUTION →

choose a level of accuracy

→  $\mathcal{O}(x^1)$

$\lambda = S c_0 (1 + \mathcal{O}(x))$  LO

→  $\mathcal{O}(x^2)$

$\lambda = S (c_0 + c_1 x + \mathcal{O}(x^2))$  NO

→  $\mathcal{O}(x^3)$

$\lambda = S (c_0 + c_1 x + c_2 x^2$

$+ \mathcal{O}(x^3))$  NNLO



LO  $\rightarrow$  Leading order

NLO  $\rightarrow$  Next-to-leading order

NNLO  $\rightarrow$  Next-to-next-to-leading order

More terms  $\rightarrow$  more accuracy

(but less predictive power  
/ more parameters)



$$x \leq 0.1$$

$\Rightarrow$

Errors

LO  $\rightarrow$  10% error

NLO  $\rightarrow$  1% error

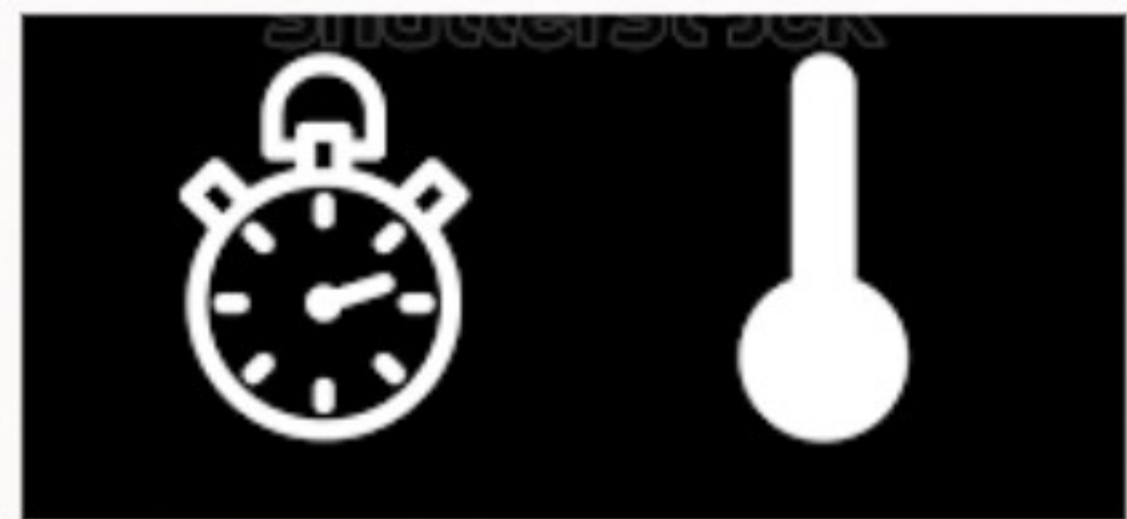
NNLO  $\rightarrow$  0.1% error

(Example for  $x \leq 0.1$ )

$\rightarrow$  For  $\forall \epsilon \neq 0$ ,  $x$  will be different



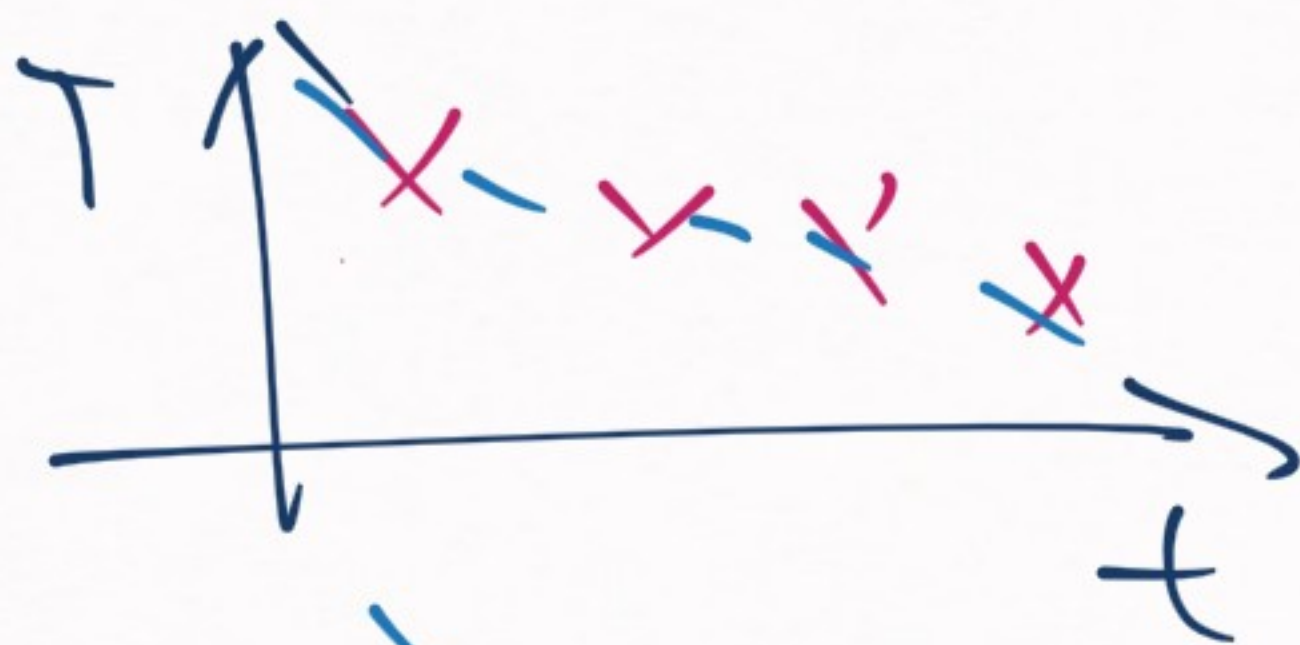
6) Fit the LECs to experiment



→ Instruments

$C_n$ 's /  $d_n$ 's

①

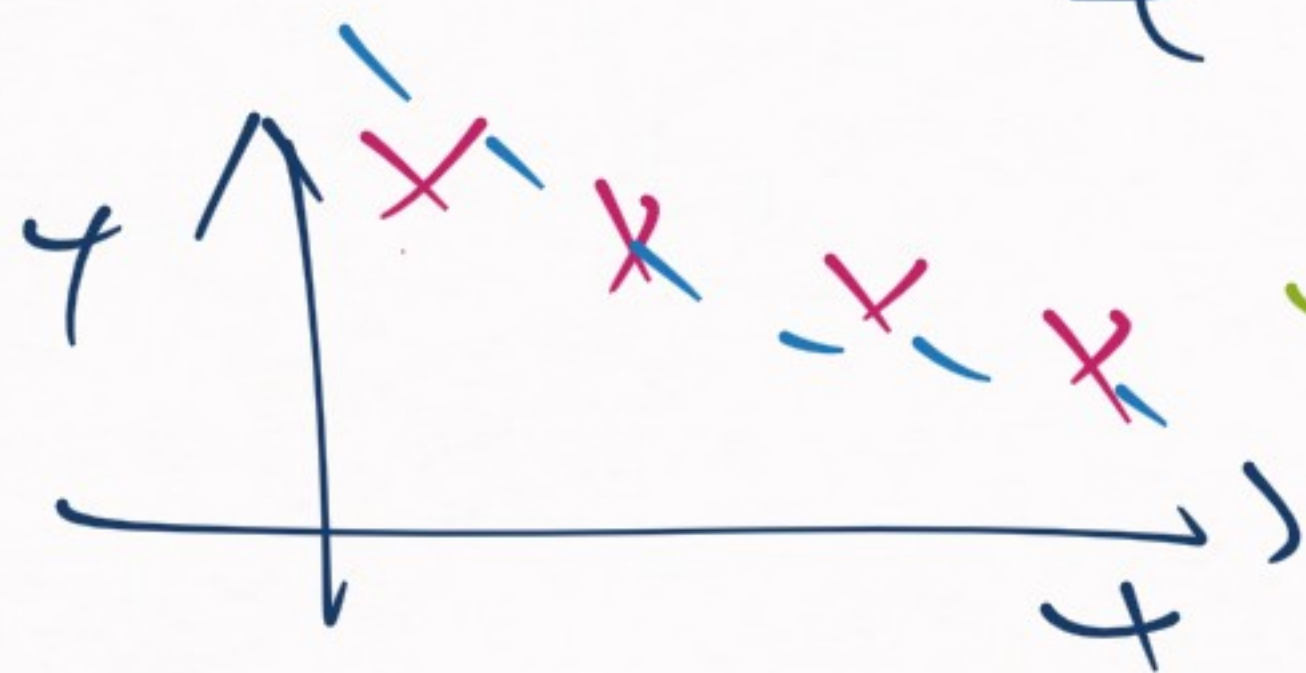


$\sim \Delta T$

$S, \Sigma$

$C_n$   
 $d_n$

②



$\sim \Delta T'$

$S', \Sigma'$



## [ALGORITHM FOR FORMULATING AN EFT]

- 1) Some dynamics (coupling /  $\alpha$  /  $\alpha_{FT}$ )
- 2) Some degrees of freedom (types of surfaces / types of particles / ...)
- 3) Power counting ( $x < 1$ ,  $\sum c_n x^n$ )
- 4) Write down the theory ( $\rightarrow$  LECs)
- 5) Choose the accuracy ( $\omega, N\omega, N^2\omega, \dots$ )
- 6) Fit the LECs / make predictions



MORE TYPICAL EXAMPLES

IN THE NEXT LESSONS