

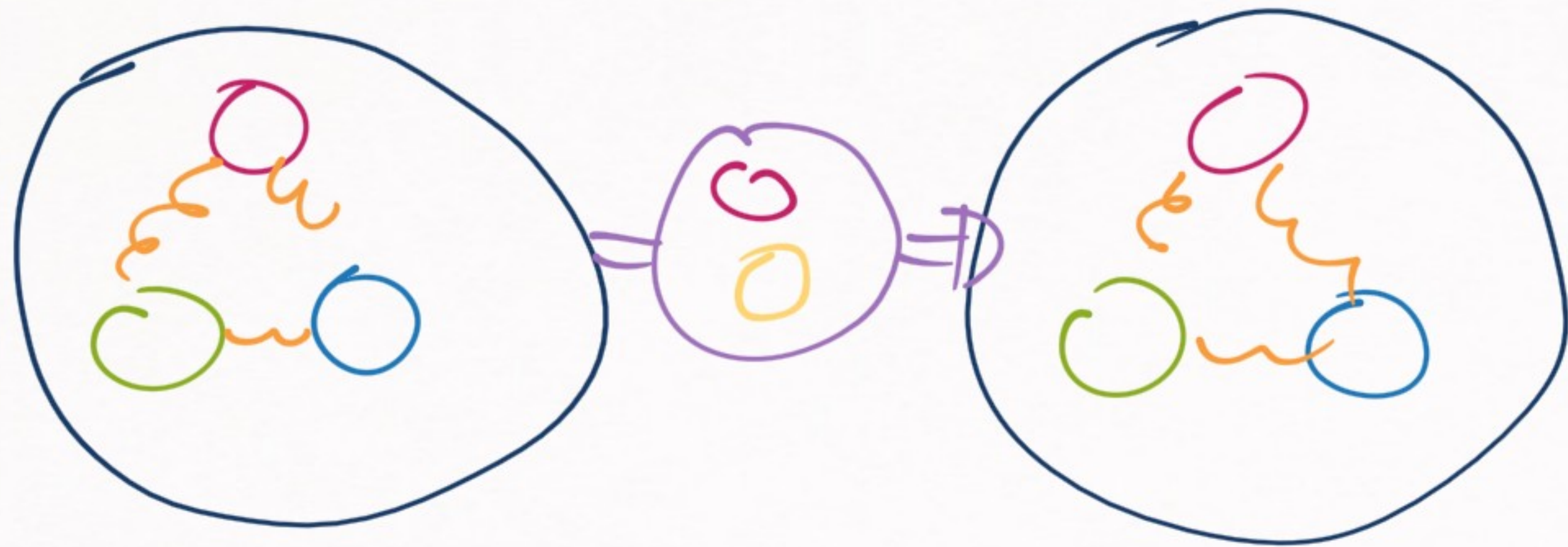
# NUCLEAR PHYSICS (6)

QUANTUM CHROMODYNAMICS

(a qualitative introduction)

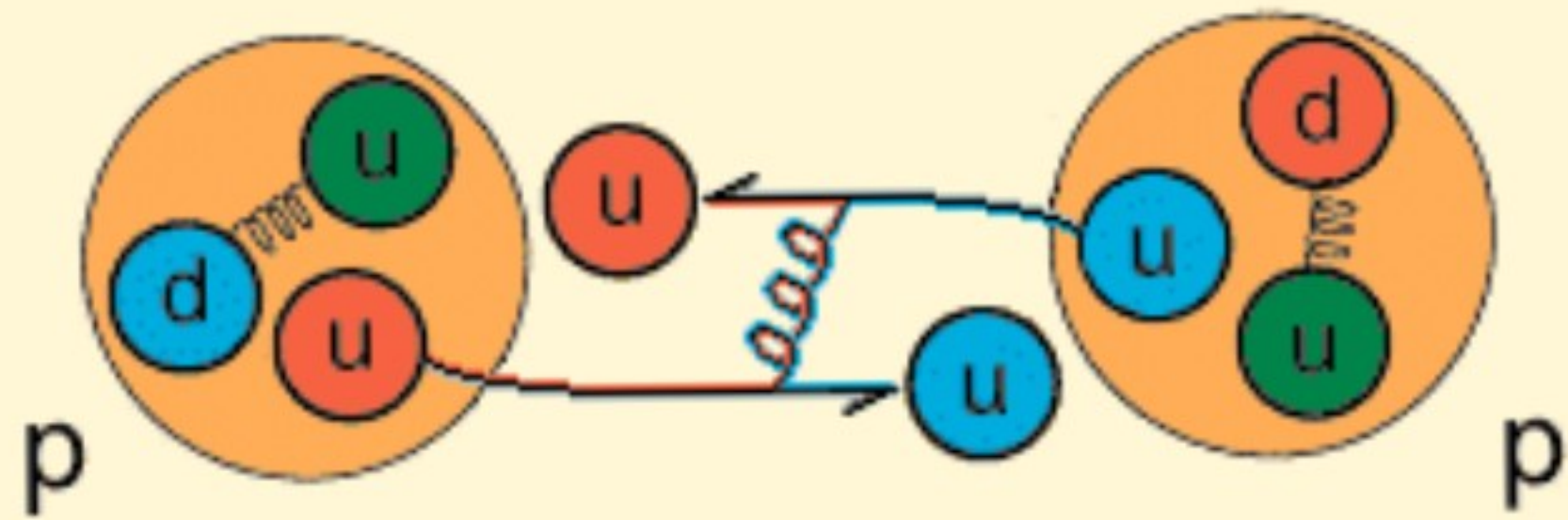
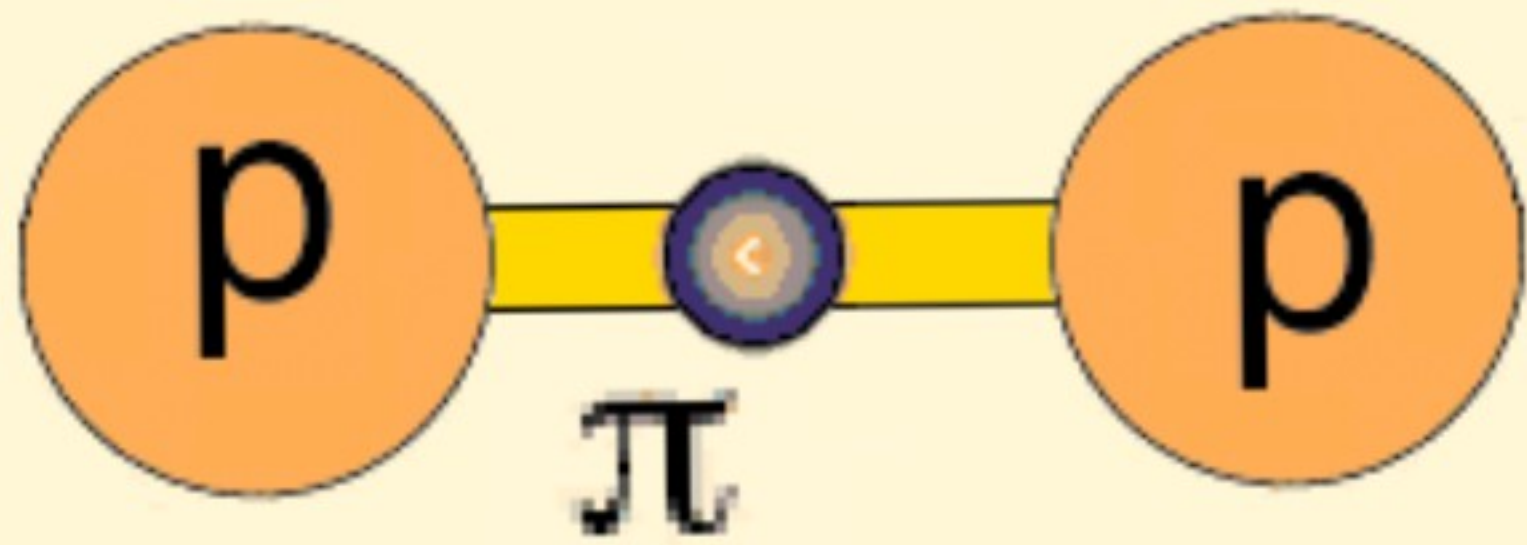
RECAP

Nuclear forces are  
"residual forces"



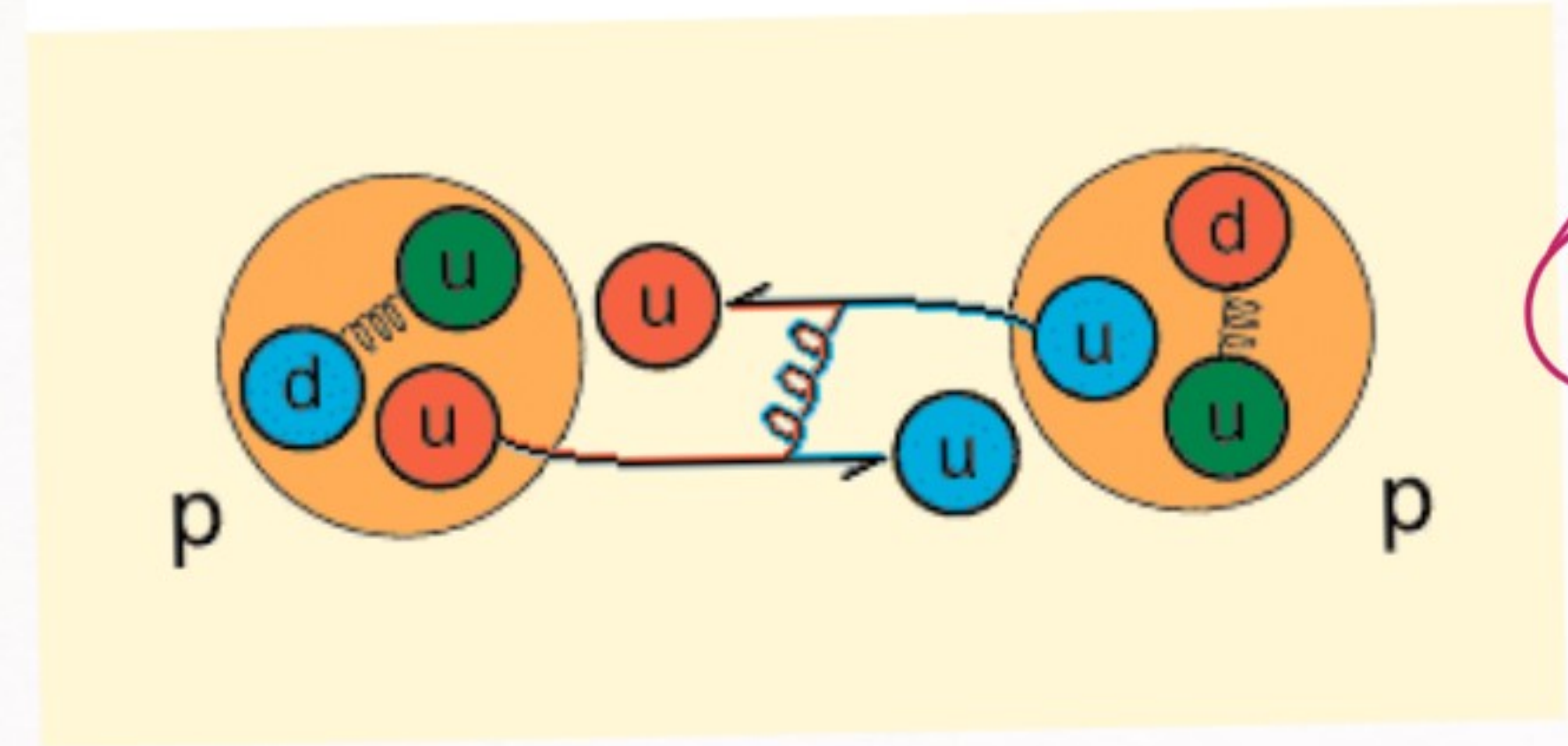
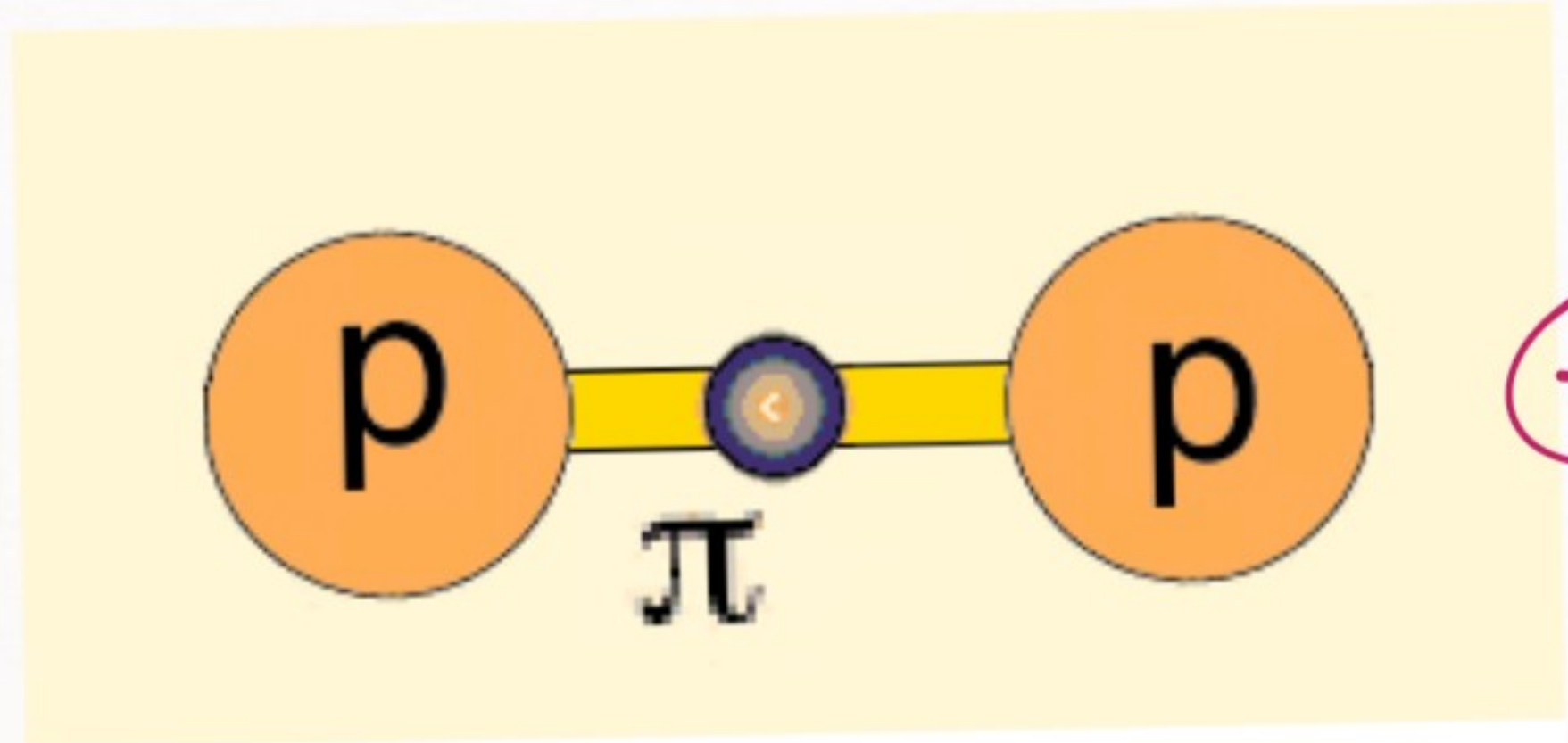
nucleon & proton

↳ gluons & quarks



nucleons exchanging pions

quark and gluons being exchange all over the place



PROBLEM

①

How do we explain ①  
in terms of ②?

②



Understand QCD

QCD (Quantum chromodynamics)

↳ Fundamental theory that describes  
quarks & gluons

→ Let's begin with QED  
(Quantum electrodynamics)

1) QED is well-known

2) QED is a "simplified version"

of QCD

QCD is a theoretical extension of the QED ideas

QED

→ theory of electrons & photons

QFT textbook

→ ∇ begins w/  
a Lagrangian

interaction

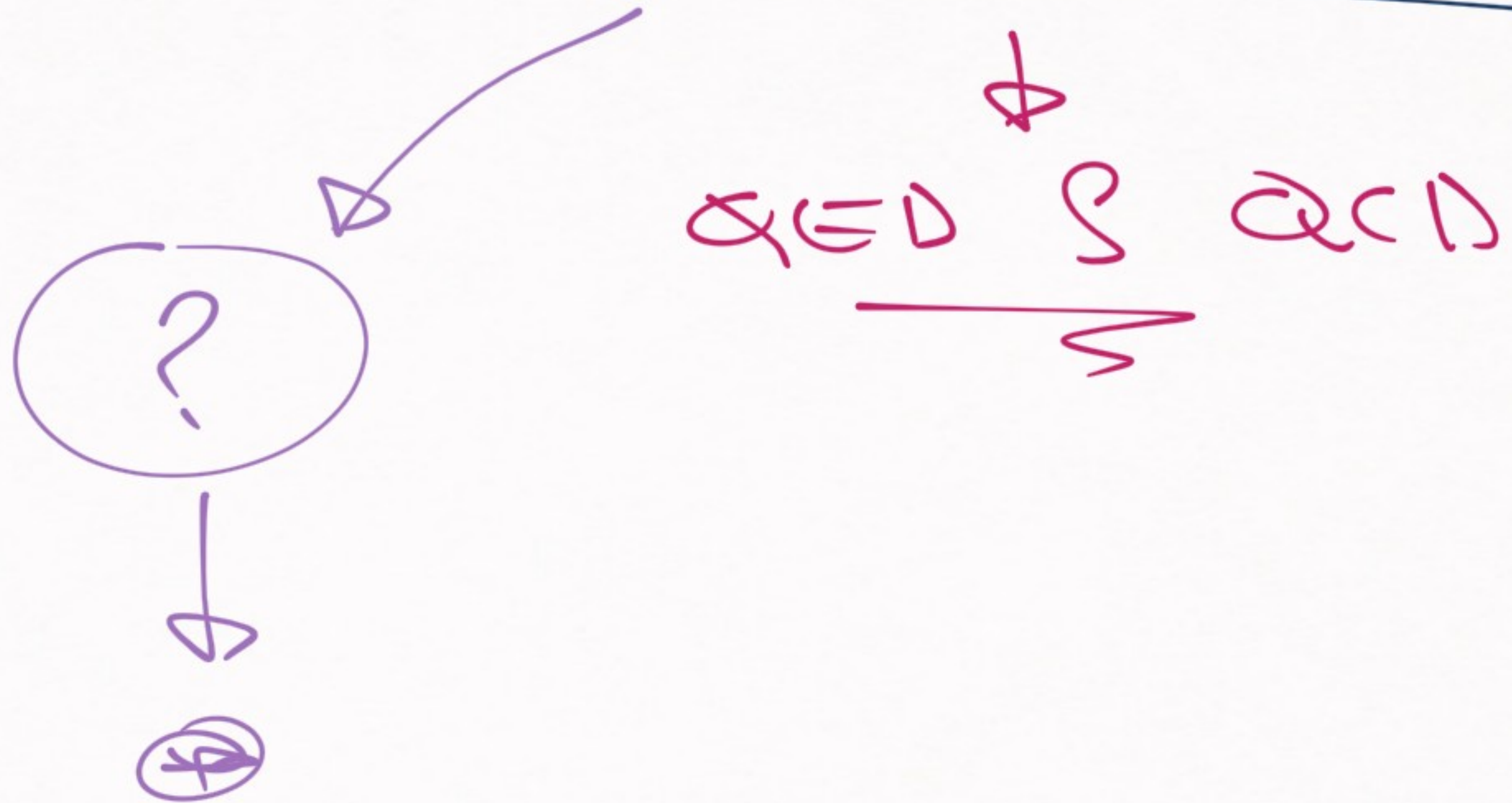
$$\mathcal{L}_{QED} = \underbrace{\bar{\psi} (i \cancel{D} - m) \psi}_{\text{Dirac field of the electron}} - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \rightarrow \text{photon field}$$

Dirac field of the electron

photon field

⊗ → Details not important for new

IMPORTANT IDEA } → QED is a gauge theory





⊗ → SYMMETRIES

$$\mathcal{L}_{\text{Dirac}} = \underbrace{\bar{\psi} (i \not{\partial} - m) \psi}$$

$$\psi(x) \rightarrow e^{ie\alpha} \psi(x)$$

$$\bar{\psi}(x) \rightarrow e^{-ie\alpha} \bar{\psi}(x)$$

$\psi$  → Dirac field  
(spin-1/2 particle)

$$\not{\partial} = \gamma^\mu \partial_\mu$$

$$\bar{\psi} = \psi^\dagger \gamma_0$$

Perkin & Schröder  
N

$\psi(x) \rightarrow e^{ie\alpha} \psi(x)$   
 $\mathcal{L}_{\text{Dirac}} \rightarrow \mathcal{L}_{\text{Dirac}}$  } a symmetry of  
the Dirac Lagrangian



Global symmetry does not depend  
on "x"  
 $\psi(x) \rightarrow e^{ie\alpha} \psi(x)$   
same everywhere  
(Global)

$$\psi(x) \rightarrow e^{i\alpha} \psi(x)$$

$U(1)$  symmetry  $\rightarrow$  Group theory

$$U(N) = \{ N \times N \text{ matrices} / U^\dagger U = \mathbb{1} \}$$

$$U(1) \rightarrow z^\dagger z = 1 \rightarrow$$

$$\begin{aligned} z &= e^{i\varphi} \\ z^\dagger &= e^{-i\varphi} \end{aligned}$$

GLOBAL SYMMETRY  $\rightarrow \psi(x) \rightarrow e^{i\alpha} \psi(x)$



LOCAL SYMMETRY  $\rightarrow \psi(x) \rightarrow e^{i\alpha(x)} \psi(x)$

now it's local



Is this a SYMMETRY OF  $\mathcal{L}_{Dirac}$ ? NO!  $\rightarrow \otimes$

$\textcircled{\oplus} \rightarrow \mathcal{L}_{\text{Dirac}} = \bar{\psi}(x) (i\not{\partial} - m) \psi(x) \rightarrow \bar{\psi}(x) \psi(x)$   
 $\psi(x) \rightarrow e^{i\epsilon\alpha(x)} \psi(x)$   
 $\bar{\psi}(x) \not{\partial} \psi(x) \rightarrow \textcircled{2}$

$\textcircled{1} \bar{\psi}(x) \psi(x) \rightarrow \bar{\psi}(x) e^{-i\epsilon\alpha(x)} e^{i\epsilon\alpha(x)} \psi(x)$   
 $= \bar{\psi}(x) \psi(x)$

$\textcircled{2} \bar{\psi}(x) \not{\partial} \psi(x) \rightarrow \bar{\psi}(x) e^{-i\epsilon\alpha(x)} \not{\partial} e^{i\epsilon\alpha(x)} \psi(x) \rightarrow \textcircled{\oplus}$

$$\textcircled{*} \rightarrow \bar{\psi}(x) \not{\partial} \psi(x) \rightarrow \bar{\psi}(x) \not{\partial} \psi(x) + \underbrace{i e \not{\partial} \alpha \bar{\psi}(x) \psi(x)}$$

$\mathcal{L}_{\text{Dirac}}$  is not local U(1)  
symmetric:

breaks  
the local  
symmetry

$$\mathcal{L}_{\text{Dirac}} \rightarrow \mathcal{L}_{\text{Dirac}} - e \not{\partial} \alpha \bar{\psi}(x) \psi(x)$$

U(1)  
local

However, we can fix  $\mathcal{L}_{\text{Dirac}}$  so it will become  
local  $U(1)$ -symmetric

→ If we do this, electromagnetism  
will appear naturally

# How to do (1)

1) Introduce a new field:

$$A_\mu \xrightarrow{U(x)} A_\mu + \partial_\mu \alpha$$

2) Define a new type of derivative:

~~$\partial_\mu$~~   $\rightsquigarrow$   $\left( \underline{D_\mu \equiv \partial_\mu - ie A_\mu} \right) \rightarrow \textcircled{2}$





$$\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\cancel{D} - m)\psi + (\dots)$$

Local  $U(1)$   
symmetry

we need a new term  
to describe  $A_\mu$

$$A_\mu \rightarrow A_\mu + \partial_\mu \alpha \quad \times$$

(also  $U(1)$  local)

$$\partial_\mu A_\nu - \partial_\nu A_\mu \rightarrow \partial_\mu A_\nu - \partial_\nu A_\mu + \partial_\mu \partial_\nu \alpha - \partial_\nu \partial_\mu \alpha$$

$$\mathcal{L}_{\text{QED}} = \bar{\psi} (\not{\partial} - m) \psi + (\dots)$$

For  $A_\mu \rightarrow \underline{F_{\mu\nu}} = \partial_\mu A_\nu - \partial_\nu A_\mu$

$$\underline{(F_{\mu\nu})^2} \rightsquigarrow \underline{F_{\mu\nu} F^{\mu\nu}}$$

$(\dots)$  is written in terms of  $F_{\mu\nu}$

we remove the Lorentz indices

$$\mathcal{L}_{\text{QED}} = \bar{\psi}(i\not{\partial} - m)\psi + \int F_{\mu\nu} F^{\mu\nu}$$

We have to determine this

$\Rightarrow$  a kinetic term for the  $\Delta_{\mu}$  field  $\leftarrow$  spin-1 field

Let's compare this with spin-0 field  $\rightarrow \mathcal{L}_{\text{KG}} = \frac{1}{2} \partial_{\mu}\phi \partial^{\mu}\phi - \frac{1}{2} m^2 \phi^2$

Kinetic term for Klein-Gordon:

$$\frac{1}{2} \partial_\mu \phi \partial^\mu \phi \rightarrow -\frac{1}{2} \phi \square^2 \phi \quad (\text{integration by part:})$$

$$\int d^4x \frac{1}{2} \partial_\mu \phi \partial^\mu \phi =$$

$$\int d^4x \left( -\frac{1}{2} \phi \square^2 \phi \right)$$

$$\mathcal{N} = \int d^4x \mathcal{L}$$

equivalent  
fundamental quantity

$$\text{Spin-0: } -\frac{1}{2} \phi \square^2 \phi \quad \rightarrow \quad \underline{\underline{\text{Spin-1?}}}$$

$$A_\mu = (\Delta_0, \vec{A}) \quad \rightsquigarrow \quad -\frac{1}{2} \vec{A} \square^2 \vec{A}$$

physical photon

for  $\vec{A}$

$\Delta_0, \vec{A}$

$$A_\mu(x) = \epsilon_\mu e^{-iq \cdot x}, \quad \square_\mu A^\mu = 0$$

≡  
polarization

→ the metric:  $g_{\mu\nu} = \begin{pmatrix} 1 & & & 0 \\ & -1 & & \\ & & -1 & \\ 0 & & & -1 \end{pmatrix}$

$$-\frac{1}{2}(\vec{A} \square^2) \vec{A}$$

$$\frac{1}{2} A_{\mu} \square^2 A^{\mu}$$

$$\left( -\frac{1}{2} \phi \square^2 \phi \right)$$

sign difference

$$\mathcal{L}_{\text{kin}}(A_\mu) = +\frac{1}{2} \Delta_\mu \square^2 \Delta^\mu \quad \Rightarrow \boxed{\lambda = -\frac{1}{4}}$$

$$\mathcal{L}_{\text{QED}} = \bar{\psi} (i \not{D} - m) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

A CONSEQUENCE OF UTILIZING LOCAL  
U(1) SYMMETRY TO  $\mathcal{L}_{\text{Dirac}}$



QCD  $\rightarrow$  Just like QED, but w/ a different  
local symmetry group

QED  $\rightarrow$  local  $U(1)$

QCD  $\rightarrow$  local  $SU(3)$

$SU(N) = \{ N \times N \text{ matrices} / U^+U = 1, \det U = 1 \}$

Why  $SU(3)$ ?

→  $U(1)$  → electrons & photons  
1 type of charge

→  $U(3)$  → quarks & gluons  
3 types of charges

→ colours red green blue

$$\psi(x) \rightarrow \psi_a(x), \quad a = \mathbb{R}, \mathbb{C}, \mathbb{P}$$

$(a = 1, 2, 3)$

$$\text{SYMMETRY: } \sum_a \overline{\psi_a(x)} \psi_a(y) \rightarrow \sum_a \overline{\psi_a(x)} \psi_a(x)$$

→ complex field:  $U(3)$  or  $SU(3)$  →  $\otimes$

(real field →  $O(3)$  or  $SO(2)$ )

⊕ → SU(3) because experimentally hadrons don't have a strong charge



↓  
gluon

never happens → ~~U(3)~~

[QCD Lagrangian]  $\rightarrow$  for completeness

$\rightarrow$  Quarks come in different types (flavors)

6 flavors:  $\boxed{u, d, s, c, b, t}$

$$\mathcal{L}_{\text{quarks}} = \sum_{j=1}^{n_f} \bar{\psi}_j (\not{\partial} - m_j) \psi_j$$

( $n_f = 6$ )

$\rightarrow$  add  
local  
 $SU(3)$   
symmetry




⊗ → define  $D_F$ , add a new term for the gluon fields

$$\mathcal{L}_{QCD} = \sum_{j=1}^{n_f} \bar{q}_j \underbrace{(\not{D} - m_j)}_{\text{SS1}} q_j - \frac{1}{4} \underbrace{G_{\mu\nu}^a G^{a\mu\nu}}_{\text{SS1}}$$

$$\left( \bar{\psi} (\not{D} - m) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right)$$

→ analogous to QED



but more complex  $\rightarrow$  more types of gluons

1 type of gluon for each "generator" of  
the local symmetry group



REVIEW GROUP  
THEORY

$SU(N)$  has  $N^2 - 1$  gens

$$U(N) \rightarrow N^2$$

$$SO(N) \rightarrow \frac{N(N-1)}{2}$$

$SU(3) \rightarrow 8$  generators  $\rightarrow 8$  types of gluons

$$D_\mu = \partial_\mu - ig \sum_a \frac{\lambda^a}{2} A_\mu^a, \quad a = 1, \dots, 8$$

$$G_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + \left( g \underline{\underline{f^{abc}}} A_\mu^b A_\nu^c \right) \left. \begin{array}{l} \text{non} \\ \text{abelian} \\ \underline{\underline{SU(3)}} \end{array} \right\}$$

$$\left[ \frac{\lambda^b}{2}, \frac{\lambda^c}{2} \right] = i \underline{\underline{f^{abc}}} \frac{\lambda^a}{2} \rightarrow 3 \times 3 \text{ matrices generators of } SU(3)$$

non commutative  
(non abelian)

Gell-mann matrices



$SU(2) \rightarrow$  generators are  $\sigma_i, i=1,2,3$

$$[\sigma_i, \sigma_j] = i \epsilon_{ijk} \sigma_k$$

non-abelian

( $SU(3)$  analogous,  
but more complex)

Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

BASIC IDEA

→

QCD is a complicated  
version of QED

DIFFERENCES →

$N_c = 3$

colors

8

$N_f = 6$

flavors

⇓

non-trivial effects

most important QCD surprise

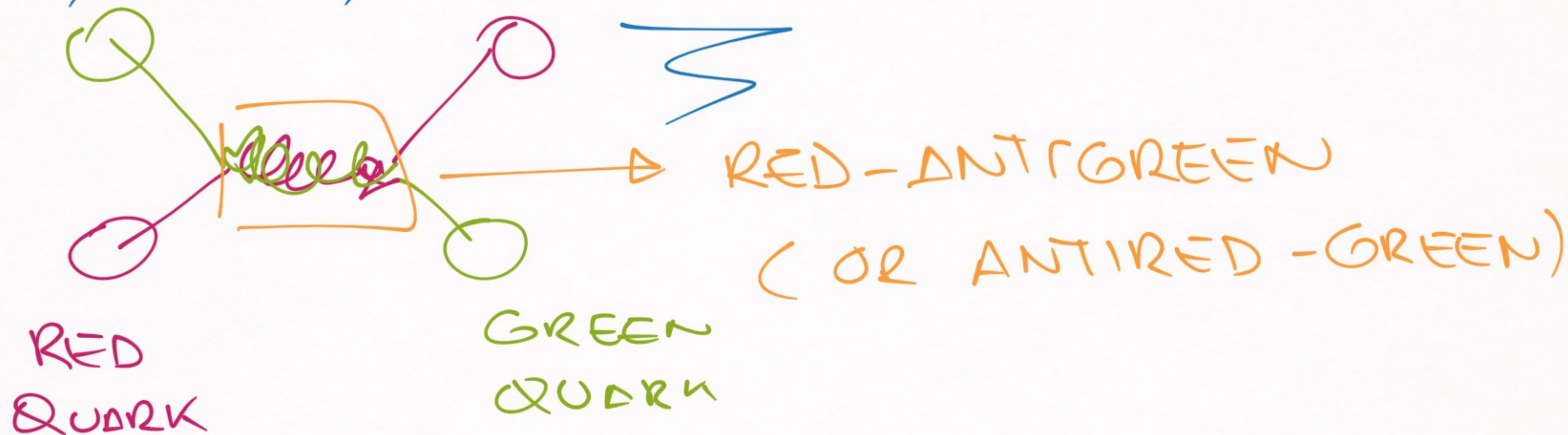
→ ASYMPTOTIC FREEDOM

The strength of the strong interaction  
goes to zero at high energies  
↳ (or short  
distances)

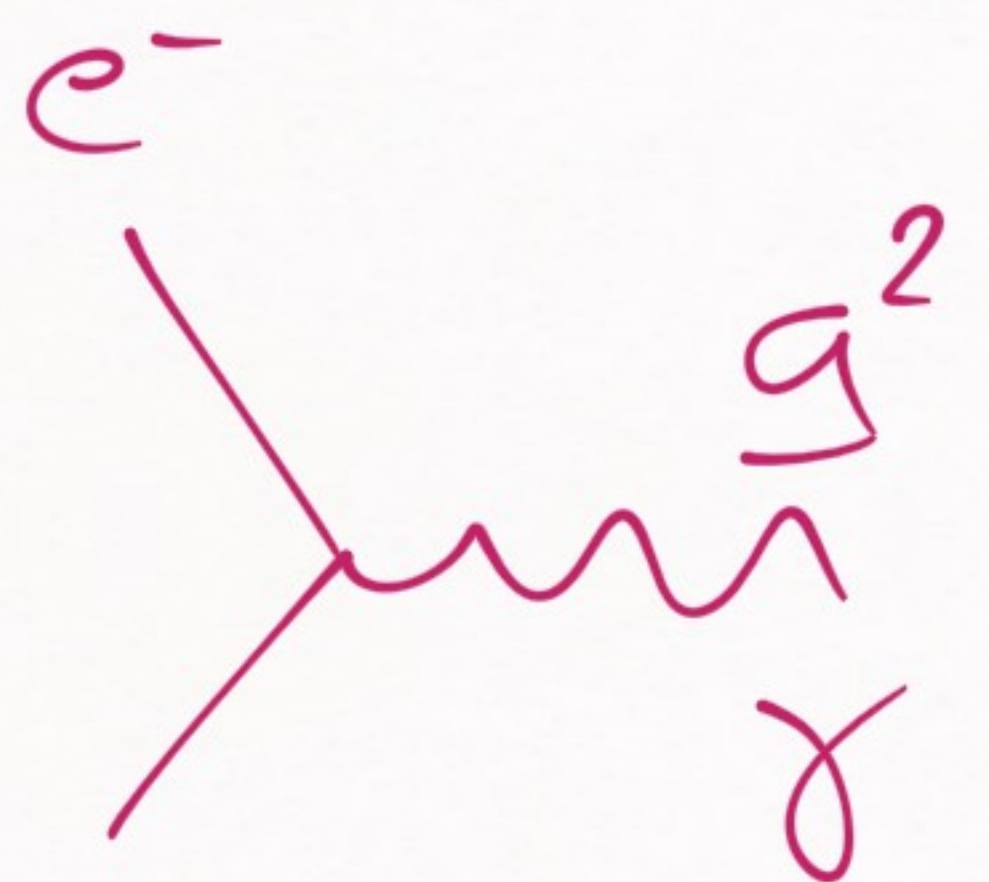
→ Why does this happen?

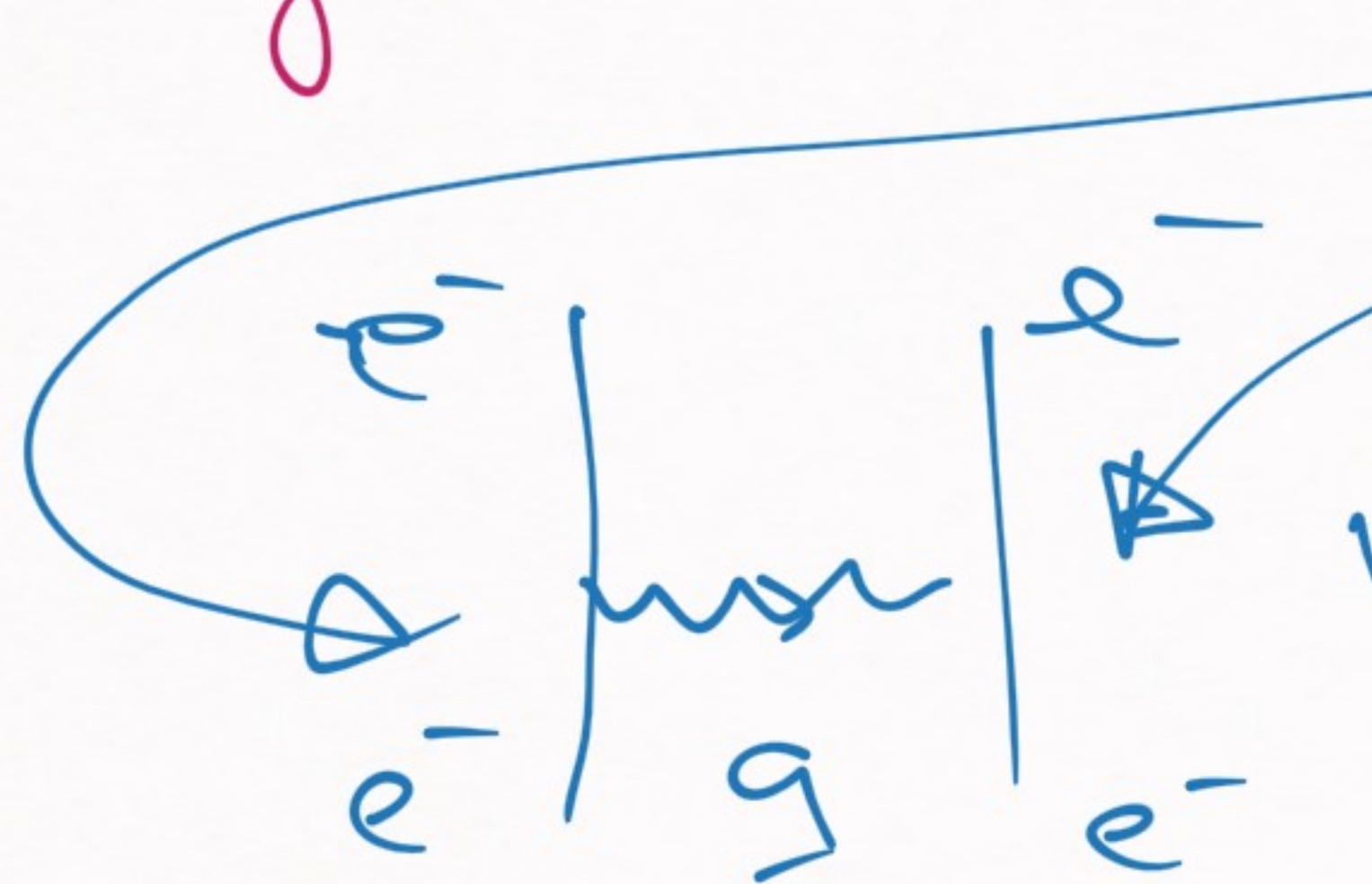
[ BECAUSE GLUONS CARRY COLOR ]

≠ QED, WHERE PHOTONS ARE NEUTRAL



$\alpha(E)$  → strength of electromagnetism

$e^-$   
 $e^-$ 

 $g^2$   
 $\gamma$ 
 $= ie\gamma^\mu$ 
 $\approx$ 
 $\equiv$ 
 $\equiv \sqrt{4\pi\alpha}$


 $V(\vec{q}) = \frac{4\pi\alpha^2}{q^2}$

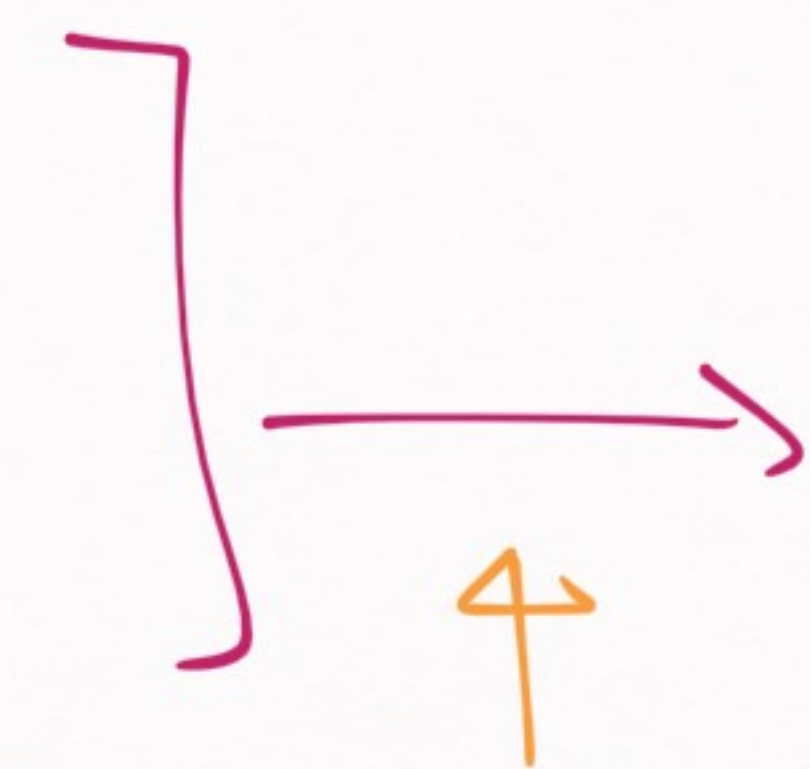
# QUANTUM CORRECTIONS TO THE STRENGTH OF $\alpha$

The diagram shows a series of Feynman diagrams representing quantum corrections to the photon propagator. On the left, a tree-level vertex (two fermion lines meeting at a point) is connected to a wavy photon line. This is followed by a plus sign and a loop diagram where a fermion line forms a loop with a photon line, connected to the vertex. This is followed by another plus sign and an ellipsis. An equals sign follows, leading to a vertex with a wavy photon line, where the vertex is enclosed in a circle with a 'V' inside. Below this vertex is the expression  $\alpha \sqrt{4\pi} (g^2)$ , which is underlined.

→ effective strength of electromagnetism  
changes w/ energy

$$V(g) = 4\pi \frac{\alpha^2}{g^2}$$

$$\alpha \approx \frac{1}{137}$$



quantum  
corrections

$$4\pi \frac{\alpha^2 (g^2)}{g^2} + \dots$$

coupling depends  
on  $g^2$

$$\alpha(g^2) = \frac{\alpha(\mu^2)}{1 - \frac{\alpha(\mu^2)}{3\pi} \log\left(\frac{g^2}{\mu^2}\right)}$$

→  $\boxed{\text{If } g^2 > \mu^2 \Rightarrow \alpha(g^2) > \alpha(\mu^2)}$

$Q^2$  for which  $\alpha(Q^2) \rightarrow \infty$

LANDAU POLE



Landau pole  $\rightarrow$  D

$$\alpha(g) = \frac{\alpha(\mu^2)}{1 - \frac{\alpha(\mu^2)}{3\pi} \log\left(\frac{g}{\mu}\right)}$$

$$\alpha(m_e^2) \leq \frac{1}{137}$$

$$1 - \frac{\alpha(m_e^2)}{3\pi} \log\left(\frac{Q^2}{m_e^2}\right) = 0$$

$$\Rightarrow Q^2 = m_e^2 \exp\left(\frac{3\pi}{\alpha(m_e^2)}\right)$$

$$Q_{\text{Landau}} \approx 10^{280} \text{ MeV}$$

$$\rightarrow Q_{\text{Landau}} \gg M_{\text{Planck}}$$

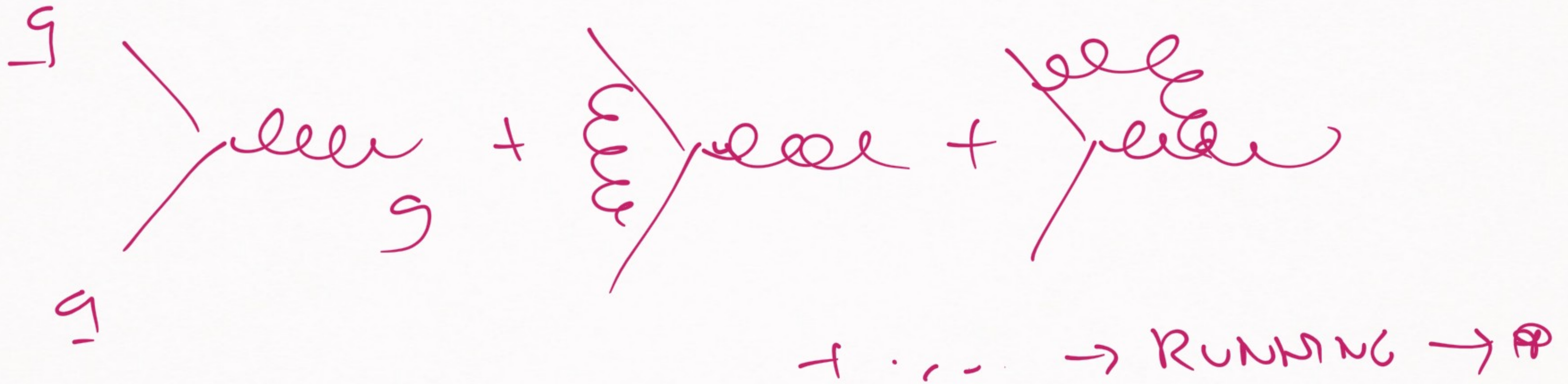
↳ it's mostly a theoretical problem

(we will not ever notice  
at normal energies)



→ [ WHAT'S THE EQUIVALENT OF  $\Lambda_{QED}$  IN QCD ? ]

→ very different from QED



$$\rightarrow \alpha_s(q^2) = \frac{\alpha_s(\mu^2)}{1 - \frac{\alpha_s(\mu^2)}{12\pi} (33 - 2n_f) \log \frac{q^2}{\mu^2}}$$

+

!

→ very important

(cause: gluons carry color)

$$\alpha_s(q^2) = \frac{\alpha(\mu^2)}{1 - \frac{\alpha(\mu^2)}{3\pi} \log \left( \frac{q^2}{\mu^2} \right)}$$

$$\text{QED} : q^2 > \mu^2 \Rightarrow \alpha(q^2) > \alpha(\mu^2)$$

strength grows w/ energy

$$\text{QCD} : q^2 > \mu^2 \Rightarrow \alpha_s(q^2) < \alpha_s(\mu^2)$$

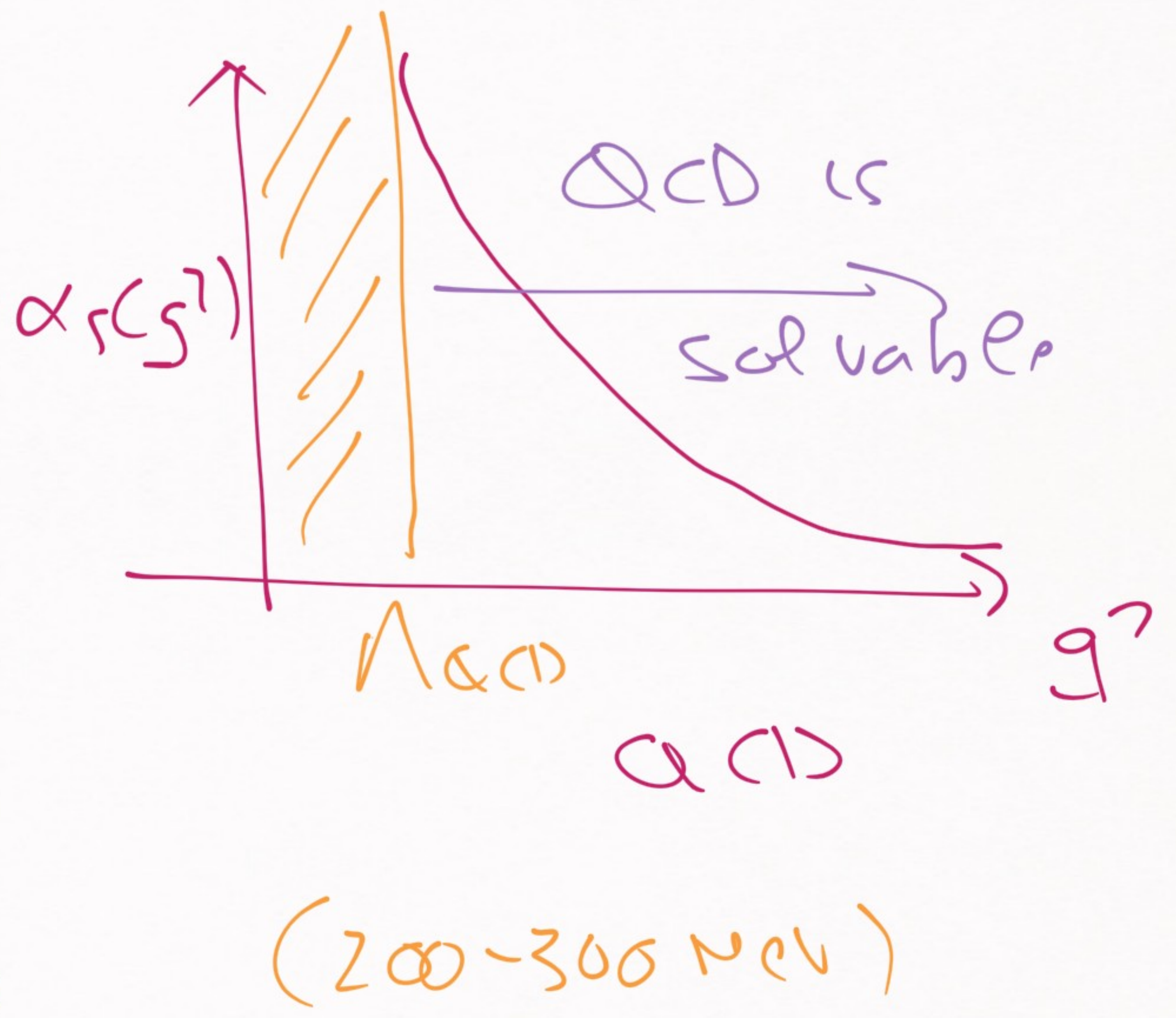
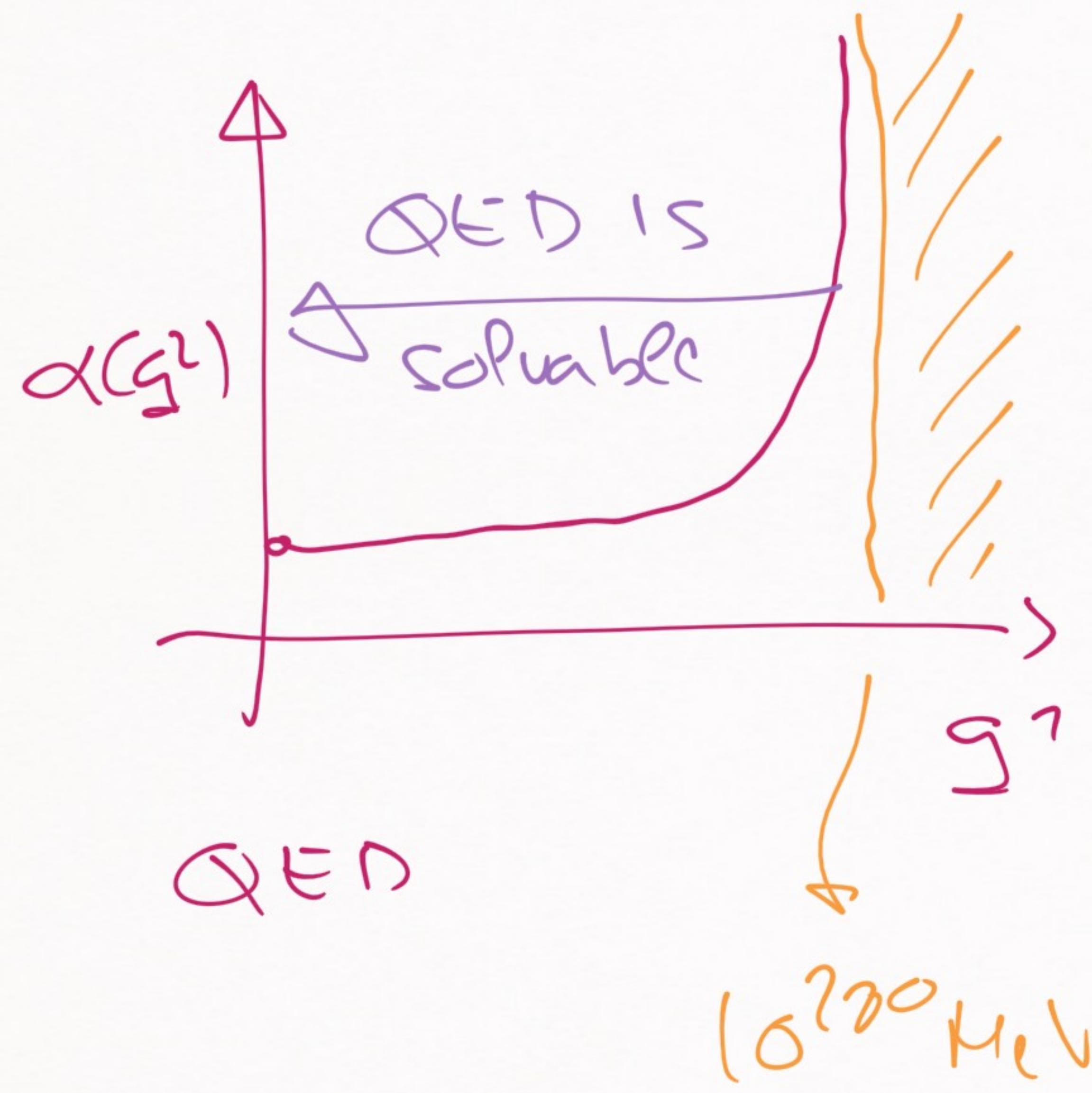
strength decreases w/ energy

$$\exists Q / \alpha_s(Q^2) \rightarrow \infty$$

$$\Rightarrow \Lambda_{\text{QCD}} = \mu \exp\left[-\frac{12\pi}{(33-2n_F)\alpha_s(\mu)}\right]$$

$$\alpha_s(q^2) = \frac{12\pi}{(33-2n_F) \ln\left(\frac{q^2}{\Lambda_{\text{QCD}}^2}\right)}$$

$$\Lambda_{\text{QCD}} \sim (200-360) \text{ MeV}$$



Low energy  $\rightarrow$  nucleons  $\rightarrow$  can't use QCD



(at least perturbative QCD)



not trivial to connect them to QCD



$\Lambda_{QCD}$  → natural/characteristic scale  
for strong interactions

u, d, s, c, b, t quarks  
→ chiral symmetry

$m_u, m_d, m_s \ll \Lambda_{QCD}$

heavy quark  
symmetry

$m_c, m_b, m_t \gg \Lambda_{QCD}$

$\Lambda_{QCD}$



nucleon,  $e$ , etc.



$u, d$  quarks



$m_u, m_d \ll \Lambda_{QCD}$

1) mass of  $\rho$ -meson ( $u\bar{d}$ )  $m_\rho = 770 \text{ MeV}$

$$m_\rho \neq m_u + m_d \rightarrow \Lambda \text{ QCD}$$

$$m_u \sim 2 \text{ MeV}$$

$$m_d \sim 5 \text{ MeV}$$

$$m_\rho \lesssim 2 \Lambda \text{ QCD}$$

$$m_\rho \lesssim 0.6 \text{ GeV}$$

2) mass of nucleon ( $udd, uud$ )  $m_N = 940 \text{ MeV}$

$$m_N \lesssim 3 \Lambda \text{ QCD} \lesssim 0.9 \text{ GeV}$$

3) D-meson ( $c\bar{u}$ )

$$m(D) \approx 1.86 \text{ GeV}$$

$$m(c) \approx 1.26 \text{ GeV}$$

$$m(D) \approx m(c) + 2\Lambda_{\text{QCD}} \approx \underline{1.86 \text{ GeV}}$$

→  $\Lambda_{\text{QCD}}$  gives non-trivial information  
about QCD dynamics

→ PROBLEM: Pion

$$4) \pi (u\bar{d}) \quad m(\pi) \lesssim 0.14 \text{ GeV}$$

$$m(\pi) \lesssim 2\Lambda_{\text{QCD}} \lesssim 0.6 \text{ GeV}$$

$$\frac{m_{\pi}}{2\Lambda_{\text{QCD}}} \lesssim \frac{1}{4}$$

$$\frac{m_{\pi}}{m_p} \lesssim \frac{1}{6}$$

small  $\rightarrow \oplus$

\*  $\rightarrow \exists$  Fine-tuning for the pion

Pion mass fine-tuned  $\rightarrow$   $\left[ \begin{array}{l} \rightarrow \text{coincidence } \times \\ \rightarrow \text{conspiracy (symmetry)} \checkmark \end{array} \right.$

$$\left[ \frac{m_u}{\Lambda_{QCD}} \quad \frac{m_d}{\Lambda_{QCD}} \ll 1 \right]$$

$\downarrow$   
CHIRAL SYMMETRY

# RECAP

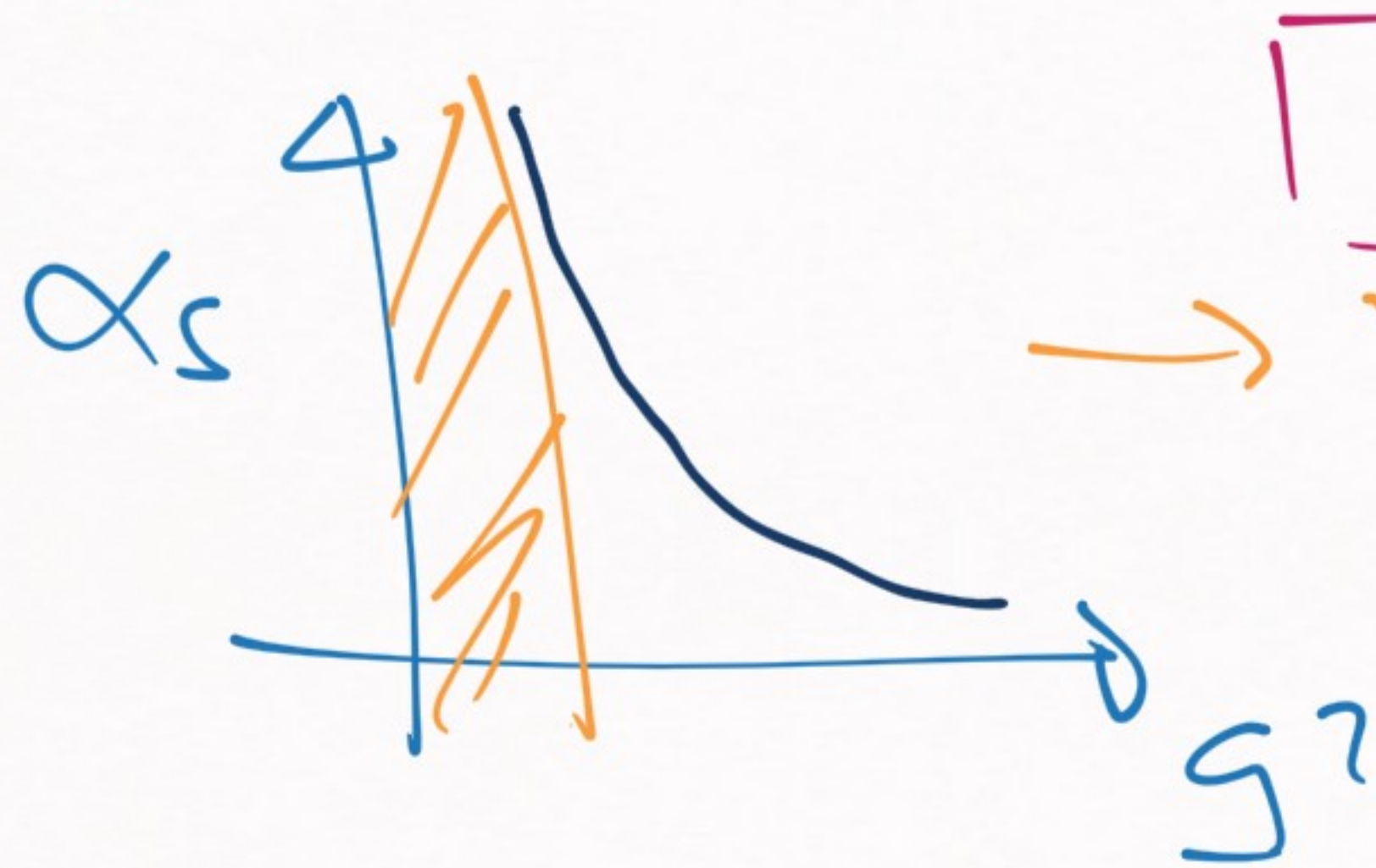
1) HADRONS  $\rightarrow$  QUARKS & GLUONS

it would be nice to explain hadrons  
in terms of quarks & gluons

2) QUARK & GLUONS  $\rightarrow$  QCD

We can try to apply perturbation  
theory to quarks & gluons

3) QCD  $\rightarrow$  ASYMPTOTIC FREEDOM



$\Lambda_{QCD}$

$\rightarrow$  we cannot use perturbation theory to describe hadrons in QCD

1)  $\rightarrow$  FIND A DIFFERENT WAY

4) PION IS SPECIAL  $\rightarrow$   $\frac{m_\pi}{2\Lambda_{QCD}} \ll 1$   
 $\rightarrow$  check



⊃ PROBLEM IN EXPLAINING  
NUCLEAR PHYSICS FROM QCD

THEORETICIANS →  
FUNDAMENTAL  
PROBLEM  
OF NUCLEAR  
PHYSICS

WHAT WE WANT  
IS TO EXPLAIN  
NUCLEAR PHYSICS  
FROM QCD