

Nuclear Physics 21



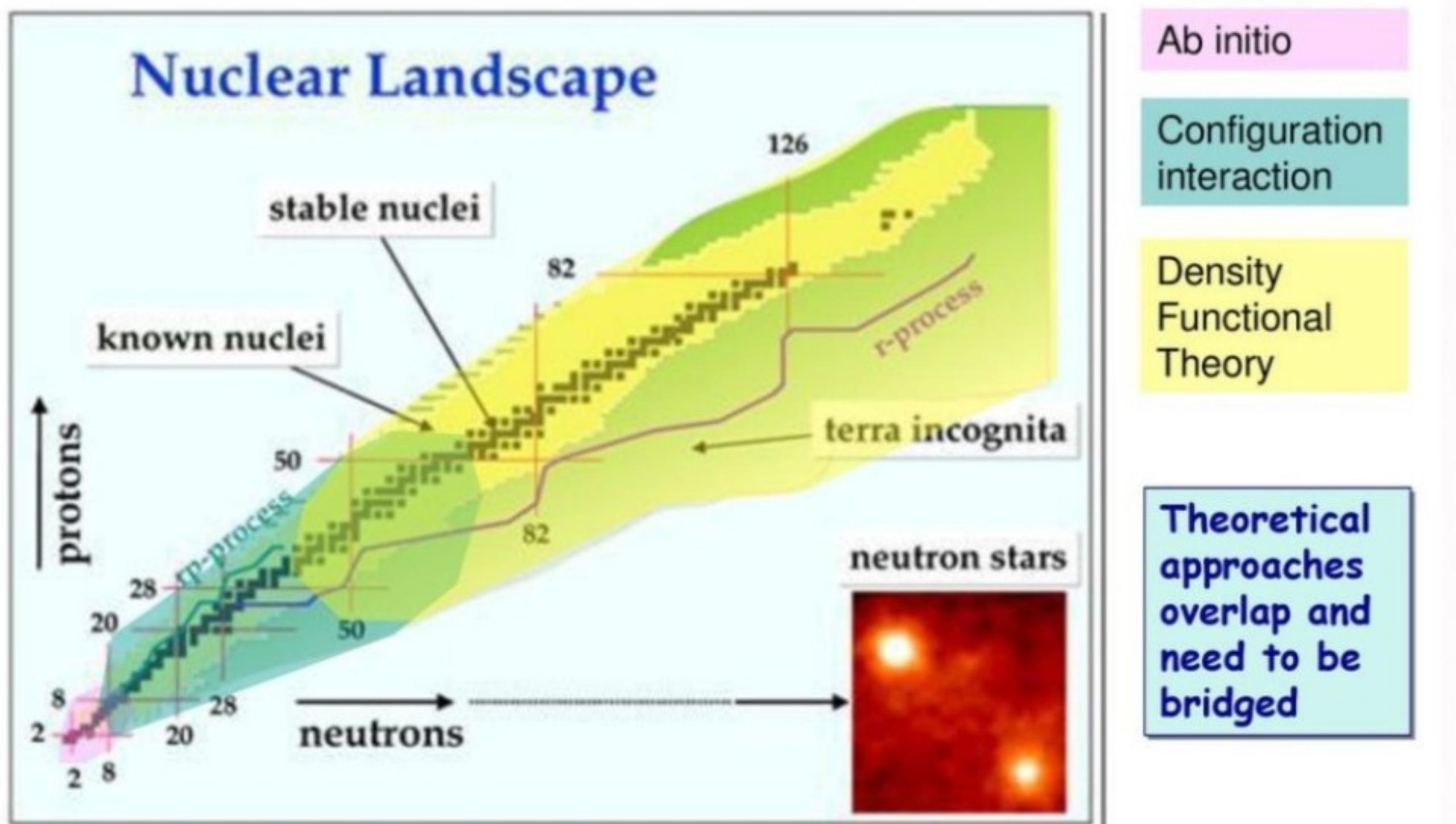
The three-body problem

Part 1: Faddeev Equations

Warning: difficult stuff

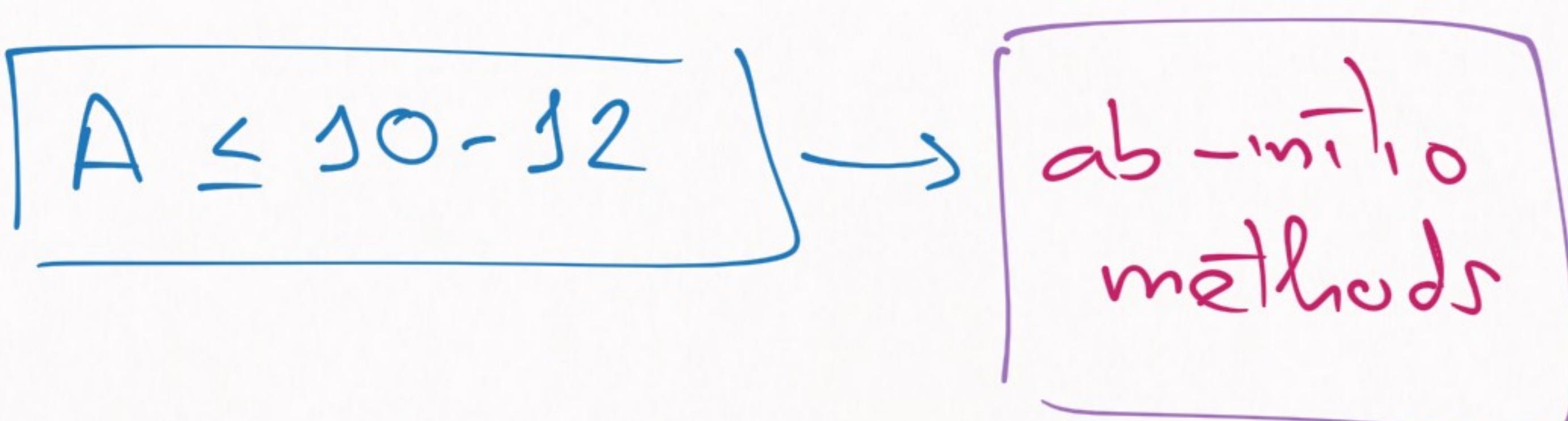
A roadmap of nuclear physics

Bottom-up approaches to nuclear structure

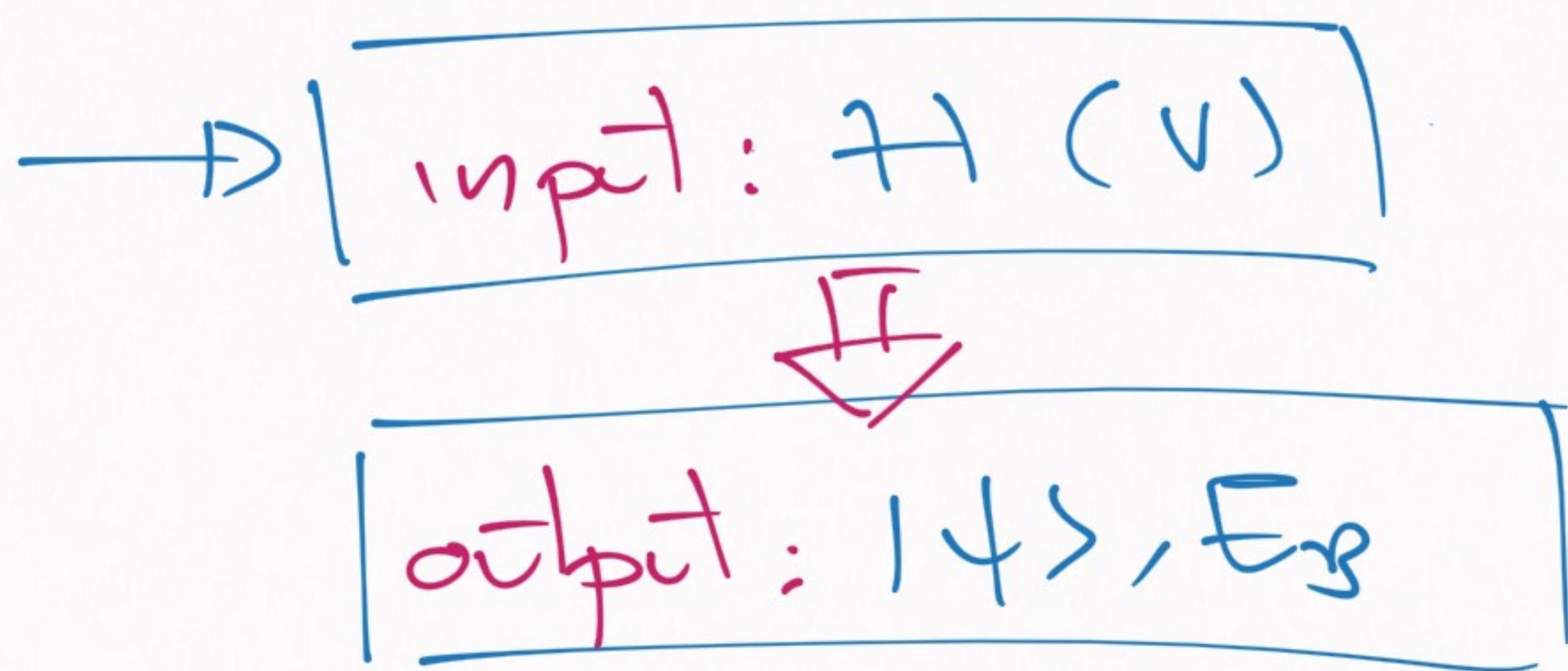


→ } too many nuclei }

(A) → # of nucleons



What does ab initio mean?



It means what we have
always done:

- 1) use some potential
- 2) plug it in the Schrödinger
equation (or an equivalent)
- 3) get binding energies
& wave functions

1) Coordinate space :

→ Schrödinger equation

2) Momentum space :

$\Delta=2 \rightarrow$ Lippmann-Schwinger

$\Delta=3$ → Faddeev

$\Delta \geq 4 \rightarrow$ Faddeev-Yakubowski

— ⊗ —

First, for $\Delta=3$ we have:

a) Lippmann-Schwinger (problem)
does not work

b) But Faddeev found
a clever trick (solution)

Let us consider a 3-body system:

$$\begin{aligned} H &= H_0 + V \\ &= \frac{P_1^2}{2m_1} + \frac{P_2^2}{2m_2} + \frac{P_3^2}{2m_3} \\ &\quad + V_{12} + V_{13} + V_{23} \end{aligned}$$

or in p-space:

$$T(z) = V + V G_0(z) T(z)$$

$$G_0(z) = \frac{1}{z - H_0}$$

or even better: p-space + bound state

$$|\underline{T}_{3B}\rangle = G_0(z) V |\underline{T}_{3B}\rangle$$

Two-body PK Three-body:

A=2) Remove total momentum,
get nice equation

$$\left[\langle \bar{p}_1' \bar{p}_2' | V | \vec{p}_1 \vec{p}_2 \rangle = (2\pi)^3 \delta^{(3)}(\vec{P}' - \vec{P}) \langle \vec{p}' | V | \vec{p} \rangle \right]$$

$$\vec{P} = \vec{p}_1 + \vec{p}_2 \quad (\vec{P}' = \vec{p}_1' + \vec{r}_i)$$

$$\vec{p}' = \frac{1}{2}(\vec{p}_1 - \vec{p}_2) \quad (\vec{p}' = \dots)$$

$$\left[\langle \bar{p}_1' \bar{p}_2' | G_0(z) | \vec{p}_1 \vec{p}_2 \rangle = \frac{(2\pi)^3 \delta^{(3)}(\vec{P}' - \vec{P})}{E - \frac{\vec{P}^2}{2M} - \frac{\vec{P}'^2}{2M}} \right]$$

* From this:

easy equation [without $\delta^{(3)}$]

$\Delta=3$) Remove total momentum

... there are still 8's?

→ PROBLEM

$$\left[\langle \bar{p}_1' \bar{p}_2' \bar{p}_3' | N_{12} | \bar{p}_1' \bar{p}_2' \bar{p}_3' \rangle = \right.$$
$$(2\pi)^3 \delta^{(3)}(\bar{p}_{12}' - \bar{p}_{12}) (2\pi)^3 \delta^{(3)}(\bar{p}_3' - \bar{p}_3)$$
$$\times \langle \bar{p}_{12}' | N | \bar{p}_{12} \rangle \left. \right]$$

↳ Repeat this w/ V_{13}, V_{23}

In each case a different

8 combination

↳ Can't get rid of singularities

PROBLEM

→ Lippmann-Schwinger
for $\Delta=3$ full
of Dirac deltas

In general (\exists exceptions:

$$V_{12}=V_{23}=V_{31}=0 \\ \text{but } V_{123} \neq 0)$$

But Faddeev thought of
a clever trick:

Faddeev components

the name of the trick

Let's see how this is done:

$$T(z) = V + V G_0(z) T(z)$$

(congrual)

$$T(z) = T^{(1)}(z) + T^{(2)}(z) + T^{(3)}(z)$$

(decomposition)

$$\text{w/ } T^{(k)}(z) = V_y + V_{ij} G_0(z) T(z)$$

$$(y, k = \{23, 231, 312\})$$

(even permutation)

But we have to go further

\Rightarrow [Consider the two-body
+ matrices]

$$T_{ij}(z) = V_y + V_{ij} G_0(z) T_{ij}(z)$$

Equivalent two-body equation:

$$(1 - v_{ij} G_0(z))^{-1} T_{ij}(z) = v_{ij}$$

And we use \dagger to get rid of $T(z)$

$$T^{(k)}(z) = v_{ij} + v_{ij} G_0(z) T(z)$$

$$(1 - v_{ij} G_0(z)) T^{(m)}(z) =$$

$$v_{ij} + v_{ij} G_0(z) [T^{(i)}(z) + T^{(j)}(z)]$$

$$T^{(n)}(z) = (1 - v_{ij} G_0(z))^{\dagger} [\dots]$$

FADDEEV EQUATIONS

$$T^{(k)}(z) = T_{ij}(z) + T_{ij}(z) G_0(z) [T^{(i)}(z) + T^{(j)}(z)]$$

$$\delta^{(3)}(\underline{p}_j - \underline{p}_j) \delta^{(3)}(\underline{p}_k' - \underline{p}_k) \text{ which can be removed}$$

1) Lippmann-Schwinger ($A=3$)

1.a) Only 1 equation

1.b) 3 types of δ -terms:

$$\delta^{(3)}(\vec{r}'_j - \vec{r}_j) \delta^{(3)}(\vec{r}'_k - \vec{r}_k)$$

$$y_k = 123, 231, 312$$

→ non-removable

2) Faddeev ($A=3$)

2.a) 3 equations (more)

2.b) Each equation only

1-type of δ -term

(each equation → 1 permutation)

→ removable

Next step: FADDEEV FOR
BOUND STATE

$$1) \quad T(z) \rightarrow G_0^{-1}(z) \frac{|4_{3B}\rangle \langle 4_{3B}|}{z - E_{3B}} G_0^{-1}(z)$$

2) Faddeev trick:

$$|4_{3B}\rangle = |4^{(1)}\rangle + |4^{(2)}\rangle + |4^{(3)}\rangle$$

$$T(z) = T^{(1)}(z) + T^{(2)}(z) + T^{(3)}(z)$$

$$T^{(k)}(z) \rightarrow G_0^{-1} \frac{|4^{(k)}\rangle \langle 4_{3B}|}{z - E_{3B}} G_0^{-1}$$

3) Rearrange equations
around the pole



$$|4^{(x)}\rangle = G_0(z) T_y(z)$$

$$\times [|4^{(0)}\rangle + |4^{(y)}\rangle]$$

FADDEEV EQUATIONS

FOR BOUND STATES

Or in matrix form:

$$\begin{pmatrix} |4^{(1)}\rangle \\ |4^{(2)}\rangle \\ |4^{(3)}\rangle \end{pmatrix} = G_0(z) \begin{pmatrix} 0 & T_{23} & T_{23} \\ T_{31} & 0 & -T_{31} \\ T_{12} & T_{13} & 0 \end{pmatrix}$$

$$\times \begin{pmatrix} |4^{(1)}\rangle \\ |4^{(2)}\rangle \\ |4^{(3)}\rangle \end{pmatrix}$$

→ Only a complicated version
of the two-body BS equation

Next step → find explicit expressions
for the Faddeev
equations



Jacobi coordinates

$\Delta=2) \bar{k}_1, \bar{k}_2$

$$\vec{P}'_{12} = \frac{m_2 \vec{k}_1 - m_1 \vec{k}_2}{m_1 + m_2}, \bar{\vec{P}}_{12} = \bar{k}_1 + \bar{k}_2$$

$\Delta=3) \bar{k}_1, \bar{k}'_2, \bar{k}_3$

$$\vec{P} = \bar{k}_1 + \bar{k}_2 + \bar{k}_3$$

Jacobi
momenta

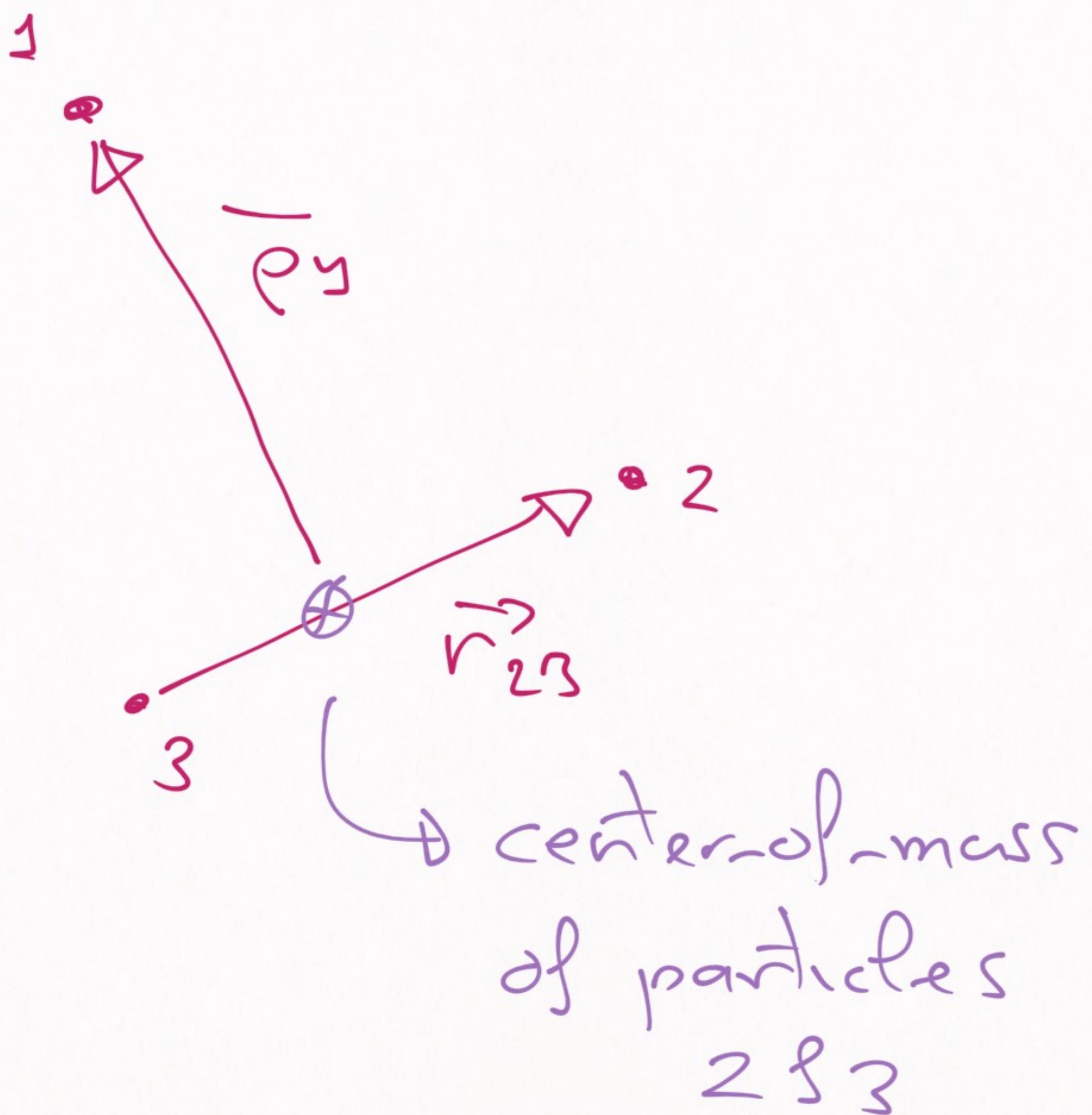
$$\begin{aligned} \bar{P}_1 &= \frac{1}{M} \{ (m_2 + m_3) \bar{k}_1 - m_1 \\ &\quad \times (\bar{k}_2 + \bar{k}_3) \} \\ \vec{k}_{23} &= \frac{m_3 \bar{k}_2 - m_2 \bar{k}_3}{m_2 + m_3} \end{aligned}$$

+ permutations

Easier to understand in r-space:

$$\vec{r}_1, \vec{r}_2, \vec{r}_3 \quad \left[\begin{array}{l} \vec{p}_1 = \vec{r}_1 - \frac{m_2 \vec{r}_2 + m_3 \vec{r}_3}{m_2 + m_3} \\ \vec{r}_{23} = \vec{r}_2 - \vec{r}_3 \end{array} \right]$$

+ permutations



The point \rightarrow we can rewrite
 Faddeev equations
 w/ Jacobi momenta

$$\frac{k_1^2}{2m_1} + \frac{k_2^2}{2m_2} + \frac{k_3^2}{2m_3} = \frac{\vec{P}^2}{2M} + \frac{\vec{k}_{23}^2}{2\mu_{23}} + \frac{\vec{p}_1^2}{2m_1}$$

$$\frac{1}{\mu_{23}} = \frac{1}{m_2} + \frac{1}{m_3}, \quad \frac{1}{\mu_1} = \frac{1}{m_1} + \frac{1}{m_2 + m_3}$$

— ⊗ —

$$f^{(1)}(\vec{k}_{23}, \vec{p}_1) = \left(2 - \frac{k_{23}^2}{2\mu_{23}} - \frac{\vec{P}_1^2}{2m_1} \right)^{-1} \times$$

$$\times \int \frac{d^3 \vec{k}'_{23}}{(2\pi)^3} \langle \vec{k}_{23} | \vec{H}_{23} \left(2 - \frac{k_{23}^2}{2\mu_{23}} \right) | \vec{k}'_{23} \rangle$$

$$\times \left[f^{(1)}(\vec{k}'_{23}, \vec{p}'_2) + f^{(2)}(\vec{k}'_{12}, \vec{p}'_3) \right]$$

+ permutations

Next thing : Faddeev equations
are easily solvable
for separable
potentials



$$\langle \vec{k}' | V_j | \vec{k} \rangle = \lambda_j g_i(k) g_j(k)$$



$$\langle \vec{k}' | t_j(z) | \vec{k} \rangle = \bar{c}_j(z) g_i(k) g_j(k)$$



$$t_{(i)}(\vec{k}, \vec{p}) = N \frac{g_i(k) a_i(p)}{-2 + \frac{k^2}{2m_j} + \frac{\vec{p}^2}{2\mu k}}$$

The Faddeev equations

will only involve $\boxed{a_i(p)}$

We end up w/ this type
of equations: (easy to
discretize)

$$a_k(p_k) = \tau_{ij}(z_{ij}) \times [$$

$$\int \frac{d^3 \vec{p}_i}{(2\pi)^3} B_{ki}(\vec{p}_k, \vec{p}_i) a_i(p_i)$$

$$+ \left. \int \frac{d^3 p_j}{(2\pi)^3} B_{kj}(\vec{p}_k, \vec{p}_j) a_j(p_j) \right]$$

with: $z_{ij} = z - \frac{\vec{p}_k^2}{2\mu_{ik}}$

$$B_{ij}(\vec{p}_i, \vec{p}_j) = \frac{g_i(\vec{q}_i) g_j(\vec{q}_j)}{2 - \frac{\vec{p}_1^2}{2m_1} - \frac{\vec{p}_i^2}{2m_i} - \frac{\vec{p}_j^2}{2m_j}}$$

$$\vec{p}_1 + \vec{p}_2 + \vec{p}_3 = 0 \quad \& \quad \vec{q}_i = \frac{m_k \vec{p}_j - m_j \vec{p}_k}{m_k + m_j}$$

$$(ijk = 123, 231, 312)$$

Application \rightarrow 3-BOSON SYSTEM
 w/ RESONANT INTERACTIONS
 \hookrightarrow THE Efimov EFFECT

\rightarrow Curious phenomenon that
 happens in systems of
 identical particles
 w/ large scattering lengths

$$\begin{aligned} \text{BOSONS} &\rightarrow \text{IDENTICAL} \\ &\quad \text{PARTICLES} \\ \Rightarrow \Psi_{33} &= \psi^{(1)} + \psi^{(2)} + \psi^{(3)} \\ \Rightarrow \begin{cases} \psi^{(1)} = \psi^{(2)} = \psi^{(3)} \\ \psi(-\vec{k}, \vec{p}) = \psi(\vec{k}, \vec{p}) \end{cases} \end{aligned}$$

RESONANT
INTERACTIONS

$$a_0 \rightarrow \infty$$

The scattering length diverges

$$\tau(z) = \frac{2\pi}{\mu} \frac{1}{ik} = \frac{2\pi}{\mu} \frac{1}{i\sqrt{\mu z}}$$

$$= \frac{2\pi}{\mu} \frac{1}{-\sqrt{-\mu z}} \quad (\text{for } z > 0)$$

$$= \frac{2\pi}{\mu} \frac{1}{\sqrt{-\mu z}} \quad (\text{for } z < 0)$$

————— ⊗ —————

What happens in this limit?

(Simplified version)

$$\psi(\bar{k}, \bar{p}) \rightarrow N \frac{a(\bar{p}) g(x)}{k^2 + \frac{3}{4} \bar{p}^2 + \gamma'}$$

$$w/g(x) \rightarrow 1 \quad (m_1 = m_2 = m_3 = m)$$

$$[\alpha_0 \rightarrow \infty]$$

\Rightarrow

problem w/
no characteristic
scale



Just like
this

$$\psi(\vec{\kappa}, \vec{p}) \rightarrow$$

$$\alpha(p)$$

$$\kappa^2 + \frac{3}{4} p^2$$

$$[\alpha(p) \sim p^{s-2}]$$

pure power-law
dependence

It happens that by solving
the Faddeev equations
we can obtain
the equations for s :

$$1 = \frac{4}{\pi\sqrt{3}} \int_0^{\infty} dx x^{s-1} \log\left(\frac{1+x+x^2}{1-x+x^2}\right)$$

Mellin transformation

$$1 = \frac{8}{\sqrt{3} s} \frac{\sin(\pi s/6)}{\cos(\pi s/2)}$$

$s = \pm is_0, s_0 = 1.00624$

Imaginary solution

$$x^{is_0} = e^{is_0 \log(x)} = \cos(s_0 \log x) + i \sin(s_0 \log x)$$

(oscillatory solution)

In short, we end up with:

$$\psi(x, p) = N \frac{1}{p^2} \frac{\sin(s_0 \log \frac{p}{\lambda_0})}{x^2 + \frac{3}{4} p^2}$$

↓ discrete scale invariance

$$\psi(\lambda_0 x, \lambda_0 p) = \frac{1}{\lambda_0^4} \psi(x, p)$$

w/ $\lambda_0 = e^{\pi/s_0} \approx 22.69$

Just like this type of system



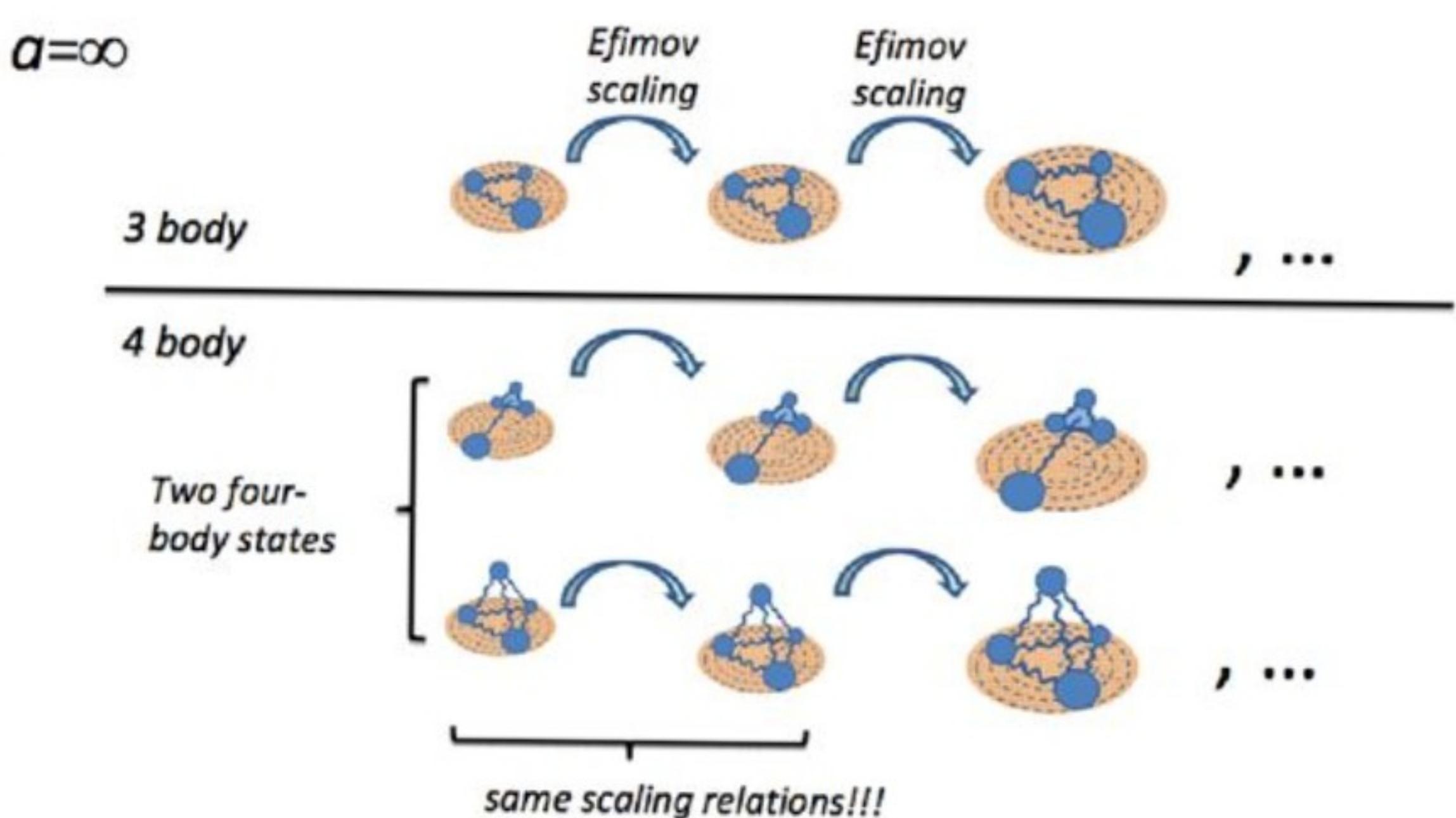
Repeats
itself

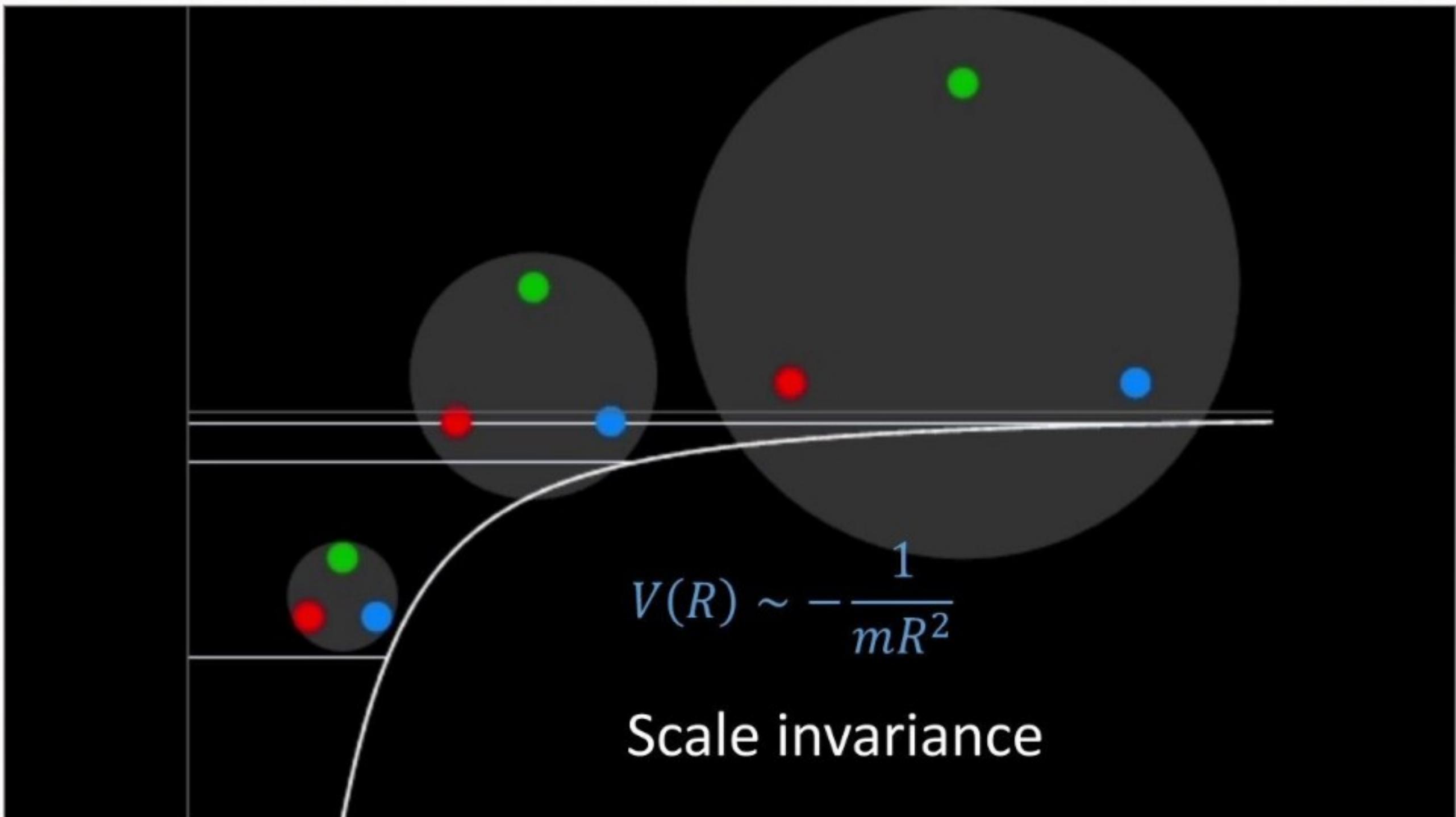


→ This is called
the Efimov effect



Matrioska
- like
series of
3-body
bound
states





Elmon spectrum:

$$E_n = \frac{E_0}{\lambda_0^n}$$

($\lambda_0 \approx 521$ for 3-bosons)

Hydrogen atom:

$$E_n = \frac{E_0}{n+1}$$

Power-Law

Geometric

Efimov's original manuscript:

Energy levels arising from the resonant two-body forces in a three-body system

V. Efimov (Ioffe Phys. Tech. Inst.)

Dec, 1970

2 pages

Published in: *Phys.Lett.B* 33 (1970) 563-564

DOI: [10.1016/0370-2693\(70\)90349-7](https://doi.org/10.1016/0370-2693(70)90349-7)

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 cite

Experimental confirmation:

Published: 16 March 2006

Evidence for Efimov quantum states in an ultracold gas of caesium atoms

T. Kraemer, M. Mark, P. Waldburger, J. G. Danzl, C. Chin, B. Engeser, A. D. Lange, K. Pilch, A. Jaakkola, H.-C. Nägerl & R. Grimm

Nature **440**, 315–318(2006) | [Cite this article](#)

937 Accesses | 731 Citations | 51 Altmetric | [Metrics](#)

Possible examples in Nuclear Physics:

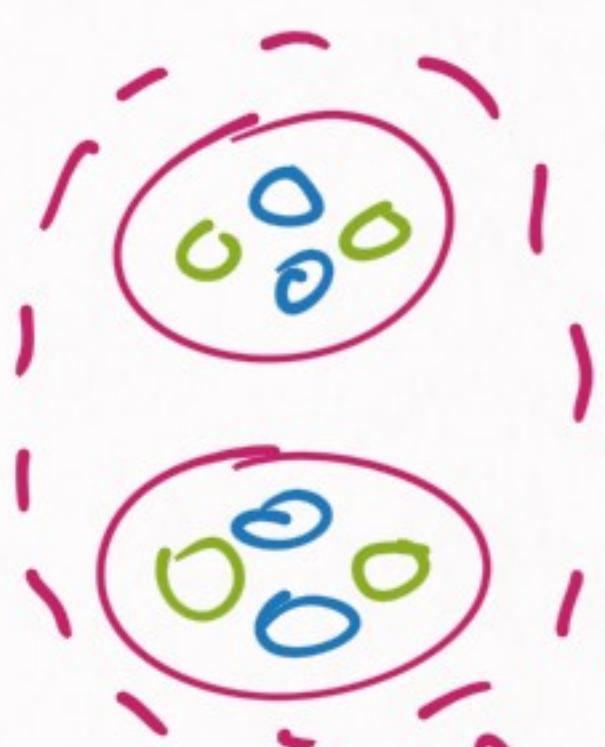
1) Triton & ^3He

(we will see why)

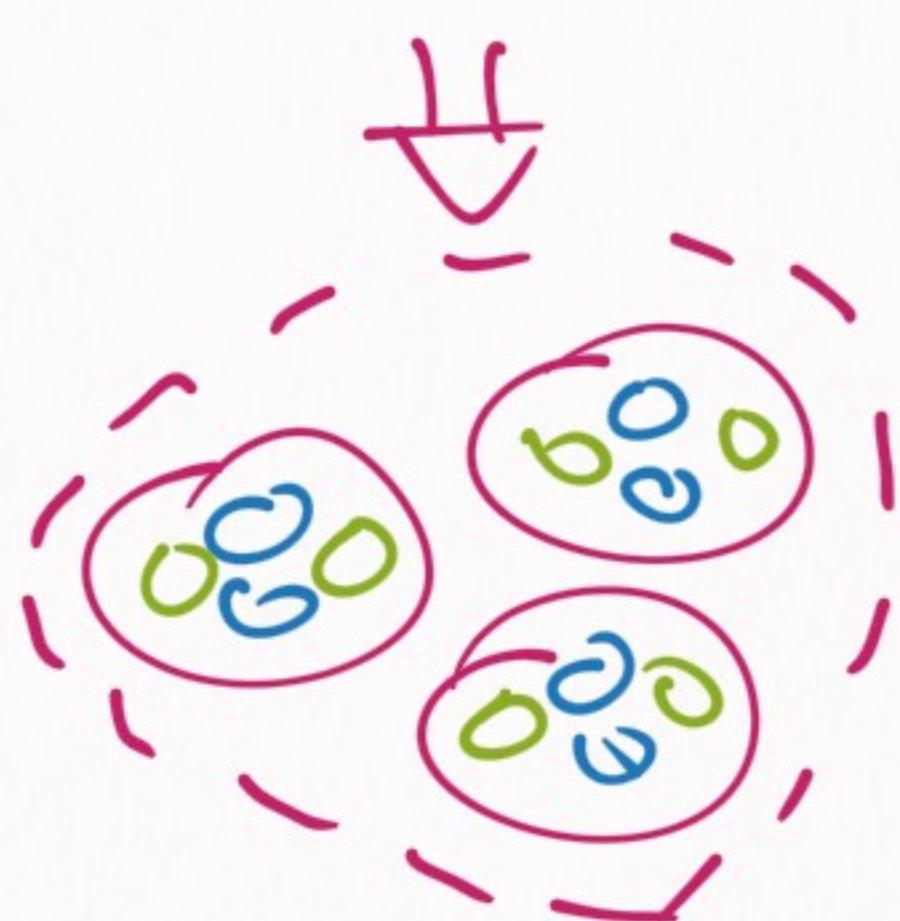
2) Triple-alpha process (^{12}C)



α



^8Be (resonance / not bound)



$^{12}\text{C}^*$ ($\rightarrow ^{12}\text{C}$)

3) Halo nuclei (heteronuclear Efimov effect)

Next lesson :

→ He triton